506488301 02/04/2021 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6535077

SUBMISSION TYPE:		NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT	
CONVEYING PARTY D	ΑΤΑ			
		Name	Execution Date	
BERIT ELISSA POWER	S		01/28/2021	
HUYNH-HOA BUI			09/24/2020	
SUSAN M FREIER			09/16/2020	
FRANK RIGO			01/29/2021	
RECEIVING PARTY DA	ТА			
Name:	IONIS PHARMACEUTICALS, INC.			
Street Address:	2855 GAZELLE COURT			
City:	CARLSBAD			
State/Country:	CALIFORNIA			
Postal Code:	92010			
PROPERTY NUMBERS	Total: 1			
Property Type		Number		
Property Type Application Number:		Number 16938826	-	
Property Type Application Number:				
Application Number: CORRESPONDENCE D Fax Number:	ΑΤΑ	16938826 (760)603-3820		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i>	ATA e sent to	16938826 (760)603-3820 • the e-mail address first; if that is u		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i>	ATA e sent to provided	16938826 (760)603-3820		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p	ATA e sent to provided	16938826 (760)603-3820 <i>the e-mail address first; if that is u</i> <i>; if that is unsuccessful, it will be se</i> 760-603-2553 leames@ionisph.com		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name:	ATA e sent to provided	16938826 (760)603-3820 5 <i>the e-mail address first; if that is u</i> <i>; if that is unsuccessful, it will be se</i> 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC.		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1:	ATA e sent to provided	16938826 (760)603-3820 <i>the e-mail address first; if that is u</i> <i>; if that is unsuccessful, it will be se</i> 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name:	ATA e sent to provided	16938826 (760)603-3820 5 <i>the e-mail address first; if that is u</i> <i>; if that is unsuccessful, it will be se</i> 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC.		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1:	ATA e sent to provided	16938826 (760)603-3820 <i>the e-mail address first; if that is u</i> <i>; if that is unsuccessful, it will be se</i> 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if µ</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4:	ATA e sent to provided	16938826 (760)603-3820 o the e-mail address first; if that is un i; if that is unsuccessful, it will be se 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT CARLSBAD, CALIFORNIA 92010		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NU	ATA e sent to provided	16938826 (760)603-3820 the e-mail address first; if that is un i; if that is unsuccessful, it will be se 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT CARLSBAD, CALIFORNIA 92010 BIOL0353US		
Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NUNAME OF SUBMITTER:	ATA e sent to provided	16938826 (760)603-3820 the e-mail address first; if that is un if that is unsuccessful, it will be se 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT CARLSBAD, CALIFORNIA 92010 BIOL0353US LAURA EAMES		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 4: ATTORNEY DOCKET NUN NAME OF SUBMITTER: SIGNATURE:	ATA e sent to provided	16938826 (760)603-3820 the e-mail address first; if that is un if that is unsuccessful, it will be se 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT CARLSBAD, CALIFORNIA 92010 BIOL0353US LAURA EAMES /Laura Eames/		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 1: Address Line 4: ATTORNEY DOCKET NUNAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 7 source=BIOL0353USASC	ATA e sent to provided JMBER:	16938826 (760)603-3820 the e-mail address first; if that is un if that is unsuccessful, it will be se 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT CARLSBAD, CALIFORNIA 92010 BIOL0353US LAURA EAMES /Laura Eames/ 02/04/2021		
Application Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Phone: Email: Correspondent Name: Address Line 1: Address Line 1: Address Line 4: ATTORNEY DOCKET NUN NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 7	ATA e sent to provided JMBER: iN#page	16938826 (760)603-3820 o the e-mail address first; if that is un if that is unsuccessful, it will be se 760-603-2553 leames@ionisph.com IONIS PHARMACEUTICALS, INC. 2855 GAZELLE COURT CARLSBAD, CALIFORNIA 92010 BIOL0353US LAURA EAMES /Laura Eames/ 02/04/2021		

source=BIOL0353USASGN#page4.tif source=BIOL0353USASGN#page5.tif source=BIOL0353USASGN#page6.tif source=BIOL0353USASGN#page7.tif

ASSIGNMENT

WHEREAS, I/we, Berit Elissa Powers, of San Marcos, CA, Huynh-Hoa Bui, of San Diego, CA, Susan M. Freier, of San Diego, CA, and Frank Rigo, of Carlsbad, CA, (the "assignors"), has/have invented a certain invention entitled COMPOUNDS AND METHODS FOR MODULATING GFAP, for which I/we have made an application for letters patent of the United States, said application having been filed July 24, 2020 and assigned application no. 16/938,826 (the "Application"); and

WHEREAS, Ionis Pharmaceuticals, Inc. hereinafter referred to as the assignee, of 2855 Gazelle Court, Carlsbad CA, a corporation of Delaware, is desirous of acquiring my/our entire right, title and interest in and to the said invention and improvements therein (the "Invention") and in and to the said Application, and in, to and under any and all letters patent or patents which may be granted on or as a result thereof or otherwise relate to the Invention in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of one dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, or by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said Invention and said Application (including the right to apply for any letters patent or patents for any countries in relation to the Invention and all priority rights) and any and all continuations, continuations-in-part, divisions, rights of priority under International Conventions including the Paris Convention, and renewals of and substitutes for said Application, and in, to and under any and all letters patent or patents which may be granted on or as a result thereof or otherwise relate to the Invention in the United States and any and all other countries, and any reissue or reissues or extension or extensions or supplementary protection certificates in relation to any of said letters patent or patents, and assign to and authorize said assignee to file in our names applications for letters patent or patents in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said letters patent or patents respectively may be granted, reissued, extended or supplemented, as fully and entirely as the same would have been held and enjoyed by

ASSIGNMENT - Page 1 of 7

DOCKET NO.: BIOL0353US

us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said Invention, said Application and said letters patent or patents to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said Invention, said Application and said letters patent or patents, to testify in any legal proceedings, to sign all lawful papers to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby sell, assign, transfer, and convey to assignee all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to said assignee, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said letters patent or patents before or after issuance.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all letters patent and patents for said Invention, including any and all letters patent of the United States which may be issued and granted on or as a result of the Application aforesaid, in accordance with the terms of this assignment.

ASSIGNMENT - Page 2 of 7

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

Dated: ____//28 2021

Berit Elissa Powers

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>California</u>) State of <u>California</u>) County of <u>San Diego</u>) ss.

On January 28, 2021 before me, Laura L. Eames, Notary

Public, personally appeared **Berit Elissa Powers**, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNE8\$ my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 3 of 7

Dated: _____24____2020

<u>HuynRHoaBue</u> Huynh-Hoa Bui

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>California</u>) County of <u>San Digo</u>) SS.

On Suptember 24, 2020 before me, <u>Lawal Eames</u>, Notary Public, personally appeared Huynh-Hoa Bui, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 4 of 7

Dated: 16-Sept 20,00

Susan M. Freier

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>California</u>)) ss. County of <u>San Diego</u>)

On <u>September 16,3630</u> before me, <u>LAUGAL EAMOS</u>, Notary Public, personally appeared Susan M. Freier, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 5 of 7

DOCKET NO.: BIOL0353US

Dated: January 24th 2021

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

On <u>Januay 29, 2021</u> before me, <u>Laura L Eames</u>, Notary Public, personally appeared **Frank Rigo**, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 6 of 7

DOCKET NO.: BIOL0353US

IONIS PHARMACEUTICALS, INC.

By: Name: Clifford E. Ford

129 20 21 Dated:

Title: Vice President, Patents and Senior Patent Counsel

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>California</u>) State of <u>San Diego</u>) ss.

On <u>January 29, 2021</u> before me, <u>Jaura L.Eames</u>, Notary Public, personally appeared **Clifford E. Ford**, who is proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature of Notary

ASSIGNMENT - Page 7 of 7