

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT6531571

|                                   |                                        |                       |
|-----------------------------------|----------------------------------------|-----------------------|
| <b>SUBMISSION TYPE:</b>           | NEW ASSIGNMENT                         |                       |
| <b>NATURE OF CONVEYANCE:</b>      | ASSIGNMENT                             |                       |
| <b>CONVEYING PARTY DATA</b>       |                                        |                       |
| <b>Name</b>                       |                                        | <b>Execution Date</b> |
| JEFFERY T. KIRK                   |                                        | 01/28/2021            |
| <b>RECEIVING PARTY DATA</b>       |                                        |                       |
| <b>Name:</b>                      | ETHICON LLC                            |                       |
| <b>Street Address:</b>            | #475 STREET C                          |                       |
| <b>Internal Address:</b>          | SUITE 401, LOS FRAILES INDUSTRIAL PARK |                       |
| <b>City:</b>                      | GUAYNABO                               |                       |
| <b>State/Country:</b>             | PUERTO RICO                            |                       |
| <b>Postal Code:</b>               | 00969                                  |                       |
| <b>PROPERTY NUMBERS Total: 37</b> |                                        |                       |
| <b>Property Type</b>              | <b>Number</b>                          |                       |
| Application Number:               | 16946363                               |                       |
| Application Number:               | 16946366                               |                       |
| Application Number:               | 16946367                               |                       |
| Application Number:               | 16946368                               |                       |
| Application Number:               | 16946369                               |                       |
| Application Number:               | 16946370                               |                       |
| Application Number:               | 16946428                               |                       |
| Application Number:               | 16946429                               |                       |
| Application Number:               | 16946430                               |                       |
| Application Number:               | 16946431                               |                       |
| Application Number:               | 16946432                               |                       |
| Application Number:               | 16936792                               |                       |
| Application Number:               | 16936809                               |                       |
| Application Number:               | 16936817                               |                       |
| Application Number:               | 16936830                               |                       |
| Application Number:               | 16931554                               |                       |
| Application Number:               | 16931577                               |                       |
| Application Number:               | 17036463                               |                       |
| Application Number:               | 17036581                               |                       |

PATENT

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 17036629 |
| Application Number: | 17036710 |
| Application Number: | 17036760 |
| Application Number: | 17077067 |
| Application Number: | 17077086 |
| Application Number: | 17077130 |
| Application Number: | 17077136 |
| Application Number: | 17077250 |
| Application Number: | 17077373 |
| Application Number: | 17077139 |
| Application Number: | 17077146 |
| Application Number: | 17077152 |
| Application Number: | 17077110 |
| Application Number: | 17076956 |
| Application Number: | 17076959 |
| Application Number: | 17077098 |
| Application Number: | 63008652 |
| Application Number: | 63086013 |

#### CORRESPONDENCE DATA

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**NAME OF SUBMITTER:** TRICIA PIENING

**SIGNATURE:** /TRICIA PIENING/

**DATE SIGNED:** 02/03/2021

#### Total Attachments: 5

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## CONTINGENT PATENT ASSIGNMENT

WHEREAS, Auris Health, Inc. is a corporation organized under the state of Delaware and has a place of business at 150 Shoreline Drive, Redwood City CA 94065, United States ("Auris"); and

WHEREAS, Ethicon LLC is a corporation organized under the state of Delaware and has a place of business at #475 Street C, Suite 401, Los Frailes Industrial Park, Guaynabo PR 00969, United States ("Ethicon"); and

WHEREAS, Auris and Ethicon are affiliated companies and collaborated to research and develop technologies related to instruments and accessories for a surgical robotic system resulting in numerous inventions and the filing of related patent applications listed in Schedule A (the "Applications"); and

WHEREAS, the research and development was funded by Ethicon LLC; and

WHEREAS, I, Jeffery T. Kirk am a named inventor on one or more of the Applications and assigned my ownership interest in such Applications to Auris; and

WHEREAS, Auris and Ethicon executed a CORRECTIVE PATENT ASSIGNMENT on or about January 22, 2021, whereby Auris assigned its ownership interest in the Applications to Ethicon; and

WHEREAS, I seek to assign my ownership interest (to the extent any remains) in the Applications to Ethicon in the event my assignment to Auris or the CORRECTIVE PATENT ASSIGNMENT are unenforceable for any reason.

For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned and transferred to Ethicon my entire right, title, and interest in, to, and under the Applications, including all priority rights for other countries arising therefrom and the right to claim priority to the Applications, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Applications including any and all provisionals, substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Ethicon for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I shall execute all papers necessary in connection with the Applications in the United States Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Ethicon may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Ethicon in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Ethicon, may be necessary or desirable to secure the grant of Letters Patent to Ethicon or its nominees, in the United States, by the European Patent Office and in all other countries where Ethicon may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Ethicon and to vest and confirm in Ethicon or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Applications or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Ethicon, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not

execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

The assignments above will be effective *nunc pro tunc* on a case-by-case basis as of when each Application was filed.

**JEFFERY T. KIRK**

Jeffery T. Kirk  
JEFFERY T. KIRK (Jan 28, 2021 14:11:55)

Signature

Jan 28, 2021

Date

## Schedule A

## - Patent Applications -

| Docket Number | Application | Title                                                                                            | Filing Date |
|---------------|-------------|--------------------------------------------------------------------------------------------------|-------------|
| AUR6136USNP1  | 16/946363   | Articulation Mechanisms For Robotic Surgical Tools                                               | 6/18/2020   |
| AUR6136USNP2  | 16/946366   | Translating Robotic Surgical Tools With Integrated Firing Mechanisms                             | 6/18/2020   |
| AUR6136USNP3  | 16/946367   | Clamping Mechanisms For Robotic Surgical Tools                                                   | 6/18/2020   |
| AUR6136USNP4  | 16/946368   | Robotic Surgical Tools That Translate Through Instrument Driver                                  | 6/18/2020   |
| AUR6136USNP5  | 16/946369   | Robotic Surgical Systems Having A Fixed Roll Insertion Guide                                     | 6/18/2020   |
| AUR6136USNP6  | 16/946370   | Robotic Surgical Tools With Torsion Cable Actuation                                              | 6/18/2020   |
| AUR6137USNP1  | 16/946428   | Instrument Insertion Carriage For Robotic Surgical Tools                                         | 6/22/2020   |
| AUR6137USNP2  | 16/946429   | Structural Exoskeleton For Robotic Surgical Tool                                                 | 6/22/2020   |
| AUR6137USNP3  | 16/946430   | Drive Patterns And Spline Arrangement For Robotic Surgical Tools                                 | 6/22/2020   |
| AUR6138USNP1  | 16/946431   | Robotic Surgical Tool With Motor Mounted To Translating Carriage                                 | 6/18/2020   |
| AUR6138USNP2  | 16/946432   | Robotic Surgical Tool With Pivotal Transmission Linkage On Translating Carriage                  | 6/22/2020   |
| AUR6139USNP1  | 16/936792   | Separate Stage Powering 1 Or More Instruments (Kart Architectures)                               | 7/23/2020   |
| AUR6139USNP2  | 16/936809   | Robotic Surgical Tool With Replaceable Carriage                                                  | 7/23/2020   |
| AUR6139USNP3  | 16/936817   | Robotic Surgical Tool With Translatable Drive Puck                                               | 7/23/2020   |
| AUR6139USNP4  | 16/936830   | Robotic Surgical Tool With Drop In Instrumentation Core                                          | 7/23/2020   |
| AUR6140USNP1  | 16/931554   | Modular Robotic Surgical Systems                                                                 | 7/17/2020   |
| AUR6141USNP1  | 16/931577   | Segmented Shaft For Robotic Surgical Tools                                                       | 7/17/2020   |
| AUR6142USNP1  | 17/036463   | Manual Drive Function For Surgical Tools                                                         | 9/29/2020   |
| AUR6142USNP2  | 17/036581   | Decoupling Mechanisms For Surgical Tools                                                         | 9/29/2020   |
| AUR6142USNP3  | 17/036629   | Bailout Mechanisms For Surgical Tool                                                             | 9/29/2020   |
| AUR6142USNP4  | 17/036710   | Manual Drive Functions For Surgical Tool Having Carriage Architecture                            | 9/29/2020   |
| AUR6142USNP5  | 17/036760   | Manual Drive Functions For Surgical Tool                                                         | 9/29/2020   |
| AUR6193USNP1  | 17/077067   | Surgical Instrument And Carrier Kart Supporting Ultrasonic Transducer                            | 10/22/2020  |
| AUR6193USNP2  | 17/077086   | Carrier Kart And Jaw Closure Of An Ultrasonic Surgical Instrument                                | 10/22/2020  |
| AUR6193USNP3  | 17/077130   | Surgical Instrument With Clamping Sensor Feedback                                                | 10/22/2020  |
| AUR6193USNP4  | 17/077136   | Surgical Instrument With Non-Clamping Sensor Feedback                                            | 10/22/2020  |
| AUR6193USNP5  | 17/077250   | Ultrasonic Surgical Instrument With A Carrier Kart And Reusable Stage                            | 10/22/2020  |
| AUR6193USNP6  | 17/077373   | Surgical Instrument With A Carrier Kart And Various Communication Cable Arrangements             | 10/22/2020  |
| AUR6194USNP1  | 17/077139   | Ultrasonic Surgical Instrument With A Fixed Transducer Grounding                                 | 10/22/2020  |
| AUR6194USNP2  | 17/077146   | Ultrasonic Surgical Instrument With A Shaft Assembly And Elongated Waveguide Support Arrangement | 10/22/2020  |

|               |           |                                                                                     |            |
|---------------|-----------|-------------------------------------------------------------------------------------|------------|
| AUR6194USNP3  | 17/077152 | Damping Rings For An Ultrasonic Surgical Instrument                                 | 10/22/2020 |
| AUR6195USNP1  | 17/077110 | Ultrasonic Surgical Instrument With A Mid-Shaft Closure System And Related Methods  | 10/22/2020 |
| AUR6196USNP1  | 17/076956 | Surgical Instrument With An Articulatable Shaft Assembly And Dual End Effector Roll | 10/22/2020 |
| AUR6196USNP2  | 17/076959 | Ultrasonic Surgical Instrument With A Distally Grounded Acoustic Waveguide          | 10/22/2020 |
| AUR6196USNP3  | 17/077098 | Ultrasonic Surgical Instrument With A Multiplanar Articulation Joint                | 10/22/2020 |
| AUR6176USPSP1 | 63/008652 | Cannula Assembly                                                                    | 4/10/2020  |
| AUR6157USPSP1 | 63/086013 | Cannula Assembly                                                                    | 9/30/2020  |

# CONTINGENT AGREEMENT | AURIS Medical to ETHICON LLC

Final Audit Report

2021-01-28

|                 |                                              |
|-----------------|----------------------------------------------|
| Created:        | 2021-01-28                                   |
| By:             | Tricia Piening (tpiening@ITS.JNJ.com)        |
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## "CONTINGENT AGREEMENT | AURIS Medical to ETHICON LLC" History

-  Document created by Tricia Piening (tpiening@ITS.JNJ.com)  
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-  Document e-signed by Jeffery T. Kirk (jkirk2@its.jnj.com)  
Signature Date: 2021-01-28 - 7:11:18 PM GMT - Time Source: server- IP address: 50.5.233.21
-  Agreement completed.  
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