# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6491328

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ZHIMIN GUO	01/14/2014
DAVID APELIAN	01/20/2014

### **RECEIVING PARTY DATA**

Name:	GLOBEIMMUNE, INC.
Street Address:	1450 INFINITE DRIVE
City:	LOUISVILLE
State/Country:	COLORADO
Postal Code:	80027

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17129633

### **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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ATTORNEY DOCKET NUMBER:	7797-1-PCT-CON-1-3
NAME OF SUBMITTER:	ANGELA M. DOMITROVICH
SIGNATURE:	/Angela M. Domitrovich/
DATE SIGNED:	01/12/2021

## **Total Attachments: 3**

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PATENT 506444559 REEL: 054887 FRAME: 0853

### ASSIGNMENT

WHEREAS, we, Zhimin Guo of 3130 Huron Peak Avenue, Superior, CO 80027, and David Apelian of 3 Old Beach Glen Road, Booton Township, NJ 07005, have invented a certain new and useful invention entitled Yeast-Brachyury Immunotherapeutic Compositions, for which an application for Letters Patent of the United States has been prepared and filed on March 14, 2013, receiving Application No. 13/803,719 and further identified as Attorney File No. 3923-34-PCT-CON.

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Globelmmune, Inc., a Delaware corporation having a place of business at 1450 Infinite Drive, Louisville, Colorado 80027, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Globelmmune, Inc., and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent in accordance with the terms of this Assignment, to Globelmmune, Inc., its successors, legal representatives and assigns.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

PATENT REEL: 054887 FRAME: 0854

Ľ	Date: Jan 14, 2014 By: Zhimin Guo
	state of colorado ) ) ss. county of Boulder )
a	Before me, a Notary Public in and for said County and State, personally appeared Zhimir Guo, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.
	Given under my hand and seal of office thisday ofday
**************************************	ANGELA SEBOR NOTARY PUBLIC STATE OF COLORADO NOTARY ID 20104048033 TY COMMISSION EXPIRES NOVEMBER 5, 2014

Date: 20 Bu Zay

By: David Apelian

STATE OF COLORADO ) ss.
COUNTY OF New Yearson)

Before me, a Notary Public in and for said County and State, personally appeared David Apelian, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 20 day of 12 day of 12 day.

Notary Public

My commission expires: Was ust 31, 2015



PATENT REEL: 054887 FRAME: 0856

**RECORDED: 01/12/2021**