

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6527313

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CORRECTION BY DECLARATION TO UPDATE OWNERSHIP ERRONEOUSLY MADE IN A PREVIOUSLY RECORDED DOCUMENT AT REEL 050467, FRAME 0466 THAT ERRONEOUSLY AFFECTS THE IDENTIFIED PATENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	NEX SERVICES NORTH AMERICA LLC	01/29/2021
RECEIVING PARTY DATA		
Name:	NEX SERVICES NORTH AMERICA LLC	
Street Address:	4 TIMES SQUARE	
Internal Address:	FLOOR 14	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10036	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	14481022
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	3122910860	
Email:	jpadalino@lsk-iplaw.com	
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC	
Address Line 1:	20 SOUTH CLARK STREET	
Address Line 2:	SUITE 600	
Address Line 4:	CHICAGO, ILLINOIS 60606	
ATTORNEY DOCKET NUMBER:	004672-13638Y-US	
NAME OF SUBMITTER:	JAMES L. KATZ	
SIGNATURE:	/James L. Katz/	
DATE SIGNED:	02/01/2021	
Total Attachments: 2		
source=Declaration#page1.tif		
source=Declaration#page2.tif		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael Merold
John E. Schoen

U.S. Patent No. 10,102,577
Serial No: 14/481,022

Filed: September 9, 2014
Granted: October 16, 2018

For: METHOD AND APPARATUS FOR
ORDER ENTRY IN AN ELECTRONIC
TRADING SYSTEM

DECLARATION UNDER MPEP § 323.01(c)

Dear Sir:

Per MPEP § 323.01(c), as representative of NEX Services North America LLC, owner of the above referenced patent, I hereby declare that the document recorded on September 18, 2019 at reel/frame 050467/0466 relating to a conveyance comprising an acquisition by CME GROUP INC. of NEX GROUP PLC was improperly filed and recorded against the above referenced patent.

I further declare that:

- (a) My name is James L. Katz and I am the legal representative of NEX Services North America LLC, owner of the above referenced patent;
- (b) A completed cover sheet is included herewith identifying the patent against which the conveyance was improperly recorded;
- (c) NEX Services North America LLC is the correct owner;
- (d) The conveyance recorded on September 18, 2019 at reel/frame 050467/0466 included a cover sheet with erroneous information and the recorded document did not actually convey the above referenced patent as indicated on the cover sheet recorded therewith;

(e) The required fee under 37 CFR 3.41, presently \$0, is included herewith;

(f) The true chain of title is as follows:

Reel/ Frame	Conveyance	Execution date	Date recorded	Assignor names	Assignee name	Assignee address
050414/0392	ASSIGNMENT OF ASSIGNORS INTEREST	December 6, 2013 February 20, 2014	September 18, 2019	Michael Merold John Edward Schoen	ICAP Services North America LLC	Harborside Financial Center 1100 Plaza Five Jersey City, New Jersey 07311
041221/0720	ASSIGNMENT OF ASSIGNORS INTEREST	December 22, 2016	December 29, 2016	ICAP Services North America LLC	Intercapital Services North America LLC	1100 Plaza Five Jersey City, New Jersey 07311
047031/0490	CHANGE OF NAME	February 21, 2017	September 7, 2018	Intercapital Services North America LLC	NEX Services North America LLC	4 Times Square Floor 14 New York, New York 10036

(g) The chain of title for the above referenced patent should not be considered altered by the incorrect assignment recorded on September 18, 2019 at reel/frame 050467/0466; and

(h) The last correct assignee, NEX Services North America LLC, has been, and continues to be, the owner of the patent at issue.

Respectfully submitted,

Dated: January 29, 2021

/James L. Katz/
James L. Katz (Reg. No. 42,711)