# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
STEPHEN JOSEPH SHUTTLEWORTH	09/10/2018
ELISABETH ANN BONE	09/10/2018
FRANCK ALEXANDRE SILVA	09/12/2018
ALEXANDER RICHARD LIAM CECIL	09/10/2018

#### **RECEIVING PARTY DATA**

Name:	KARUS THERAPEUTICS LIMITED	
Street Address:	GENESIS BUILDING	
Internal Address:	LIBRARY AVENUE, HARWELL CAMPUS	
City:	OXFORDSHIRE	
State/Country:	UNITED KINGDOM	
Postal Code:	OX11 0SG	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17071543

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**Correspondent Name:** GOODWIN PROCTER LLP - PATENT ADMINISTRATOR

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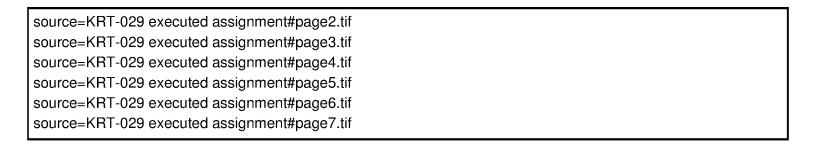
ATTORNEY DOCKET NUMBER:	KRT-029C1	
NAME OF SUBMITTER:	HUNTER IMLAY	
SIGNATURE:	/Hunter Imlay/	
DATE SIGNED:	01/29/2021	

**Total Attachments: 7** 

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**PATENT REEL: 055078 FRAME: 0679** 

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PATENT REEL: 055078 FRAME: 0680

#### ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Stephen Joseph Shuttleworth; Elizabeth Ann Bone; Franck Alexandre Silva; and Alexander Richard Liam Cecil (hereinafter referred to as Assignors), residing at c/o Karus Therapeutics Limited, Genesis Building, Library Avenue, Harwell Campus, OXFORDSHIRE, OX11 OSG, UNITED KINGDOM; c/o Karus Therapeutics Limited, Genesis Building, Library Avenue, Harwell Campus, OXFORDSHIRE, OX11 OSG, UNITED KINGDOM; c/o Karus Therapeutics Limited, Genesis Building, Library Avenue, Harwell Campus, OXFORDSHIRE, OX11 OSG, UNITED KINGDOM; c/o Karus Therapeutics Limited, Genesis Building, Library Avenue, Harwell Campus, OXFORDSHIRE, OX11 OSG, UNITED KINGDOM, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in COMPOSITIONS COMPRISING TRICYCLIC HETEROCYCLIC COMPOUNDS, set forth in a Patent application for which an International Application was filed on August 19, 2016, PCT/GB2016/052577, designating the United States which entered the national phase under 35 U.S.C. § 371 on February 19, 2018, as U.S. Patent Application No. 15/753,359; and

WHEREAS, Karus Therapeutics Limited, having its principal place of business at Genesis Building, Library Avenue, Harwell Campus, OXFORDSHIRE, OX11 OSG, UNITED KINGDOM (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said

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Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner for Patents and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

KRT-029

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

## GOODWIN PROCTER LLP

All practitioners at Customer Number 051414

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

KRT-029

10 · 44 · 2016 Date Stephen Joseph Shuttleworth

Witness:

10, 2016

Date

Zaelokako

PATENT REEL: 055078 FRAME: 0684 (5) Sq. 2018 \_\_\_\_\_

Elizabeth Ann Bone

Witness:

10 th SECT 2018

Date

P. P. Marie

PATENT REEL: 055078 FRAME: 0685

12 Sep 2018	Franck Alexandre Silva
Witness: 12 Sep 2018	Accel
Date	ACEDANDER CECIC

10 Sep 2018	Aceal
Date	Alexander Richard Liam Cecil
Witness:	1 2 11
/8/07/2018 Date	- 1.1.4Can.

PATENT REEL: 055078 FRAME: 0687

RECORDED: 01/29/2021