# 506430512 01/04/2021

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6477279

SUBMISSION TYPE:	NEW ASSIGNMENT			
NATURE OF CONVEYANCE:	ASSIGNMENT			

#### **CONVEYING PARTY DATA**

Name	Execution Date	
DOW SILICONES CORPORATION	08/23/2019	

#### **RECEIVING PARTY DATA**

Name:	DDP SPECIALTY ELECTRONIC MATERIALS US 9, LLC
Street Address:	974 CENTRE ROAD
City:	WILMINGTON
State/Country:	DELAWARE
Postal Code:	19805

### **PROPERTY NUMBERS Total: 1**

Property Type	Number			
Application Number:	16327872			

#### **CORRESPONDENCE DATA**

**Fax Number:** (302)355-4243

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3029994278

Email: PTO-Legal.PRC@dupont.com
Correspondent Name: DUPONT DE NEMOURS INC

Address Line 1: 974 CENTRE ROAD

Address Line 2: CHESTNUT RUN PLAZA 721/2340
Address Line 4: WILMINGTON, DELAWARE 19805

ATTORNEY DOCKET NUMBER:	DIDC16043-US-PCT
NAME OF SUBMITTER:	JACKIE O'NEIL
SIGNATURE:	/Jackie O'Neil/
DATE SIGNED:	01/04/2021

# **Total Attachments: 7**

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PATENT 506430512 REEL: 054795 FRAME: 0842

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PATENT REEL: 054795 FRAME: 0843

## FORM OF PATENT ASSIGNMENT

This PATENT ASSIGNMENT (this "<u>Assignment</u>"), dated as of November 1, 2018 (the "<u>Effective Date</u>"), is by and between Dow Silicones Corporation (f/k/a Dow Corning Corporation), a Michigan corporation ("<u>Assignor</u>") and DDP Specialty Electronic Materials US 9, LLC, a Delaware limited liability company ("<u>Assignee</u>"), (each a "<u>Party</u>" and collectively, the "<u>Parties</u>").

WHEREAS, Assignor owns the issued patents and patent applications set forth on <u>Schedule A</u> hereto (the foregoing, including all patents issuing from any patent applications, collectively, the "<u>Assigned Patents</u>"); and

WHEREAS, the Parties hereto agree that the Assignor contribute, transfer, assign and convey to the Assignee all of its right, title and interest in and to the Assigned Patents and that the Assignee accept such contribution, transfer, assignment and conveyance of such Assigned Patents;

**NOW, THEREFORE**, in consideration of the foregoing and the covenants and agreements contained in this Assignment, the receipt and sufficiency of which are hereby acknowledged, the Parties hereby agree as follows:

- 1. <u>Conveyance</u>. Assignor hereby assigns, transfers and conveys to Assignee all of Assignor's right, title and interest in and to the Assigned Patents, together with any and all (a) related continuations, continuations-in-part, divisionals, reissues, reexaminations, substitutions, extensions, and foreign equivalents thereof and (b) priority rights derived from any the Assigned Patents, or the items described in the foregoing subsection (a), by virtue of the International Convention for the Protection of Industrial Property and any other rights provided under applicable treaties or conventions, including rights in any and all provisional applications, together with all rights and remedies against past, present, and future infringement, misappropriation, or other violation thereof, including the right to enforce the foregoing and to sue for and recover profits and damages for any and all infringements, misappropriations or violations thereof, whether past, present or future, to the full end of the term or terms for which said patents may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor without this assignment (the rights transferred under this Section 1 cumulatively, the "Assigned Rights").
- 2. Recordation; Further Assurances. Assignor and Assignee shall each take any and all additional actions as may be reasonably necessary to effect the transactions contemplated hereby, including Assignor's execution of individual assignment documentation prepared by Assignee at Assignee's expense for filing with the authorities of each individual country. In furtherance of the foregoing, Assignor agrees that with respect to the Assigned Patents it will enter into an assignment agreement suitable for filing with the authorities of each individual country (each an "Recordal Instrument"). The Parties agree that any Recordal Instrument shall give no greater rights or remedies in respect of the transaction completed in such Recordal

1

Instrument than those provided for herein and Section 4 of this Assignment shall apply to any Recordal Instrument as if fully set forth therein. As between the Parties, the responsibility to file assignments with the national patent offices of each country for the Assigned Patents shall be on the Assignee and the Assignee shall bear the cost of filing such assignments (unless, as of the Effective Date, the patent registration or application is not properly recorded in the name of the Assignor or an Affiliate of Assignor, in which case, at the request of Assignee, the Parties shall reasonably cooperate to make the necessary corrective filings and recordals of the documents that are available to them and shall split evenly any expenses in connection with the foregoing corrections and each Party shall provide any receipts and expense documentation to the other Party for the purposes of splitting such expenses).

- 3. <u>Prosecution and Maintenance</u>. For the avoidance of doubt but without limiting the obligations set forth in Section 2 hereof, as of and following the Effective Date, Assignor will have no responsibility to take any action to maintain any of the patents included in the Assigned Rights or further prosecute or seek issuance of any patent applications included in the Assigned Rights, including payment of fees, responses to any office action or other inquiries from agents of governmental entities or registrars, or otherwise.
- 4. <u>No Claims</u>. Except with respect to <u>Section 2</u> of this Assignment, neither Party nor any of their respective affiliates or representatives will have, or be subject to, any liability or indemnification obligation under this Assignment to the other Party, any of its affiliates or representatives or any other entity or person resulting from, or in connection with, this Assignment or the transactions contemplated hereby. Except with respect to Section 2, each of the Parties hereby agrees (a) not to bring any claim or Action (as defined herein) under this Assignment against the other Party, its affiliates or representatives and (b) to cause its respective affiliates and representatives to comply with this <u>Section 4</u>. "<u>Action</u>" shall mean any claims, actions, suits, inquiries, proceedings or investigations by or before any governmental authority or arbitral tribunal.
- 5. Disclaimer of Representations and Warranties. ASSIGNEE (ON BEHALF OF ITSELF AND ITS AFFILIATES) UNDERSTANDS AND AGREES THAT NO PARTY TO THIS ASSIGNMENT IS REPRESENTING OR WARRANTING IN ANY WAY IN THIS ASSIGNMENT, AND HEREBY EXPRESSLY DISCLAIMS ALL REPRESENTATIONS AND WARRANTIES, AS TO THE ASSIGNED PATENTS AND THE OTHER ASSIGNED RIGHTS, AS TO ANY CONSENTS OR APPROVALS (INCLUDING APPROVALS FROM ANY GOVERNMENTAL ENTITIES) REQUIRED IN CONNECTION HEREWITH OR THEREWITH, AS TO THE VALUE OR FREEDOM FROM ANY SECURITY INTERESTS OF OR THE NON-INFRINGEMENT OR ABSENCE OF OTHER VIOLATION, VALIDITY OR ENFORCEABILITY OR ANY OTHER MATTER CONCERNING THE ASSIGNED PATENTS AND OTHER PATENTS AND PATENT APPLICATIONS INCLUDED IN THE ASSIGNED RIGHTS, AND ALL OF THE ASSIGNED PATENTS AND OTHER ASSIGNED RIGHTS ARE BEING TRANSFERRED ON AN "AS IS, WHERE IS" AND "WITH ALL FAULTS" BASIS.

- 6. <u>Successors and Assigns</u>. The provisions of this Assignment and the obligations and rights hereunder shall be binding upon, inure to the benefit of and be enforceable by (and against) the Parties and their respective successors and permitted transferees and assigns.
- 7. <u>Counterparts</u>. This Assignment may be executed in more than one counterpart, all of which shall be considered one and the same agreement, and shall become effective when one or more such counterparts have been signed by each of the Parties and delivered to each of the Parties.
- 8. <u>Title and Headings</u>. Titles and headings to sections herein are inserted for the convenience of reference only and are not intended to be a part of or to affect the meaning or interpretation of this Assignment.
- 9. <u>Governing Law</u>. This Assignment and any dispute arising out of, in connection with or relating to this Assignment shall be governed by and construed in accordance with the Laws of the State of Delaware, without giving effect to the conflicts of laws principles thereof.

[Signature Page Follows]

IN WITNESS WHEREOF, Assignor and Assignee have duly executed this Assignment as of the date first written above.

**ASSIGNOR:** 

DOW SILICONES CORPORATION

Jonathan P. Wendt

Title: Secretary

# THE STATE OF MICHIGAN

County of Midland

This instrument was executed before me on this 23rd day of August, 2019, by Jonathan P. Wendt, the Secretary of Dow Silicones Corporation, a Michigan corporation, on behalf of said company.

> Notary Public in and for The State of Michigan

> > KIMBERLY S. BIRCH NOTARY PUBLIC - STATE OF MICHIGAN COUNTY OF MIDLAND
> >
> > My Commission Expires March 16, 2025
> >
> > Acting in the County of MIDLAND

Signature Page to Patent Assignment

Acknowledged and Accepted:	
ASSIGNEE:	
DDP SPECIAL MATERIALS	TY ELECTRONIC US 9, LLC
By: (alisa Name: Calissa	
Title: Assistar	at Secretary
THE STATE OF <u>DELA</u>	AWARE
County of New Castle	
This instrument was executed before me on the Calissa W. Brown, the Assistant See Electronic Materials US 9, LLC, a Delaware limited	cretary (title) of DDP Specialty

NE FOR The MISSON PRIES Pri

company.

Mary-Jane Ford Printed or Typed Name of Notary

My commission expires <u>Jan. 4</u>, 2023

Signature Page to Patent Assignment

# SCHEDULE A TO PATENT ASSIGNMENT

PATENT REEL: 054795 FRAME: 0849

#### SCHEDULE A PATENTS

DC16043-TW-		TRICHLORODISILAN		00/06/00177	10(10000)	201012027		
NP DC16043-WO	Taiwan - International	E TRICHLORODISILAN	CORPORATION DOW SILICONES	09/26/2017	106133001	201813927		
PCT	Procedure	E METHOD OF	CORPORATION	09/21/2017	PCT/US17/052609	WO/2018/052609		
DC16044-EP-	European Procedure	MAKING ALUMINUM FREE	DOW SILICONES					
EPA	(Patents)	NEOPENTASILANE	CORPORATION	06/21/2018	18179116.1	•		
		METHOD OF						
DC16044-US-	United States Of	MAKING ALUMINUM FREE	DOW SILICONES					
NP	America	NEOPENTASILANE	CORPORATION	06/11/2018	16/004802			
DC16054-TW-		CHLORODISILAZANE S		00/27/2017	106122216	201817908		
NP DC16054-WO-	Taiwan International	CHLORODISILAZANE	CORPORATION DOW SILICONES	09/2/1/2017	106133216	201817908		
PCT	Procedure	S	CORPORATION	09/21/2017	PCT/US17/52644	WO/2018/063907		
DC16061-TW- NP	Taiwan	SiH-FREE VINYLDISILANES	DOW SILICONES CORPORATION	09/19/2017	106132149	201829305		
DC16061-WO-		SiH-FREE	DOW SILICONES					
PCT DC4525-MY-	Procedure	VINYLDISILANES Thermoplastic Silicone	CORPORATION DOW SILICONES	09/15/2017	PCT/US17/051710	WO/2018/057411		
NP[2]	Malaysia	Elastomers	CORPORATION	04/22/1998	9801794		05/31/2004	MY-117127-A
		Method for Producing						
		Hydrogenated Silicon Oxycarbide Films						
DC4648-JP-		Having Low Dielectric	DOW SILICONES					1001.100
DIV	Japan	Constant Method for Producing	CORPORATION	10/26/2009	2009245328	2010028130	12/09/2011	4881422
		Hydrogenated Silicon						
DC4648-KR-		Oxycarbide Films Having Low Dielectric	DOW SILICONES					
NP	SOUTH KOREA		CORPORATION	05/27/1999	99/19173		10/11/2004	0453612
		Method for Producing						
		Hydrogenated Silicon Oxycarbide Films						
DC4648-TW-			DOW SILICONES					
NP	Taiwan	Constant Thermoplastic Silicone	CORPORATION	05/13/1999	088107766	491910	06/21/2002	491910
DC4686-DE-		Vulcanizates Prepared	DOW SILICONES					
EPA	Germany	by Condensation Cure	CORPORATION	09/16/1999	99118344.3	0994151	03/29/2006	69930571.3
DC4686-FR-		Thermoplastic Silicone Vulcanizates Prepared	DOW SILICONES					
EPA	France	by Condensation Cure	CORPORATION	09/16/1999	99118344.3	0994151	03/29/2006	0994151
DC4686-GB-		Thermoplastic Silicone Vulcanizates Prepared	DOW SILICONES					
EPA	United Kingdom	by Condensation Cure	CORPORATION	09/16/1999	99118344.3	0994151	03/29/2006	0994151
DC4696 ID		Thermoplastic Silicone	DOW SILICONES					
DC4686-JP- NP	Japan	Vulcanizates Prepared by Condensation Cure	CORPORATION	10/07/1999	287053/99	109696/00	04/08/2011	4717976
201004 110	TT 1: 10: 4 OC	Thermoplastic Silicone	DOW OF LOOVES					
DC4686-US- NP	United States Of America	Vulcanizates Prepared by Condensation Cure	DOW SILICONES CORPORATION	10/07/1998	09/167337		11/28/2000	6153691
		Fire Resistant						
DC4737-CN- PCT	China	Thermoplastic Silicone Vulcanizates	DOW SILICONES CORPORATION	01/31/2000	00803417.6	1339047A	01/14/2004	00803417.6
rcı	Ciiiia	Fire Resistant	COMORATION	01/31/2000	00003417,0	133704771	01/14/2004	00005-777,0
DC4737-DE-	C	•	DOW SILICONES	01/21/2000	00910031.4	1153079	09/25/2004	60013252.8
EPT	Germany	Vulcanizates Fire Resistant	CORPORATION	01/31/2000	00910031.4	1133079	08/23/2004	00013232.8
DC4737-US-			DOW SILICONES	V 2 4 4 11 11 11 11			0011010000	4 million (14 mill
CNT	America	Vulcanizates Pressure Sensitive	CORPORATION	06/13/2001	09/880480	2002-0055567	08/13/2002	0433049
		Adhesive Compositions						
DC4749-US- NP	United States Of America	for Transdermal Drug Delivery Devices	DOW SILICONES CORPORATION	02/06/1999	00/245687		01/08/2002	6337086
The state of the second	America	Thermoplastic Silicone	CORTORATION	02/00/1999	A 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		01/00/2002	
DC4844-CN-			DOW SILICONES	03/01/2001	0100704174	1446249A	02/22/2005	01807264.X
PCT	China	From Nylon Resins Thermoplastic Silicone	CORPORATION	03/21/2001	01807264.X	1440249A	03/23/2003	01807204,7
DC4844-DE-			DOW SILICONES					
EPT	Germany	From Nylon Resins Thermoplastic Silicone	CORPORATION	03/21/2001	01920590.5	1274801	09/01/2004	60105278,1
DC4844-KR-		Elastomers Formed	DOW SILICONES					
PCT	SOUTH KOREA	From Nylon Resins Thermoplastic Silicone	CORPORATION	03/21/2001	2002/7012809	2002-7012809	04/23/2007	712388
DC4844-US-	United States Of	*	DOW SILICONES					
CIP	America		CORPORATION	10/26/2001	10/015155	2002-0086937	03/30/2004	6713569
DC4844-US-	United States Of	Thermoplastic Silicone Elastomers Formed	DOW SILICONES					
NP	America	From Nylon Resins	CORPORATION	03/27/2000	09/535556		03/26/2002	6362287
DC4891-DE-		Thermoplastic Silicone Elastomers Formed	DOW SILICONES					
EPT	Germany	From Polyester Resins	CORPORATION	12/03/2001	01999611.5	1354003	09/29/2004	60106086,5
DC4891-US-	United States Of	Thermoplastic Silicone Elastomers Formed	DOW SILICONES					
NP	America		CORPORATION	12/04/2000	09/728920	2002-0103308	07/09/2002	6417293

Schedule A to IP Assignment Agreement

PATENT REEL: 054795 FRAME: 0850