

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6481559

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the ASSIGNEE NAME previously recorded on Reel 018467 Frame 0312. Assignor(s) hereby confirms the CORRECT NAME OF ASSIGNEE IS AEGIS THERAPEUTICS, LLC.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
EDWARD T. MAGGIO	06/26/2006
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	AEGIS THERAPEUTICS, LLC
<b>Street Address:</b>	3430 CARMEL MOUNTAIN ROAD, SUITE 300
<b>City:</b>	SAN DIEGO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	92121
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	11474055
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(412)454-5060
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(412)454-5000
<b>Email:</b>	docketingpgh@troutman.com
<b>Correspondent Name:</b>	TROUTMAN PEPPER HAMILTON SANDERS LLP
<b>Address Line 1:</b>	501 GRANT STREET, SUITE 300
<b>Address Line 2:</b>	UNION TRUST BUILDING
<b>Address Line 4:</b>	PITTSBURGH, PENNSYLVANIA 15219-4429
<b>ATTORNEY DOCKET NUMBER:</b>	148570.001301
<b>NAME OF SUBMITTER:</b>	KEVIN T. MALESIC
<b>SIGNATURE:</b>	/Kevin T. Malesic/
<b>DATE SIGNED:</b>	01/06/2021
<b>Total Attachments: 7</b>	
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Form PTO 1595

RE

10-31-2006



Docket No.: AEGIS1250

10.27.06

To the Honorable Commissioner for Patents a.

103329980

original documents or copy thereof.

1. Name of Conveying party(ies):

a. Edward T. Maggio

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

2. Name and address of receiving Party(ies)

Name: AEGIS THERAPEUTICS, INC.

Internal Address: \_\_\_\_\_

Street Address: 16870 W. Bernardo Drive, Suite 390

City: San Diego State: California

Postal Code: 92127 Country: United States

3. Nature of conveyance:

X Assignment \_\_\_\_\_ Merger  
\_\_\_\_\_ Security Agreement \_\_\_\_\_ Change of name  
\_\_\_\_\_ Other \_\_\_\_\_

Execution Date: a. June 26, 2006

Additional name(s) & address(es) attached?  
\_\_\_ Yes X No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

11/474,055

B. Patent No.(s)

Additional numbers attached? \_\_\_ Yes X No

OFFICE OF PATENT RECORDS  
708 OCT 27 PM 2:05  
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa A. Haile, J.D., Ph.D.  
DLA PIPER US LLP

Street Address: 4365 Executive Drive, Suite 1100  
City: San Diego State: CA Zip: 92121-2133

6. Total number of Applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

X Enclosed - Check No.: 583649  
X Authorized to charge any underpayment to  
Deposit Account No. 07-1896.

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa A. Haile, J.D., Ph.D.  
Name of Person Signing  
Registration No. 38,347

Signature

October 24, 2006  
Date

Total number of pages including cover sheet, attachments, and document: FIVE

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( 40.00 )

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a. Edward T. Maggio

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving Party(ies)

Name: AEGIS THERAPEUTICS, LLC  
~~INC.~~

Internal Address: \_\_\_\_\_

Street Address: ~~16870 W. Bernardo Drive, Suite 390~~  
3430 Carmel Mountain Road, Suite 300

City: San Diego State: California

Postal Code: 92127 Country: United States  
92121

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Assignment  Merger  
 Security Agreement  Change of name  
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11/474,055

B. Patent No.(s)

Additional numbers attached?  Yes  No

OFFICE OF PATENT RECORDS  
708 OCT 27 PM 2:05  
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ~~Lisa A. Haile, J.D., Ph.D.~~ Kevin T. Malesic  
~~DLA PIPER US LLP~~ Troutman Pepper

Street Address: ~~4365 Executive Drive, Suite 1100~~  
City: ~~San Diego~~ State: ~~CA~~ Zip: ~~92121-2133~~

501 Grant Street, Suite 300, Union Trust Building, Pittsburgh, PA 15219-4429

6. Total number of Applications and patents involved: 1

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin T. Malesic  
~~Lisa A. Haile, J.D., Ph.D.~~  
Name of Person Signing

/Kevin T. Malesic/  
Signature

January 6, 2021  
~~October 24, 2006~~  
Date

# Corrective Assignment

ATTORNEY DOCKET NO.:  
AEGIS1250  
(148570.001301)

## ASSIGNMENT

This assignment ("Assignment") is made by Edward T. Maggio of San Diego, California (the "Assignor") to Assignee, ~~AEGIS THERAPEUTICS, INC.~~ ("Assignee"), having a place of business at 16870 W. Bernardo Drive, Suite 390, San Diego, California 92127.

AEGIS THERAPEUTICS, LLC	
Initials 	Date Dec. 9, 2020

### Recitals

A. Each of the Assignors has invented a new and useful invention entitled **STABILIZING ALKYLGLYCOSIDE COMPOSITIONS AND METHODS THEREOF** for which an application for United States Letters Patent Application was filed **June 23, 2006** in the United States Patent and Trademark Office.

B. Each of the Assignors authorizes and requests insertion of the serial number of the application when officially known:

The United States Application Serial No.: 11/474,055 ;

C. Each of the Assignors believes the Assignors to be the original, first and joint inventors of the invention disclosed and/or claimed in the application for Letters Patent.

D. The parties desire to have a recordable instrument assigning from the Assignors to the Assignee the entire rights, title and interest in and to the invention, the application and all Letters Patent in the United States and throughout the world that may be granted or issued for the invention or that derive a right of priority from the application (including, without limitation, all divisional, continuation, continuation-in-part and continued prosecution applications thereof, and all reissues and reexaminations thereof, and all foreign counterparts thereof) (collectively, the "Invention Patents").

# Corrective Assignment

ATTORNEY DOCKET NO.:

AEGIS1250

(148570.001301)

## Agreement

NOW, THEREFORE, in accordance with the obligations to assign the Invention Patents and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each of the Assignors agrees to the foregoing and as follows:

1. Each of the Assignors does and will sell, assign and transfer to Assignee, such Assignor's entire rights, title, and interest in and to each of the Invention Patents, including, without limitation, the rights to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

2. Each of the Assignors agrees that, upon request and without further compensation, but at no expense to such Assignor, such Assignor and such Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing each of the Invention Patents in the United States and throughout the world, and for perfecting, recording, or maintaining the title of Assignee, and Assignee's successors and assigns, in and to each of the Invention Patents in the United States and throughout the world. In the event that Assignee is unable for any reason to secure any of the Assignors' signatures to any document that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing each of the Invention Patents in the United States and throughout the world, or for perfecting, recording, or maintaining the title of Assignee, and Assignee's successors and assigns, in and to each of the Invention Patents in the United States and throughout the world, each such Assignor hereby irrevocably designates and appoints Assignee and Assignee's duly authorized officers and agents as such Assignor's agents and attorneys-in-fact to act for and on such Assignor's behalf and instead of such Assignor to execute such document, all with the same legal force and effect as if executed by such Assignor.

# Corrective Assignment

ATTORNEY DOCKET NO.:

AEGIS1250

(148570.001301)

3. Each of the Assignors represents and warrants that such Assignor has not granted and will not grant to others either (1) any rights, title or interest in and to any of the Invention Patents, or (2) any rights inconsistent with the rights granted herein.

4. Each of the Assignors authorizes and requests the Assistant Commissioner for Patents of the United States and the various counterparts thereof throughout the world to issue and/or grant any Letters Patent granted for each of the Invention Patents to Assignee, its successors and assigns, as the assignee of the entire interest in such Invention Patents.

5. This Assignment constitutes the entire agreement with respect to its subject matter and supersedes all prior or contemporaneous oral or written agreements concerning such subject matter. No waiver, amendment, or modification of this Assignment shall be effective against the Assignee, unless in writing executed by a duly authorized representative of the Assignee. This Assignment shall be governed in all respects by the laws of the United States of America and by the laws of the state/province of California, as such laws are applied to agreements entered into and to be performed entirely within California between California residents. Each of the parties irrevocably consents to the exclusive personal jurisdiction of the federal and state courts located in California, as applicable, for any matter arising out of or relating to this Assignment, except that in any action seeking to enforce any order or any judgment of such federal or state courts located in California, such personal jurisdiction shall be nonexclusive. A breach of any of the promises or agreements contained herein will result in irreparable and continuing damage to Assignee for which there will be no adequate remedy at law, and Assignee shall be entitled to injunctive relief and/or a decree of specific performance, and such other relief as may be proper.

# Corrective Assignment

ATTORNEY DOCKET NO.:


AEGIS1250

(148570.001301)

IN WITNESS WHEREOF, each of the Assignors has executed this Assignment  
on the date(s) provided below.

Assignor: Edward T. Maggio

Date: 6/28/08

Signature: 

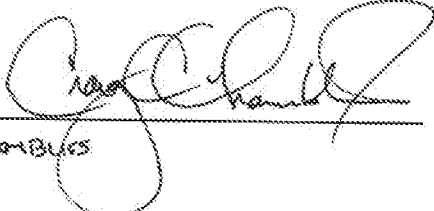


**Acceptance of Corrective Assignment by Assignee**

Agreed and accepted:

Aegis Therapeutics, LLC

Date: 12/23/20

Assignee:   
By: CRAG CHAMBLISS  
Title: CEO

**ACKNOWLEDGMENT**

STATE OF California )  
 )  
COUNTY OF San Diego ) SS:

On this 23<sup>rd</sup> day of December, 2020 before me, the undersigned Notary Public, personally appeared Craig Chambliss, to me known (or satisfactorily proven) to be the person named in and who executed the above instrument, and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public Elaine M. Schmidt

My commission expires Jan 16, 2021

