## 506500817 02/11/2021

## PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MUDIT MALPANI	08/01/2018

#### **RECEIVING PARTY DATA**

Name:	RUBRIK, INC.
Street Address:	1001 PAGE MILL RD
Internal Address:	BUILDING 2
City:	PALO ALTO
State/Country:	CALIFORNIA
Postal Code:	94304

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16200505

#### **CORRESPONDENCE DATA**

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NAME OF SUBMITTER:	CATINA JENSEN
SIGNATURE:	/CaTina Jensen/
DATE SIGNED:	02/11/2021

**Total Attachments: 3** 

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PATENT 506500817 REEL: 055233 FRAME: 0541

# RECORDATION FORM COVER SHEET PATENTS ONLY

Atty Ref/Docket No.: 5178.037US2 Patent and Trademark Office To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Name: Rubrik, Inc. **MUDIT MALPANI** Street Address: 1001 Page Mill Rd Additional name(s) of conveying party(ies) attached? Building 2 City: Palo Alto State: CA Zip: Country: United States of America [ ]Yes [X]No Zip: 94304 3. Nature of conveyance: Additional name(s) & address(es) attached? [ ]Yes [X]No [X] Assignment [ ] Merger [ ] Security Agreement[ ] Change of Name [ ] Other Execution Date: August 1, 2018 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) Serial No. 16/200,505 Additional numbers attached? [ ]Yes [X]No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41):\$ 0.00 Name: Piers A. Blewett [[Enclosed [Authorized to be charged to deposit account Address: 19-0743 Schwegman Lundberg & Woessner, P.A. P.O. Box 2938 8. Please charge any additional fees or credit any over Minneapolis, Minnesota 55402 payments to our Deposit Account No.: 19-0743 DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Keir A. Blewett Piers A. Blewett/Reg. No. 68,464 Name of Person Signing Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

**Alexandria, VA 22313-1450** 

PATENT REEL: 055233 FRAME: 0542

### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to Rubrik Inc., a Delaware Corporation, having a place of business at 1001 Page Mill Rd, Bldg 2, Palo Alto, CA 94304 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

- 1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the following provisional or non-provisional application filed under 35 U.S.C. § 111, design application filed under 35 U.S.C. § 171, Registered Community Designs, international application filed according to the Patent Cooperation Treaty (PCT), or U.S. national phase application filed under 35 U.S.C. § 371 ("APPLICATION"), and any patents issuing thereon ("PATENT RIGHTS"):
  - Application No. 16/008,972, entitled "ENVOY FOR MULTI-TENANT COMPUTE INFRASTRUCTURE," filed on June 14, 2018.
  - 2. The entire worldwide right, title, and interest in and to:
- (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto that have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) that may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATION and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

Title:	ENVOY FOR MULTI	-TENANT COMPUTE INFRASTRUCTURE	
Filed:	June 14, 2018	Attorney Docket #:	34349-39029/US
Application #:	16/008,972		

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Name and Signature	Date of Signature (REQUIRED)	
Mudit Malpani	08/01/18	*******