

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6525961

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER		
EFFECTIVE DATE:	01/03/2020		
CONVEYING PARTY DATA			
Name			Execution Date
LOTTE ADVANCED MATERIALS CO., LTD.			01/03/2020
RECEIVING PARTY DATA			
Name:	LOTTE CHEMICAL CORPORATION		
Street Address:	300, OLYMPIC-RO SONGPA-GU		
City:	SEOUL		
State/Country:	KOREA, REPUBLIC OF		
Postal Code:	05551		
PROPERTY NUMBERS Total: 1			
Property Type	Number		
Patent Number:	10273361		
CORRESPONDENCE DATA			
Fax Number:	(704)945-6735		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	704-945-6700		
Email:	docket@ahpapatent.com		
Correspondent Name:	ADDITON, HIGGINS & PENDLETON, P.A.		
Address Line 1:	10706 SIKES PLACE		
Address Line 2:	SUITE 350		
Address Line 4:	CHARLOTTE, NORTH CAROLINA 28277		
ATTORNEY DOCKET NUMBER:	1224.245		
NAME OF SUBMITTER:	MELISSA B. PENDLETON		
SIGNATURE:	/Melissa B. Pendleton/		
DATE SIGNED:	02/01/2021		
Total Attachments: 5			
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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Lotte Advanced Materials Co., Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 3, 2020

- ☐ Assignment ☒ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Lotte Chemical Corporation

Internal Address: _____

Street Address: 300, Olympic-ro Songpa-gu

City: Seoul

State: _____

Country: South Korea Zip: 05551

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

10,273,361

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Additon, Higgins & Pendleton, P.A.

Internal Address: _____

Street Address: 10706 Sikes Place, Suite 350

City: Charlotte

State: NC Zip: 28277-8202

Phone Number: 704-945-6700

Fax Number: 704-945-6735

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: /Melissa B. Pendleton/

February 1, 2021

Melissa B. Pendleton

Signature

Date

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Commercial register (Current Matters) [For Submission]

Registry Number	022100	
Registration Number	110111-0193196	
Corporate Name : LOTTE CHEMICAL CORPORATION	2012.12.27.	Amended
	2012.12.27.	Registered
Address of Head Office : 300 Olympic-ro, Songpa-gu, Seoul, Republic of Korea	2017.06.26.	Amended
	2017.06.26.	Registered
Par-value of Share : KRW 5,000		Amended
		Registered
Total Number of Share to be Issued : 100,000,000	1999.03.19.	Amended
	1999.03.25.	Registered

Matters Regarding Officers

Representative Director: Gyo-Hyun KIM 570905-*****
 102-501, 70, Boramae-ro, Dongjak-gu, Seoul, Republic of Korea
 2017.03.24. Appointed 2017.03.27. Registered
 2019.03.27. Reappointed 2019.03.28. Registered

Miscellaneous

Name, address and place of business of agent for the transfer of the title:

L. Agent: Hana Bank, Co., Ltd.
 35 Euljiro, Jung-gu, Seoul, Republic of Korea
 2017.09.25. Amended 2017.09.29. Registered

1. Merger

Merged with and acquired LOTTE Daesan Petrochemical Corporation
 address: 634 Dokgochi, Daesan-eup, Seosan-si, Chungcheongnam-do, Republic of Korea
 2009.01.05. Registered

1. Merger

Merged with and acquired KP Chemical Corporation on Dec 27, 2012
 address: 427-3, Sanggae-dong, Nam-gu, Ulsan, Republic of Korea
 2012.12.28. Registered

1. Merger

Merged with and acquired LOTTE Advanced Materials Co., Ltd.
 address: 334-27, Yeosusandan-ro, Yeosu-si, Jeollanam-do, Republic of Korea
 2020.01.03. Registered

Date of Incorporation	1976.03.16.
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Registry Number	022100
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Fee of 1,000 Won received.

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Registry Office: Seoul District Court Registration Office / Issuing Registry Office:
Central Registered information Office of the Office of Court Administration

It is hereby confirmed that the content of this certificate is identical to that of the closed registry record. [However, matters regarding unregistered offices/managers and ineffective registration will be omitted]

Jan 10, 2020

Central Registered Information Office of the Office of Court Administration

Officer in Charge of Computer Operation: (Official Seal affixed)

*Areas which have been crossed out have been cancelled (altered, corrected). *Registration Certification may be printed in color.

The authenticity of the document can be checked by scanning the bar code below or by typing the issue confirmation number at Internet Registry Office Homepage (<http://www.irose.go.kr>) issue confirmation menu. It can be checked maximum 5 times for 3 months from the issued date.

등기사항일부증명서(현재 유효사항)[제출용]

등기번호	022100	
등록번호	110111-0193196	
상 호	롯데케미칼 주식회사 (LOTTE CHEMICAL CORPORATION)	2012.12.27 변경 2012.12.27 등기
본 점	서울특별시 송파구 올림픽로 300(신천동)	2017.06.26 변경 2017.06.26 등기
1주의 금액	금 5,000 원	변경 등기
발행할 주식의 총수	100,000,000 주	1999.03.19 변경 1999.03.25 등기

임원에 관한 사항

대표이사 김교현 570805-***** 서울특별시 동작구 보라매로 70, 102동 501호(신대방동, 보라매이
편한세상아파트)

2017 년 03 월 24 일 취임 2017 년 03 월 27 일 등기
2019 년 03 월 27 일 중임 2019 년 03 월 28 일 등기

기 타 사 항

1. 명의개서대리인

1. 명의개서 대리인의 성명과 주소

주식회사 하나은행

서울특별시 중구 을지로 35(을지로1가)

2017 년 09 월 25 일 변경 2017 년 09 월 29 일 등기

1. 흡수합병

충청남도 서산시 대산읍 독곶리 634 주식회사 롯데대산유화물 합병

2009 년 01 월 05 일 등기

1. 흡수합병

2012년 12월 27일 울산광역시 남구 상계동 427-3 주식회사 케이피케이칼을 합병

2012 년 12 월 28 일 등기

1. 흡수합병

전라남도 여수시 여수산단로 334-27(평여동) 롯데정단소재 주식회사를 합병

2020 년 01 월 03 일 등기

회사성립연월일

1976 년 03 월 15 일

수수로 1,000원 영수함

--- 이 하 여 백 ---

관할등기소 : 서울중앙지방법원 등기국 / 발행등기소 : 법원행정처 등기정보중앙관리소

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의

발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.

발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10

발급확인번호 1004-AATX-KYAI

- 1/2 -

PATENT

REEL: 055093 FRAME: 0269

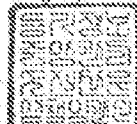
등록번호	022100
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이 증명서는 동기기록의 내용과 불립없음을 증명합니다. [다만, 신청이 없는 동기사항과 현재 효력이 없는 동기사항의 기재를 생략하였습니다]

서기 2020년 01월 10일

법원행정처 동기정보중앙관리소

전산운영책임관



* 실선으로 그어진 부분은 발소(변경, 개정)된 동기사항입니다. * 동기사항증명서는 컬러로 출력 가능합니다.

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷동기소(<http://www.iros.go.kr>)의 발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.
발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

발급확인번호 1004-AAIX-KYA1

11001060224010001112000420002011027210012181401131 | 발행일: 2020/01/10

- 2/2 -

