

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6544399

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MASATO SHINADA	01/26/2021
EINSTEIN NOEL ABARRA	01/26/2021
RECEIVING PARTY DATA	
Name:	TOKYO ELECTRON LIMITED
Street Address:	3-1 AKASAKA 5-CHOME, MINATO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	107-6325
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17172327
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212 949 9022
Email:	csstein@lawabel.com
Correspondent Name:	ABELMAN, FRAYNE & SCHWAB/LEE
Address Line 1:	666 THIRD AVENUE
Address Line 2:	10TH FLOOR
Address Line 4:	NEW YORK, NEW YORK 10017-5621
ATTORNEY DOCKET NUMBER:	TEL-20096US/217044
NAME OF SUBMITTER:	CHARLES S. STEIN
SIGNATURE:	/Charles S. Stein/
DATE SIGNED:	02/10/2021
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 4	
source=TEL-20096US_Decl_Assignment#page1.tif	
source=TEL-20096US_Decl_Assignment#page2.tif	
source=TEL-20096US_Decl_Assignment#page3.tif	

DECLARATION AND ASSIGNMENT

Title of Invention: **CATHODE UNIT AND FILM FORMING APPARATUS**

As a below named inventor, I hereby declare that:

This declaration is directed to:

☒ [X] the attached application (Attorney Docket Number _____), or

☐ [] United States application or PCT international application number _____ filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, each undersigned inventor has made certain inventions, improvements, and discoveries (herein referred to as the "INVENTION") disclosed in the above-identified patent application (herein referred to as the ("APPLICATION");

Whereas, TOKYO ELECTRON LIMITED, a corporation of Japan having a place of business at 3-1 Akasaka 5-chome, Minato-ku, Tokyo 107-6325, Japan (herein referred to as "ASSIGNEE"), desires to acquire, and each undersigned inventor desires to grant to ASSIGNEE, the entire worldwide right, title, and interest in and to the INVENTION and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor (herein referred to as "ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the INVENTION, the APPLICATION, and any and all other patent applications and patents for the INVENTION which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, continuations-in-part, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the INVENTION, to ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned

had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the INVENTION, the APPLICATION, and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by ASSIGNEE, its successors, legal representatives, and assigns.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of Inventor: **Masato SHINADA**

Signature: /Masato Shinada/ Date: January 26, 2021

Legal Name of Inventor: **Einstein Noel ABARRA**

Signature: _____ Date: _____

DECLARATION AND ASSIGNMENT

Title of Invention: **CATHODE UNIT AND FILM FORMING APPARATUS**

As a below named inventor, I hereby declare that:

This declaration is directed to:

☒ [X] the attached application (Attorney Docket Number _____), or

☐ [] United States application or PCT international application number _____ filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, each undersigned inventor has made certain inventions, improvements, and discoveries (herein referred to as the "INVENTION") disclosed in the above-identified patent application (herein referred to as the ("APPLICATION");

Whereas, TOKYO ELECTRON LIMITED, a corporation of Japan having a place of business at 3-1 Akasaka 5-chome, Minato-ku, Tokyo 107-6325, Japan (herein referred to as "ASSIGNEE"), desires to acquire, and each undersigned inventor desires to grant to ASSIGNEE, the entire worldwide right, title, and interest in and to the INVENTION and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor (herein referred to as "ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the INVENTION, the APPLICATION, and any and all other patent applications and patents for the INVENTION which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, continuations-in-part, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the INVENTION, to ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned

had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the INVENTION, the APPLICATION, and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by ASSIGNEE, its successors, legal representatives, and assigns.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of Inventor: **Masato SHINADA**

Signature: _____ Date: _____

Legal Name of Inventor: **Einstein Noel ABARRA**

Signature: /Einstein Noel Abarra/ Date: January 26, 2021