506507782 02/16/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6554558

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
DAVID JOHN TWEARDY	11/09/2020

RECEIVING PARTY DATA

Name:	BAYLOR COLLEGE OF MEDICINE
Street Address:	ONE BAYLOR PLAZA
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77030

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17077821

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (415) 733-6000

Email: jhuddleston@goodwinlaw.com **Correspondent Name:** GOODWIN PROCTER LLP

Address Line 1: THREE EMBARCADERO CENTER
Address Line 4: SAN FRANCISCO, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	TVR-005C1
NAME OF SUBMITTER:	JENNIFFER HUDDLESTON
SIGNATURE:	/Jenniffer C. Huddleston/
DATE SIGNED:	02/16/2021

Total Attachments: 4

source=TVR-005C1 Executed Assignment - Baylor#page1.tif source=TVR-005C1 Executed Assignment - Baylor#page2.tif source=TVR-005C1 Executed Assignment - Baylor#page3.tif source=TVR-005C1 Executed Assignment - Baylor#page4.tif

PATENT 506507782 REEL: 055278 FRAME: 0208

CONFIRMATORY ASSIGNMENT BY INVENTORS

between

David John TWEARDY Houston, Texas (US)

(hereinafter referred to as "Inventors"), and <u>Baylor College of Medicine</u>, a <u>convoration</u> organized under and pursuant to the laws of the State Delaware having its principal place of business at <u>One Baylor Plaza Houston</u>. <u>Texas 77030 (US)</u> (hereinafter referred to as "Assignee").

WHEREAS, Inventor is an employee of Assignee and during the course of his employment by Assignee and as part of the performance of his job responsibilities, Inventor invented certain new and useful inventions disclosed in the following patent application: 17/077.821, filed on October 22, 2020, and entitled STAT3 INHIBITORS (hereinafter, the "Application(s)"); and

WHEREAS, Inventor and Assignee desire to confirm Assignee's ownership of the entire right, title and interest in and to (a) said inventions and all embodiments thereof; and (b)(i) the Application(s), (ii) all United States, foreign, or international patent applications, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise, that claim priority to or from the Application(s), including any divisional, substitution, continuation, continuation-in-part, non-provisional, or national phase application, (iii) each and every patent, utility model, or equivalent forms of protection thereto, including certification of invention, or inventor's certificate, granted or issued from any of the foregoing under subclause (ii), and (iv) each and every reissue, reexamination, renewal or extension of any kind of any patent of subclause (iii) (collectively, the "Patents").

NOW, THEREFORE, for good, valuable and sufficient consideration, including Inventor's continued at-will employment, the receipt in full of which is hereby acknowledged and agreed to by said Inventor, said Inventos confirms that he has sold, assigned, transferred, and conveyed unto Assignee, its successors, legal representatives and assigns, or, to the extent that such transfer has not been affected, do hereby sell, assign, transfer and convey unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to (a) said inventions, and (b) the Patents, including the right to claim priority to and from said Patents, and (c) all claims for past, present and future infringement of the Patents, including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patents.

Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for filing, prosecuting or maintaining any of the Patents; (c) for filing, prosecuting or maintaining applications for reissuance of any said Patents; (d) for interference or other priority proceedings involving said inventions or Patents; and (e) for legal proceedings involving said inventions or Patents, including without limitation reissues and reexaminations, IPRs, opposition and other post-grant proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said, Inventor his (respective) heirs, legal representatives and assigns.

Said Inventor hereby warrants, represents and covenants that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

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TVR-005C1

Said Inventor hereby requests that any Patents issuing or granting in the United States, any foreign country, or under any international convention, agreement, protocol, or treaty, be issued or granted in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

This instrument will be interpreted and construed in accordance with the laws of the State of <u>Texas</u>, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee as of the dates written below:

Signati	ure: This Toke Twenty
	Name: David John TWEARDY
	Date: ///09/2020
Receive	d and agreed to by Assignee:
Baylor	College of Medicine
By:	
	Name: Patrick Turley, J.D., Ph.D.
	Title: Associate General Counsel

Date:

CONFIRMATORY ASSIGNMENT BY INVENTORS

THIS CONFIRMATORY ASSIGNMENT is made this Harday of American 20, by and

between

David John TWEARDY Houston, Texas (US)

(hereinafter referred to as "Inventors"), and <u>Baylor College of Medicine,</u> a <u>corporation</u> organized under and pursuant to the laws of the State Delaware having its principal place of business at One Baylor Plaza, Houston. Texas 77030 (US) (hereinafter referred to as "Assignee").

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1

TVR-005C1

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IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee as of the dates written below:

Signature:

Name: David John T EARDY

Date: // 0 2020

Received and agreed to by Assignee:

Baylor College of Medicine

Digitally signed by Michael B Dilling

DN: cn=Michael B Dilling, o=Baylor College of Medicine, ou=Baylor
Licensing Group, email=michael.dilling@bcm.edu, c=US

Date: 2020.11.20 08:45:24-06'00'

Name: Michael B. Dilling, Ph.D.

Title: Executive Director, Baylor Licensing Group

11/20/2020 Date:

APPROVED AS TO PORM

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RECORDED: 02/16/2021