

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT6503680

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KWOK YAO	11/22/2019
KENKEN LUONG	11/22/2019
RAJINDER SINGH	11/22/2019
YIBIN ZENG	11/22/2019
PENGLIE ZHANG	11/22/2019
REBECCA M. LUI	11/22/2019
MANMOHAN REDDY LELETI	08/24/2020
RECEIVING PARTY DATA	
Name:	CHEMOCENTRYX, INC.
Street Address:	850 MAUDE AVENUE
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94043
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	17091001
PCT Number:	US2020059280
CORRESPONDENCE DATA	
Fax Number:	(415)432-6001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4154326000
Email:	kaheldt@mintz.com
Correspondent Name:	WILLIAM B. KEZER
Address Line 1:	MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C
Address Line 2:	44 MONTGOMERY STREET, 36TH FLOOR
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94104
ATTORNEY DOCKET NUMBER:	050779-609001US-WO-014610
NAME OF SUBMITTER:	KIMBERLY HELDT
SIGNATURE:	/Kimberly Heldt/

PATENT

DATE SIGNED:	01/19/2021
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Total Attachments: 16

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ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) patent application entitled

“AMORPHOUS FORM OF A COMPLEMENT COMPONENT C5a RECEPTOR,”

filed with the U.S. Patent & Trademark Office on November 8, 2019

and assigned serial no. 62/932,644.

For good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to **ChemoCentryx, Inc.**, a corporation of the State of Delaware having a principal place of business at 850 Maude Avenue, Mountain View CA 94043 (“Assignee”), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 2 of 8

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature: _____

Simon YAU

aka KWOK YAU YAU

Date: _____

11/22/19

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20____, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

SEE ATTACHED

NOTARY PUBLIC

My Commission Expires: _____

JURAT

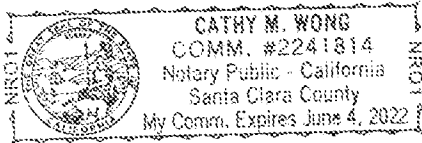
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of San Clara

Subscribed and sworn to (or affirmed) before me on this 22nd day of November, 2019, by Y. W. K. Yau

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



(Seal)

Signature Cathy

Optional information. This certificate is attached to:

Title or type of document: Assignment (Patent Application) 62/52,614

Number of pages: 8

Date of document: November 22, 2019

Signer(s) other than named above: Rebecca M. Lin, ESQ.

Notary phone number: 650-290-3550 or 408-355-3700

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 3 of 8

Signature: _____

Kenken LUONG

Date: 11-22-19

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

SEE BELOW
NOTARY PUBLIC

My Commission Expires:

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

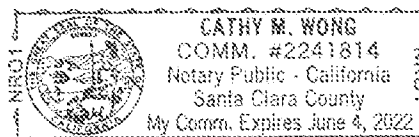
State of California

County of San Jose

Subscribed and sworn to (or affirmed) before me on this 22 day of November, 2019

by Kenken Luong
proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Signature: _____

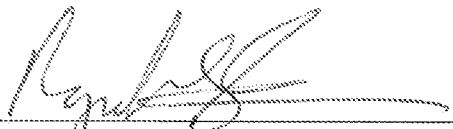


ASSIGNMENT

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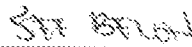
Signature: 
Rajinder SINGH

Date: 11/22/19

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this _____ day of _____, 20____, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

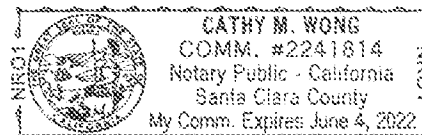
(Seal)


NOTARY PUBLIC
My Commission Expires:

A notary public in order to complete this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of SANTA CLARA
Subscribed and sworn to (or affirmed) before me
on this 22 day of November, 2019
by RAJINDER SINGH
proved to me on the basis of satisfactory evidence
to be the person (s) who appeared before me.

Signature: 



ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 5 of 8

Signature: _____

Yibin ZENG

Date: _____

4/22/2019

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

See Below
NOTARY PUBLIC

My Commission Expires: _____

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Santa Clara

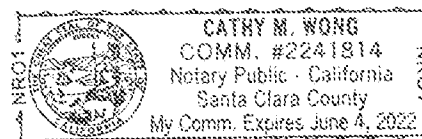
Subscribed and sworn to (or affirmed) before me
on this 22nd day of September, 2019

by Yibin Zeng

proved to me on the basis of satisfactory evidence
to be the person (s) who appeared before me.

Signature: _____

[Signature]



ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

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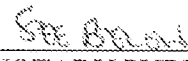
Signature: 
Penglie ZHANG

Date: 11/21/2019

STATE OF _____


Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20____, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

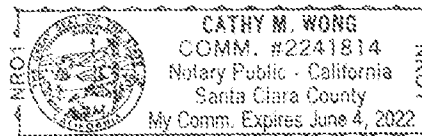
(Seal)


NOTARY PUBLIC
My Commission Expires:

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Santa Clara
Subscribed and sworn to (or affirmed) before me
on this 22nd day of November, 2019
by Penglie ZHANG
proved to me on the basis of satisfactory evidence
to be the person(s) who appeared before me.

Signature: 



ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 8 of 8

Signature: _____

Rebecca M. LUI

Date: _____

11/22/19

11/22/19

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

SEE BELOW
NOTARY PUBLIC

My Commission Expires: _____

A notary public or other official completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

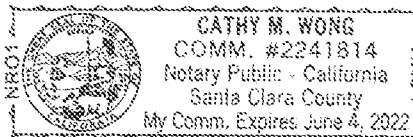
State of California

County of Santa Clara

Subscribed and sworn to (or affirmed) before me on this 22nd day of November, 2019

by REBECCA M. LUI
proved to me on the basis of satisfactory evidence to be the person (s) who appeared before me.

Signature: _____



ASSIGNMENT
(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) patent application entitled

“AMORPHOUS FORM OF A COMPLEMENT COMPONENT C5a RECEPTOR,”

filed with the U.S. Patent & Trademark Office on November 8, 2019

and assigned serial no. 62/932,644.

For good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to **ChemoCentryx, Inc.**, a corporation of the State of Delaware having a principal place of business at 850 Maude Avenue, Mountain View CA 94043 (“Assignee”), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 2 of 8

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature: _____

Date: _____

Simon YAU

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires:

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 3 of 8

Signature: _____

Date: _____

Kenken LUONG

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires:

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 4 of 8

Signature: _____

Date: _____

Rajinder SINGH

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires:

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 5 of 8

Signature: _____

Date: _____

Yibin ZENG

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires:

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 6 of 8

Signature: _____

Date: _____

Penglie ZHANG

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires:

ASSIGNMENT

U.S. Serial No. 62/932,644

Attorney Docket No. 050779-609P01US-014600US

Page 7 of 8

Signature: Manmohan Leleti

Date: 8/24/2020

Manmohan Reddy LELETI

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires:

Signature: _____

Date: _____

Rebecca M. LUI

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, 20__, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC

My Commission Expires: