

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6515215

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the ENTITY NAME FOR ASSIGNEE TO: AROG PHARMACEUTICALS, INC. previously recorded on Reel 053538 Frame 0178. Assignor(s) hereby confirms the SUBMISSION IS TO CORRECT AN ERROR IN A COVER SHEET PREVIOUSLY RECORDED.		
<b>CONVEYING PARTY DATA</b>			
		<b>Name</b>	<b>Execution Date</b>
		AROG PHARMACEUTICALS, LLC	09/30/2014
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	AROG PHARMACEUTICALS, INC.		
<b>Street Address:</b>	5420 LBJ FREEWAY, STE. 410		
<b>City:</b>	DALLAS		
<b>State/Country:</b>	TEXAS		
<b>Postal Code:</b>	75240		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>		<b>Number</b>	
<b>Application Number:</b>		16997000	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	(214)866-0010		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	2148660001		
<b>Email:</b>	kthiesse@chalkerflores.com		
<b>Correspondent Name:</b>	EDWIN FLORES C/O CHALKER FLORES LLP		
<b>Address Line 1:</b>	14951 NORTH DALLAS PARKWAY, SUITE 400		
<b>Address Line 4:</b>	DALLAS, TEXAS 75254		
<b>ATTORNEY DOCKET NUMBER:</b>	AROG:1000CON3		
<b>NAME OF SUBMITTER:</b>	KAREN THIESSE		
<b>SIGNATURE:</b>	/Karen Thiesse/		
<b>DATE SIGNED:</b>	01/26/2021		
<b>Total Attachments: 9</b>			
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<b>ATTORNEY DOCKET NUMBER:</b>	AROG:1000CON3
<b>NAME OF SUBMITTER:</b>	KAREN THIESSE
<b>Signature:</b>	/Karen Thiesse/
<b>Date:</b>	09/03/2020

**PATENT****REEL: 055111 FRAME: 0224**

**Total Attachments: 2**

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**RECEIPT INFORMATION****EPAS ID:** PAT6282175**Receipt Date:** 09/03/2020**PATENT****REEL: 055111 FRAME: 0225**

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "AROG PHARMACEUTICALS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTIETH DAY OF SEPTEMBER, A.D. 2014.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

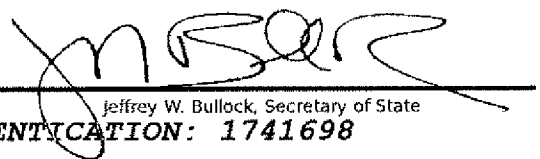
AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "AROG PHARMACEUTICALS, INC." WAS INCORPORATED ON THE TWENTY-NINTH DAY OF MARCH, A.D. 2010.

4804080 8300

141238802

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 1741698

DATE: 09-30-14

PATENT  
REEL: 055111 FRAME: 0226

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "AROG PHARMACEUTICALS LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "AROG PHARMACEUTICALS LLC" TO "AROG PHARMACEUTICALS, INC.", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF SEPTEMBER, A.D. 2014, AT 7:57 O'CLOCK A.M.


A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.

4804080 8100V

141235622

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 1741628

DATE: 09-30-14

PATENT  
REEL: 055111 FRAME: 0227

**STATE OF DELAWARE  
CERTIFICATE OF CONVERSION  
FROM A LIMITED LIABILITY COMPANY TO A  
CORPORATION PURSUANT TO SECTION 265 OF  
THE DELAWARE GENERAL CORPORATION LAW**

**FIRST:** The jurisdiction where the Limited Liability Company first formed is Delaware.

**SECOND:** The jurisdiction immediately prior to filing this Certificate is Delaware.

**THIRD:** The date the Limited Liability Company first formed is March 29, 2010.

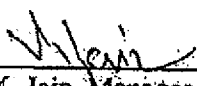
**FOURTH:** The name of the Limited Liability Company immediately prior to filing this is Arog Pharmaceuticals LLC.

**FIFTH:** The name of the Corporation as set forth in the Certificate of Incorporation is Arog Pharmaceuticals, Inc.

*[Signature Page Follows]*

IN WITNESS WHEREOF, the undersigned being duly authorized to sign on behalf of the converting Limited Liability Company has executed this Certificate on the 30th day of September, 2014.

AROG PHARMACEUTICALS, LLC

  
By: Dr. Vinay K. Jain, Manager

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PATENT  
REEL: 055111 FRAME: 0229



# Delaware

PAGE 2

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF INCORPORATION OF "AROG PHARMACEUTICALS, INC." FILED IN THIS OFFICE ON THE THIRTIETH DAY OF SEPTEMBER, A.D. 2014, AT 7:57 O'CLOCK A.M.

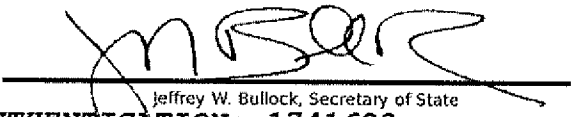
A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



4804080 8100V

141235622

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at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 1741628

DATE: 09-30-14

PATENT  
REEL: 055111 FRAME: 0230

CERTIFICATE OF INCORPORATION  
OF  
AROG PHARMACEUTICALS, INC.

FIRST: The name of the corporation is Arog Pharmaceuticals, Inc. (the "Corporation").

SECOND: The address of the registered office of the Corporation in the State of Delaware is 160 Greentree Drive, Suite 101, in the City of Dover 19904, County of Kent. The name of the registered agent at such address is National Registered Agents, Inc.

THIRD: The purpose of the Corporation is to engage in any lawful act or activity for which a corporation may be organized under the General Corporation Law of Delaware.

FOURTH: The Corporation is authorized to issue one class of stock, to be designated "Common Stock," with a par value of \$0.0001 per share. The total number of shares of Common Stock that the Corporation shall have authority to issue is 5,000,000.

FIFTH: The business and affairs of the Corporation shall be managed by or under the direction of the Board of Directors (the "Board of Directors"). In addition to the powers and authority expressly conferred upon them by statute or by this Certificate of Incorporation or the Bylaws of the Corporation (the "Bylaws"), the directors are hereby empowered to exercise all such powers and do all such acts and things as may be exercised or done by the Corporation. Election of directors need not be by written ballot, unless the Bylaws so provide.

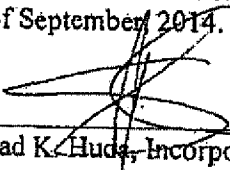
SIXTH: The Board of Directors is authorized to make, adopt, amend, alter or repeal the Bylaws. The stockholders shall also have power to make, adopt, amend, alter or repeal the Bylaws.

SEVENTH: The name and mailing address of the incorporator is:

Shehzad K. Huda  
DLA Piper LLP (US)  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121

EIGHTH: To the fullest extent permitted by the Delaware General Corporation Law, as the same exists or may hereafter be amended, a director of the Corporation shall not be personally liable to the Corporation or its stockholders for monetary damages for breach of fiduciary duty as a director. Any repeal or modification of the foregoing provisions of this Article EIGHTH by the stockholders of the Corporation shall not adversely affect any right or protection of a director of the Corporation existing at the time of, or increase the liability of any director of the Corporation with respect to any acts or omissions occurring prior to, such repeal or modification.

THE UNDERSIGNED, being the incorporator named above, for the purpose of forming a corporation pursuant to the General Corporation Law of Delaware, does make this certificate, hereby declaring and certifying that this is my act and deed and the facts herein stated are true, and accordingly have hereunto set my hand this 30th day of September 2014.

  
\_\_\_\_\_  
Shehzad K. Huda, Incorporator

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