

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6470789

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LUCA PESCE	06/10/2020
JOHN PAUL USSIA	06/10/2020
CHRISTINE THANH NGUYEN	06/10/2020
CHRISTINE THAO NGUYEN	06/10/2020
RECEIVING PARTY DATA	
Name:	TRIFLO CARDIOVASCULAR INC.
Street Address:	4621 RAPIDS DRIVE
City:	HUNTINGTON BEACH
State/Country:	CALIFORNIA
Postal Code:	92648
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17083117
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6502121700
Email:	vavalos@shayglenn.com, info@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2929 CAMPUS DR., SUITE 225
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	14722-701.300
NAME OF SUBMITTER:	KATHLEEN KELLEHER
SIGNATURE:	/Kathleen Kelleher, Reg. No. 62,230/
DATE SIGNED:	12/28/2020
Total Attachments: 4	
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source=14722-701.300 Assignment#page2.tif	
source=14722-701.300 Assignment#page3.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) Luca PESCE (06/10/2020)
(2) John Paul USSIA (06/10/2020)
(3) Christine Thanh NGUYEN (06/10/2020)
(4) Christine Thao NGUYEN (06/10/2020)

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **TriFlo Cardiovascular Inc.**
4621 Rapids Drive
Huntington Beach, CA 92648

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Name and address of receiving party(ies):

Name:

Street Address:

City: State: Zip:

Country:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **17/083,117**

Title: **HEART VALVE SUPPORT DEVICE**

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kathleen Kelleher
Shay Glenn LLP
2929 Campus Drive, Suite 225
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

☒ None required. Please charge fees to **Deposit Account No. 50-4050.**

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen Kelleher, Reg. No. 62,230

/Kathleen Kelleher/

December 28, 2020

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **4**

This Assignment of Patent Application is between:

Luca PESCE of Huntington Beach, CA,

John Paul USSIA of Huntington Beach, CA,

Christine Thanh NGUYEN of Huntington Beach, CA; and

Christine Thao NGUYEN of Huntington Beach, CA,

(hereinafter referred to as “Inventor”) and

TriFlo Cardiovascular Inc., a corporation of the State of Delaware, having a place of business at 4621 Rapids Drive, Huntington Beach, CA 92648, (hereinafter referred to as “Assignee”).

WHEREAS Inventor has invented certain new and useful improvements in:

“HEART VALVE SUPPORT DEVICE”

for which an application for a United States Patent was filed on **May 22, 2020** and assigned Application No. **16/882,226**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed “said invention”), and in and to any and all patents, inventor’s certificates and other forms of protection (hereinafter termed “patents”) thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the

ASSIGNMENT OF PATENT APPLICATION

SG Docket No.: 14722-701.200

said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor and said Inventor's heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

06/10/2020

Date


Luca PESCE

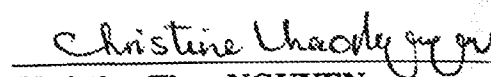
Date

John Paul USSIA06/10/2020

Date


Christine Thanh NGUYEN06/10/2020

Date


Christine Thao NGUYEN

ASSIGNMENT OF PATENT APPLICATION

SG Docket No.: 14722-701.200

said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

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IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

06/10/2020

Date_____
Date_____
Date_____
Luca PESCE

John Paul USSIA_____
Christine Thanh NGUYEN_____
Christine Thao NGUYEN
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