

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6476828

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KRISHNA KANNAN	07/26/2020
MILES GANDER	07/23/2020
EILEEN SPINDLER	06/26/2020
PAUL HARDENBOL	07/20/2020
RECEIVING PARTY DATA	
Name:	INSCRIPTA, INC.
Street Address:	5500 CENTRAL AVE., SUITE 220
City:	BOULDER
State/Country:	COLORADO
Postal Code:	80301
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17140056
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-219-2899
Email:	deb.nishihara@inscripta.com
Correspondent Name:	INSCRIPTA, INC.
Address Line 1:	5500 CENTRAL AVENUE
Address Line 2:	SUITE 220
Address Line 4:	BOULDER, COLORADO 80301
ATTORNEY DOCKET NUMBER:	INSC041US2
NAME OF SUBMITTER:	DEBBIE NISHIHARA
SIGNATURE:	/DebbieNishihara/
DATE SIGNED:	01/02/2021
Total Attachments: 6	
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PATENT

REEL: 054791 FRAME: 0874

DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

Title of Invention:

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☒ US Application No. **16/904,405**, filed on **June 17, 2020**

The above-identified application was made or authorized to be made by me.

I believe I am the original inventor (if only one name is listed below) or an original joint inventor (if plural names are listed below) of the subject matter which is claimed.

I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

For good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned inventor(s), do hereby sell, assign, and transfer to: **Inscripta, Inc.**, a corporation existing under the laws of the state of Delaware having a principal place of business at 5500 Central Ave., Suite 220, Boulder, CO 80301 ("Assignee"), its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the above-identified application and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and

Each of the undersigned inventors agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

And the Assignors hereby authorize and request the attorneys and agents to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and acknowledge that willful false statements and the like so made are punishable under Section 1001 of Title 18 of the United States Code by fine or imprisonment of not more than five (5) years, or both.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	INCREASED NUCLEIC-ACID GUIDED CELL EDITING IN YEAST		
This statement is directed to:			
<input type="checkbox"/> The attached application,			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>16/904,405</u> filed on <u>6/17/2020</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Krishna Kannan			
Residence (except for a deceased or legally incapacitated inventor):			
City	Boulder	State	CO
		Country	US
Mailing Address (except for a deceased or legally incapacitated inventor):			
5500 Central Ave., Suite 220			
City	Boulder	State	CO
		Zip	80301
		Country	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input checked="" type="checkbox"/> Assignee,			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,
- ☐ Inventor is under legal incapacity,
- ☒ Inventor cannot be found or reached after diligent effort, or
- ☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Sarah Brashears** Date (Optional): **7/26/2020**

Signature: **/SarahBrashears/**

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

Inscripta, Inc.

Applicant Name:

Title of Person Executing This Substitute Statement: **VP Legal, Inscripta, Inc.**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Boulder** State **CO** Country **US**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

5500 Central Ave., Suite 220

City **Boulder** State **CO** Zip **80301** Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

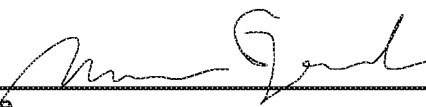
DECLARATION FOR PATENT APPLICATION (continued)

(1) Full Name of Inventor: Krishna Kannan

Signature

Date

(2) Full Name of Inventor: Miles Gander



Signature

7/23/2020

Date

(3) Full Name of Inventor: Eileen Spindler

Signature

Date

(4) Full Name of Inventor: Paul Hardenbol

Signature

Date

DECLARATION FOR PATENT APPLICATION (continued)

(1) Full Name of Inventor: Krishna Kannan

Signature

Date

(2) Full Name of Inventor: Miles Gander

Signature

Date

(3) Full Name of Inventor: Eileen Spindler



Signature

06/26/2020

Date

(4) Full Name of Inventor: Paul Hardenbol

Signature

Date

DECLARATION FOR PATENT APPLICATION (continued)

(1) Full Name of Inventor: Krishna Kannan

Signature

Date

(2) Full Name of Inventor: Miles Gander

Signature

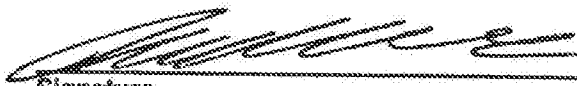
Date

(3) Full Name of Inventor: Eileen Spindler

Signature

Date

(4) Full Name of Inventor: Paul Hardenbol



Signature

JULY 70 2020

Date