# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6487918

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CORRECTION BY DECLARATION TO UPDATE OWNERSHIP ERRONEOUSLY MADE IN A PREVIOUSLY RECORDED DOCUMENT AT REEL 050408, FRAME 0981 THAT ERRONEOUSLY AFFECTS THE IDENTIFIED PATENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
NEX SERVICES NORTH AMERICA LLC	01/08/2021

## **RECEIVING PARTY DATA**

Name:	NEX SERVICES NORTH AMERICA LLC		
Street Address:	1209 ORANGE STREET		
City:	WILMINGTON		
State/Country:	DELAWARE		
Postal Code:	19801		

## **PROPERTY NUMBERS Total: 1**

Property Type	Number		
Patent Number:	8321327		

## **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	004672-09178Y-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	01/08/2021

**Total Attachments: 2** 

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PATENT REEL: 054941 FRAME: 0728

506441150

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Drennan, Jesse Robert Bayer, Jerome

U.S. Patent No. 8,321,327 Serial No: 12/774,053

Filed: May 5, 2010

Granted: November 27, 2012

For: MAPPING AN OVER THE COUNTER TRADE INTO A CLEARING HOUSE

# **DECLARATION UNDER MPEP § 323.01(c)**

#### Dear Sir:

Per MPEP § 323.01(c), as representative of NEX Services North America LLC, owner of the above referenced patent, I hereby declare that the document recorded on September 17, 2019 at reel/frame 050408/0981 relating to a conveyance comprising an acquisition by CME GROUP INC. of NEX GROUP PLC was improperly filed and recorded against the above referenced patent.

## I further declare that:

- (a) My name is James L. Katz and I am the legal representative of NEX Services North America LLC, owner of the above referenced patent;
- (b) A completed cover sheet is included herewith identifying the patent against which the conveyance was improperly recorded;
- (c) NEX Services North America LLC is the correct owner;
- (d) The conveyance recorded on September 17, 2019 at reel/frame 050408/0981 included a cover sheet with erroneous information and the recorded document did not actually

PATENT REEL: 054941 FRAME: 0729 convey the above referenced patent as indicated on the cover sheet recorded therewith;

- (e) The required fee under 37 CFR 3.41, presently \$0, is included herewith;
- (f) The true chain of title is as follows:

RECORDED: 01/08/2021

Reel/	Conveyance	Execution	Date	Assignor	Assignee	Assignee address
Frame		date	recorded	names	name	
025380/0796	ASSIGNMENT	2010/August/3	2010/November/17	-Jesse Robert	ICAP North	1100 Harborside
	OF ASSIGNORS			Drennan	America, Inc.	Financial Center
	INTEREST			-Jerome Bayer		Plaza 5
						Jersey City, New Jersey
						07311
040806/0313	ASSIGNMENT	2016/December/22	2016/December/29	ICAP North	Intercapital Services	1100 Plaza Five
	OF ASSIGNORS			America, Inc.	North America LLC	Jersey City, New Jersey
	INTEREST					07311
050410/0474	CHANGE OF	2017/February/21	2019/September/17	Intercapital	NEX Services North	1209 Orange Street
	NAME			Services North	America LLC	Wilmington, Delaware
				America LLC		19801

- (g) The chain of title for the above referenced patent should not be considered altered by the incorrect assignment recorded on September 17, 2019 at reel/frame 050408/0981; and
- (h) The last correct assignee, NEX Services North America LLC, has been, and continues to be, the owner of the patent at issue.

Respectfully submitted,

Dated: January 8, 2021 /James L. Katz/
James L. Katz (Reg. No. 42,711)

PATENT REEL: 054941 FRAME: 0730