02/12/2021 506503090

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6549865

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
GREGORY VOGEL	04/03/2006
JEFFREY E. TESSIER	05/07/2012
ALAIN HERNANDEZ	11/30/2012
CHAD M. GARNER	12/06/2010

RECEIVING PARTY DATA

Name:	ALSTOM POWER INC.
Street Address:	BROWN BOVERI STRASSE 7
City:	BADEN
State/Country:	SWITZERLAND
Postal Code:	5400

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	10018065

CORRESPONDENCE DATA

Fax Number: (913)647-9057

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

(913) 647-9050 Phone:

cdawson@hoveywilliams.com Email: **Correspondent Name:** CHRISTOPHER W. DAWSON Address Line 1: 10801 MASTIN BLVD SUITE 1000 Address Line 4: **OVERLAND PARK, KANSAS 66210**

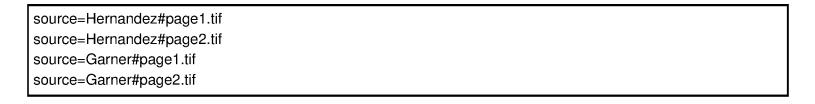
NAME OF SUBMITTER:	CHRISTOPHER W. DAWSON	
SIGNATURE:	/Christopher W. Dawson/	
DATE SIGNED:	02/12/2021	

Total Attachments: 8

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> **PATENT** REEL: 055244 FRAME: 0477

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ALSTOM Power Inc. AGREEMENT AND DISCLOSURE WITH RESPECT TO INVENTIONS

This Agreement, made as of the date appearing at the end hereof, by and between ALSTOM Power Inc. (referred to herein as the Employee), a corporation organized and existing under the laws of the State of Delaware, and/or its subsidiaries, divisions and affiliated companies (referred to herein as the Company).

In consideration of the employment, or continued employment, of the Employee by the Company, it is agreed as follows:

- 1) All ideas, inventions, discoveries, trademarks, writings, proprietary information, knowhow, works for hire, processes and other developments or improvements or works for hire (referred to herein as "inventions") conceived by the Employee, whether relating to patentable or copyrightable subject matter or not, during the period(s) of employment by the Company, whether made or conceived during or out of the usual hours of work, which grise out of or are related to (a) any work to which the Employee shall be assigned by the Company, (b) the business, research or development work of the division of the Company to which the Employee is assigned or (c) the business, research or development work of any other division of the Company, shall be the Company's property. The Employee acknowledges an obligation to assign all such inventions to the Company and agrees to disclose all such inventions promptly, completely and in writing to proper representatives or attorneys of the Company. The foregoing shall not apply to an invention for which no equipment, supplies, facility or trade secret information of the Company was used and which was developed entirely on Employee's own time, and (1) which does not relate (a) to the business of the Company, or (b) to the company's actual or demonstrably anticipated research or development, or (2) which does not result from any work performed by the Employee for the Company.
- 2) Employee agrees to communicate promptly to the Company all inventions, whether or not patentable or copyrightable, which are made or conceived by Employee, whether alone or with others, while in the employment of the Company relating to the business of the Company or resulting from any work which employee may do for the Company or at its request.
- 3) The Employee agrees either during or subsequent to employment with the Company to: make application, through the Patent Attorney designated by the Company, for such United States and foreign patents as may be specified from time to time by the Company, and at the expense of the Company, on inventions which are the property of the Company hereunder, and to execute formal assignments upon the Company's request, of the entire interest in all such inventions and patent applications, to the Company or to its nominee, without compensation to the Employee (other than his usual compensation as an Employee of the Company); and agrees to give to the Company and its Patent Attorney all reasonable assistance in preparing such applications and in preparing descriptions and illustrations of each such invention and in connection with any proceedings based thereupon or upon said applications or upon patents resulting therefrom; and agrees to execute all lawful papers considered necessary by the Company and do all that the Company reasonably requests in order to protect the Company's rights in said inventions.
- 4) All papers and records of every kind relating to any invention included within the terms of this Agreement which shall at any time come into the possession of the Employee shall be the sole and exclusive property of the Company and shall be surrendered to the Company upon termination of the Employee's employment by the Company or upon request at any other time either during or after the termination of such employment.

- 5) Any agreement or agreements previously executed between the Company and the Employee relating to the disclosure or patenting of inventions made or conceived during the Employee's employment by the Company are hereby superseded by this Agreement.
- 6) This Agreement shall inure to the benefit of and be binding upon the successors and assigns of the Company, and the provisions as to the execution of documents shall be binding upon the heirs, executors and administrators of the Employee.
- 7) In the event of transfer to or employment of Employee by other subsidiaries, divisions or affiliated companies, this Agreement shall remain in full force and effect.
- 8) No modification, amendment or agreement, either written or verbal, regardless of the parties to such modification, amendment or agreement, shall be valid if it is contrary to the terms of this Agreement.
- 9) The following inventions made prior to my employment by the Company are excluded from the scope of this Agreement (write "none" if applicable):
- 10) The law of the State of Delaware will govern the interpretation, validity and effect of this Agreement without regard to its place of execution or place of performance.

Signed at PALM BEACH 6 20 06 (City and Sta	ARDENS, FL this 3 40 day of APRIL.
Withess as to Employee:	(Signed) (Employed)
	The Company
	By

ALSTOM Power Inc. AGREEMENT AND DISCLOSURE WITH RESPECT TO INVENTIONS

This Agreement, made as of the date appearing at the end hereof, by and between ALSTOM Power Inc., a corporation organized and existing under the laws of the State of Delaware, and/or its parents, subsidiaries and affiliated companies (referred to herein as the Company) and SEFFICE (referred to herein as the Employee).

In consideration of the employment, or continued employment, of the Employee by the Company, it is agreed as follows:

- 1) All ideas, inventions, discoveries, trademarks, writings, proprietary information, trade secrets, know-how, original works of authorship, works for hire, processes and other developments or improvements (referred to herein as "Inventions") made or conceived by the Employee, whether relating to patentable or copyrightable subject matter or not, during the period(s) of employment by the Company, whether made or conceived during or out of the usual hours of work, which arise out of or are related to (a) any work to which the Employee shall be assigned by the Company, (b) the business, research or development work of the division of the Company to which the Employee is assigned or (c) the business, research or development work of any other division of the Company, shall be the Company's property. The Employee agrees to promptly and completely disclose in writing to proper representatives or attorneys of the Company all such inventions, whether or not patentable or copyrightable, which are made or conceived by the Employee, whether alone or with others. Further, the Employee acknowledges an obligation to assign all such Inventions to the Company. The foregoing shall not apply to an Invention for which no equipment, supplies, facility or trade secret information of the Company was used and which was developed entirely on Employee's own time, unless: (1) the Invention relates (a) to the business of the Company, or (b) to the Company's actual or demonstrably anticipated research or development; or (2) the invention results from any work performed by the Employee for the Company.
- 2) The Employee agrees either during or subsequent to employment with the Company to: make application, through the Patent Attorney designated by the Company, for such United States and foreign patents as may be specified from time to time by the Company, and at the expense of the Company, on inventions which are the property of the Company hereunder, and to execute formal assignments upon the Company's request, of the entire interest in all such Inventions and patent applications, to the Company or to its nominee, without compensation to the Employee (other than his/her usual compensation as an Employee of the Company); and agrees to give to the Company and its Patent Attorney all reasonable assistance in preparing such applications and in preparing descriptions and illustrations of each such Invention and in connection with any proceedings based thereupon or upon said applications or upon patents resulting therefrom; and agrees to execute all lawful papers considered nedessary by the company's rights in said Inventions.
- 3) To the extent that any portion of an Invention constitutes a work protectable under the copyright laws of the United States (the "Copyright Law"), such invention will be considered a "work made for hire" as such term is used and defined in the Copyright Law and the Company will be considered the "author" of such invention and the sole and exclusive owner throughout the world of copyright therein. To the extent that any invention does not qualify as a "work made for hire" as such term is used and defined in the Copyright Law, the Employee hereby assigns and agrees to assign to the Company, without further consideration, all right, title and interest in and to such invention and any copyright therein and further agrees to execute and deliver to the



Company, upon request, appropriate assignments of such Inventions and copyright therein and such other documents and instruments as the Company may request to fully and completely assign such Invention and copyright therein to the Company, and the Employee hereby appoints Company as attorney-in-fact to execute and deliver any such documents on the Employee's behalf in the event the Employee should fail or refuse to do so within a reasonable period following the Company's request.

- 4) All papers and records of every kind, regardless of the form in which they may appear or the medium on which they may appear, relating to any Invention included within the terms of this Agreement which shall at any time come into the possession of the Employee shall be the sole and exclusive property of the Company and shall be surrendered to the Company upon termination of the Employee's employment by the Company or upon request at any other time either during or after the termination of such employment.
- 5) The Employee agrees to waive and not to assert any moral rights the Employee may have or acquire in any Inventions and agrees to provide written waivers from time to time as requested by Employer.
- 6) This Agreement shall inure to the benefit of and be binding upon the successors and assigns of the Company, and the provisions as to the execution of documents shall be binding upon the heirs, executors and administrators of the Employee.
- 7) In the event of transfer to or employment of Employee by a parent, subsidiary, division or affiliated company of ALSTOM Power Inc., this Agreement shall remain in full force and effect.
- 8) No modification or amendment of this Agreement shall be valid unless in writing and signed by both parties to this Agreement.
- 9) The following Inventions made prior to my employment by the Company are excluded from the scope of this Agreement (write "none" if applicable):
- 10) The law of the State of Delaware will govern the interpretation, validity and effect of this Agreement without regard to its place of execution or place of performance.

Signed at <u>Supress, FL</u> (City and State)	this 7 ¹⁶ day of <u>MA-1</u> , 2011.
Witness as to Employee: Teffrey Trosler	(Signed) JM E. 7 (Employee)
3 N	ALSTOM Power Inc.
	Date 5/7/12

REV Date: 1/91/2011

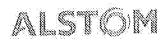
ALSTÓM

ALSTOM Power Inc. AGREEMENT AND DISCLOSURE WITH RESPECT TO INVENTIONS

This Agreement, made as of the date appearing at the end hereof, by and between ALSTOM Power Inc., a corporation organized and existing under the laws of the State of Delaware, and/or its parents, subsidiaries and affiliated companies (referred to herein as the Company) and ALAW HERMANDER (referred to herein as the Employee).

In consideration of the employment, or continued employment, of the Employee by the Company, it is agreed as follows:

- 1) All Ideas, inventions, discoveries, trademarks, writings, proprietary information, trade secrets, know-how, original works of authorship, works for hire, processes and other developments or improvements (referred to herein as "Inventions") made or conceived by the Employee, whether relating to patentable or copyrightable subject matter or not, during the period(s) of employment by the Company, whether made or conceived during or out of the usual hours of work, which arise out of or are related to (a) any work to which the Employee shall be assigned by the Company, (b) the business, research or development work of the division of the Company to which the Employee is assigned or (c) the business, research or development work of any other division of the Company, shall be the Company's property. The Employee agrees to promptly and completely disclose in writing to proper representatives or attorneys of the Company all such inventions, whether or not patentable or copyrightable, which are made or conceived by the Employee, whether alone or with others. Further, the Employee acknowledges an obligation to assign all such inventions to the Company. The foregoing shall not apply to an Invention for which no equipment, supplies, facility or trade secret information of the Company was used and which was developed entirely on Employee's own time, unless: (1) the invention relates (a) to the business of the Company, or (b) to the Company's actual or demonstrably anticipated research or development; or (2) the invention results from any work performed by the Employee for the Company.
- 2) The Employee agrees either during or subsequent to employment with the Company to: make application, through the Patent Attorney designated by the Company, for such United States and foreign patents as may be specified from time to time by the Company, and at the expense of the Company, on Inventions which are the property of the Company hereunder, and to execute formal assignments upon the Company's request, of the entire interest in all such inventions and patent applications, to the Company or to its nomines, without compensation to the Employee (other than his/her usual compensation as an Employee of the Company); and agrees to give to the Company and its Patent Attorney all reasonable assistance in preparing such applications and in preparing descriptions and illustrations of each such invention and in connection with any proceedings based thereupon or upon said applications or upon patents resulting therefrom; and agrees to execute all lawful papers considered necessary by the Company and do all that the Company reasonably requests in order to protect the Company's rights in said inventions.
- 3) To the extent that any portion of an invention constitutes a work protectable under the copyright laws of the United States (the "Copyright Law"), such invention will be considered a "work made for hirs" as such term is used and defined in the Copyright Law and the Company will be considered the "author" of such invention and the sole and exclusive owner throughout the world of copyright therein. To the extent that any invention does not qualify as a "work made for world of copyright therein. To the extent that any invention does not qualify as a "work made for hire" as such term is used and defined in the Copyright Law, the Employee hereby assigns and agrees to assign to the Company, without further consideration, all right, title and interest in and to such invention and any copyright therein and further agrees to execute and deliver to the



Company, upon request, appropriate assignments of such Inventions and copyright therein and such other documents and instruments as the Company may request to fully and completely assign such invention and copyright therein to the Company, and the Employee hereby appoints Company as afterney-in-fact to execute and deliver any such documents on the Employee's behalf in the event the Employee should fail or refuse to do so within a reasonable period following the Company's request.

- 4) All papers and records of every kind, regardless of the form in which they may appear or the medium on which they may appear, relating to any invention included within the terms of this Agreement which shall at any time come into the possession of the Employee shall be the sole and exclusive property of the Company and shall be surrendered to the Company upon termination of the Employee's employment by the Company or upon request at any other time either during or after the termination of such employment.
- 5) The Employee agrees to waive and not to assert any moral rights the Employee may have or acquire in any Inventions and agrees to provide written waivers from time to time as requested by Employer.
- 6) This Agreement shall inure to the benefit of and be binding upon the successors and assigns of the Company, and the provisions as to the execution of documents shall be binding upon the heirs, executors and administrators of the Employee.
- 7) In the event of transfer to or employment of Employee by a parent, subsidiary, division or affiliated company of ALSTOM Power Inc., this Agreement shall remain in full force and effect.
- 8) No modification or amendment of this Agreement shall be valid unless in writing and signed by both parties to this Agreement.
- 9) The following inventions made prior to my employment by the Company are excluded from the scope of this Agreement (write "none" if applicable):
- 10) The law of the State of Delaware will govern the interpretation, validity and effect of this Agreement without regard to its place of execution or place of performance.

Signed at Jupiter Ft	this 30 day of November 2012.
(City and State)	M. O lung
Witness as to Employee:	(Signed) (Employee)
HUSON PINON	
	ALSTOM Power Inc.
	Date_11130112
W.	<u>s</u> .

REV Date: 1/31/2011

ALSTÓM

ALSTOM Power Inc.

AGREEMENT AND DISCLOSURE WITH RESPECT TO INVENTIONS

This Agreement, made as of the date appearing at the end hereof, by and between ALS organized and existing under the laws of the State of Delaware, and/or its parents, subsidiaries, to herein as the Company) and	d to herein as the crithioyas).
t) All ideas, inventions, discoveries, trademarks, writings, proprietary information, known other developments or improvements or works for hire (referred to herein as "inventions") concerning to patentable or copyrightable subject matter or not, during the period(s) of employment conceived during or out of the usual hours of work, which arise out of or are related to conceived during or out of the usual hours of work, which arise out of or are related to (a) any work to which the Employee shall be assigned by the Company, (b) the business, research or dedivision of the Company to which the Employee is assigned or (c) the business, research or dedivision of the Company, shall be the Company's property. The Employee agrees to promptly and compositive or attorneys of the Company all such inventions, whether or not patentable or conceived by the Employee, whether alone or with others. Further, the Employee acknowledge inventions to the Company. The foregoing shall not apply to an invention for which no equipment information of the Company was used and which was developed entirely on Employee's own to the business of the Company, or (b) to the Company's actual or demonstrably anticipated which does not result from any work performed by the Employee for the Company.	t by the Company, whether thate or arch or development work of the velopment work of any other division detely disclose in writing to proper copyrightable, which are made or as an obligation to assign all such ant supplies, facility or trade secret me, and (1) which does not relate diresearch or development, or (2)
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- 2) Employee agrees either during or subsequent to employment with the Company to: make application, through the Patent Attorney designated by the Company, for such United States and foreign patents as may be specified from time to time by the Company, and at the expense of the Company, on inventions which are the property of the Company hereunder, and to execute formal assignments upon the Company's request, or the entire interest in all such inventions and patent applications, to execute formal assignments upon the Company's request, or the entire interest in all such inventions and patent applications, to execute formal assignments upon the Company's request or the Employee (other than his/her usual compensation as an Employee the Company); and agrees to give to the Company and its Patent Attorney all reasonable assistance in preparing such of the Company); and agrees to give to the Company and illustrations of each such invention and in connection with any proceedings based applications and in preparing descriptions and illustrations of each such invention and in connection with any proceedings based thereupon or upon said applications or upon patents resulting therefrom; and agrees to execute all lawful papers considered thereupon or upon said applications or upon patents resulting therefrom; and agrees to execute all lawful papers considered inventions.
- 3) All papers and records of every kind, regardless of the form in which they may appear or medium on which they may appear or medium on which they may appear, relating to any invention included within the terms of this Agreement which shall at any time come into the possession of the Employee shall be the sole and exclusive property of the Company and shall be surrendered to the Company upon the Employee's employment by the Company or upon request at any other time either during or after the termination of such employment.

CAHRAHR Gurrent Forms\New Hire\New Hire Information & Forms\Agmit & Disclosure with Respect to Inventions

- 4) Any agreement or agreements previously executed between the Company and the Employee relating to the disclosure or patenting of inventions made or conceived during the Employee's employment by the Company are hereby superseded by this Agreement.
- 5) This Agreement shall inure to the benefit of and be binding upon the successors and assigns of the Company, and the provisions as to the execution of documents shall be binding upon the heirs, executors and administrators of the Employee.
- 6) In the event of transfer to or employment of Employee by a parent, subsidiary, division or affiliated company of Alstom Power Inc., this Agreement shall remain in full force and effect.
- 7) No modification or amendment of this Agreement shall be valid unless in writing and signed by both parties to this Agreement.
- 8) The following inventions made prior to my employment by the Company are excluded from the scope of this Agreement (write "none" if applicable):
- 9) The law of the State of Delaware will govern the interpretation, validity and effect of this Agreement without regard to its place of execution or place of performance.

Signed at <u>Top its FL</u> (Gily and State)	this 6 day of Decader 20010
Witness as to Employee:	(Signed) (Employee)
Jame varyles	The Company
· · · · · ·	By JUNE July 19

REV Date: 03/16/2001

ALSTOM

ONHRNHR Current Forms/New Hire/New Hire Information & Forms/Agmt & Disclosure with Respect to Inventions

PATENT REEL: 055244 FRAME: 0486

RECORDED: 02/12/2021