

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6513526

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BENOIT ADAMO	11/07/2017
DAVID BOISVERT	11/07/2017
SCOTT MCLEAN	11/21/2017
DAVID CONSIDINE	11/07/2017
ANDREW BERNHARDT JR	11/03/2017
RECEIVING PARTY DATA	
Name:	SPINE WAVE, INC.
Street Address:	3 ENTERPRISE DRIVE
City:	SHELTON
State/Country:	CONNECTICUT
Postal Code:	06484
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16150335
CORRESPONDENCE DATA	
Fax Number:	(973)331-1717
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9733311700
Email:	hbmail@hbiplaw.com
Correspondent Name:	HOFFMANN AND BARON LLP
Address Line 1:	6900 JERICO TURNPIKE
Address Line 4:	SYOSSET, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	1487-138
NAME OF SUBMITTER:	MATTHEW J. SOLOW
SIGNATURE:	/matthew j. solow/
DATE SIGNED:	01/25/2021
Total Attachments: 4	
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Attorney's Docket No.: 1487-139P
(Client Ref: PS-027P)

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☐ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Benoit Adamo Name	David Boisvert Name	Scott McLean Name
3 Kingswood Way Address	140 Brownstone Drive Address	6 Farmery Lane Address
South Salem, NY 10590	Southington, CT 06489	Sandy Hook, CT 06482
US Nationality	US Nationality	US Nationality
David Considine Name	Andrew Bernhardt, Jr. Name	
103 Fiddler Green Road Unit C Address	711 Coventry Lane Apt. B1 Address	
Stratford, CT 06614	Florence, SC 29501	
Nationality	Nationality	

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

Assignment of Invention - Page 1 of 3

PATENT
REEL: 055020 FRAME: 0036

hereby sells, assigns and transfers to

ASSIGNEE:

SPINE WAVE, INC.
(Type or print name of ASSIGNEE)

3 Enterprise Drive, Suite 210
Address

Shelton, CT 06484

US
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

MODULAR INSERTER FOR ANTERIOR CERVICAL CAGE

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. Provisional Application Serial No. 62/570,179 filed on October 10, 2017
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

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(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: <u>X</u> <u>11/7/17</u> Date of signing	<u>X</u> <u>Benoit Adamo</u> Benoit Adamo
Date: <u>X</u> <u>11/9/17</u> Date of signing	<u>X</u> <u>David Boisvert</u> David Boisvert
Date: <u>X</u> <u>11/21/17</u> Date of signing	<u>X</u> <u>Scott McLean</u> Scott McLean
Date: <u>X</u> <u>11/7/2017</u> Date of signing	<u>X</u> <u>David Considine</u> David Considine
Date: <u>X</u> Date of signing	<u>X</u> Andrew Bernhardt, Jr.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

Assignment of Invention - Page 3 of 3

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

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Date: X
Date of signing

X
Benoit Adamo

Date: X
Date of signing

X
David Boisvert

Date: X
Date of signing

X
Scott McLean

Date: X
Date of signing

X
David Considine

Date: X Nov 3, 2017
Date of signing

X
Andrew Bernhardt, Jr.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.