PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6556345

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
HORIZON TECHNOLOGY FINANCE CORPORATION	02/12/2021

RECEIVING PARTY DATA

Name:	UNITED STATES STEEL CORPORATION
Street Address:	600 GRANT STREET
City:	PITTSBURGH
State/Country:	PENNSYLVANIA
Postal Code:	15219

PROPERTY NUMBERS Total: 17

Property Type	Number
Patent Number:	7449074
Patent Number:	8133333
Patent Number:	8257512
Patent Number:	8641840
Patent Number:	8419869
Patent Number:	9834832
Patent Number:	9090287
Patent Number:	9493855
Patent Number:	9284635
Patent Number:	9074273
Patent Number:	10233524
Patent Number:	10480042
Patent Number:	10465260
Patent Number:	10378078
Application Number:	15643679
Application Number:	16021251
Application Number:	16134005

CORRESPONDENCE DATA

Fax Number: (412)227-5551

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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506509569 REEL: 055298 FRAME: 0634

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (412) 261-1600

Email: ipdocket@leechtishman.com

Correspondent Name: LEECH TISHMAN FUSCALDO & LAMPL

Address Line 1: 525 WILLIAM PENN PLACE

Address Line 2: 28TH FLOOR

Address Line 4: PITTSBURGH, PENNSYLVANIA 15219

ATTORNEY DOCKET NUMBER:	USS-2001
NAME OF SUBMITTER:	ALAN G. TOWNER
SIGNATURE:	/Alan G. Towner/
DATE SIGNED:	02/17/2021

Total Attachments: 16

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INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

This INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT ("IP Assignment"), dated as of February 12, 2021, is made by Horizon Technology Finance Corporation, as collateral agent, Horizon Funding Trust 2019-1, and Horizon Credit II LLC (collectively, "Assignor") in favor of United States Steel Corporation (collectively, "Assignee").

WHEREAS, Assignor, on the one hand, and Assignee, on the other hand, are parties to that certain Asset Purchase Agreement (the "APA") dated February 12, 2021, pursuant to which Assignor agreed to convey, assign, transfer and deliver to Assignee the Transferred Assets (as defined in the APA).

WHEREAS, Assignor, pursuant to Section 1.4(a) of the APA, is obligated to execute and deliver this IP Assignment, and has agreed to execute and deliver this IP Assignment, which may be recorded with the United States Patent and Trademark Office and corresponding entities or agencies in any applicable jurisdictions.

NOW THEREFORE, the parties agree as follows:

- 1. **Assignment**. For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby irrevocably conveys, transfers, and assigns to Assignee, and Assignee hereby accepts, all of Assignor's right, title, and interest in and to the Transferred Assets, including, without limitation, the following (collectively, the "Assigned IP"):
 - a. the patents and patent applications set forth on Schedule 1 hereto and all issuances, divisions, continuations, continuations-in-part, reissues, extensions, reexaminations, and renewals thereof, to the extent of Assignor's interest therein, if any (the "Patents");
 - b. the Trademarks set forth on Schedule 2 hereto and all issuances, extensions, and renewals thereof, together with the goodwill of the business connected with the use of, and symbolized by, the Trademarks; provided that, with respect to the United States intent-to-use trademark applications set forth on Schedule 2 hereto, the transfer of such applications accompanies the transfer of Assignor's business, or that portion of the business to which the trademark pertains, and that business is ongoing and existing;
 - all rights of any kind whatsoever of Assignor accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions, and otherwise throughout the world;
 - d. any and all royalties, fees, income, payments, and other proceeds now or hereafter due or payable with respect to any and all of the foregoing; and
 - e. any and all claims and causes of action with respect to any of the foregoing, whether accruing before, on, or after the date hereof, including all rights to and claims for damages, restitution, and injunctive and other legal and equitable relief for past, present, and future infringement, dilution, misappropriation, violation, misuse, breach, or default, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages.
- 2. **Recordation**. Assignor hereby authorizes the Commissioner for Patents and the Commissioner for Trademarks in the United States Patent and Trademark Office, and the officials of corresponding entities or agencies in any applicable jurisdictions to record and register this IP Assignment upon request by Assignee.

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- 3. **DISCLAIMER**. ASSIGNEE ACKNOWLEDGES THAT THE TRANSFERRED ASSETS WILL BE TRANSFERRED AS IS, WHERE IS, AND WITH ALL FAULTS, AND THAT ASSIGNOR MAKES NO REPRESENTATION OR WARRANTY CONCERNING ANY OF THE TRANSFERRED ASSETS, INCLUDING BUT NOT LIMITED TO ANY WARRANTY WITH RESPECT TO (A) THE CONDITION OR MERCHANTABILITY OF THE TRANSFERRED ASSETS OR THEIR FITNESS FOR ANY PARTICULAR PURPOSES OR USE; (B) INFRINGEMENT OR NON-INFRINGEMENT BY ANY OF THE TRANSFERRED ASSETS ON ANY PATENTS OR OTHER INTELLECTUAL PROPERTY RIGHTS HELD BY ANY THIRD PARTY (WHETHER BY REASON OF LATENT DEFENSES OR OTHERWISE) ON ANY PATENTS OR OTHER INTELLECTUAL PROPERTY RIGHTS THAT CONSTITUTE ANY OF THE TRANSFERRED ASSETS.
- 4. Counterparts. This IP Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed one and the same agreement. A signed copy of this IP Assignment delivered by facsimile, e-mail, or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this IP Assignment.
- 5. Successors and Assigns. This IP Assignment shall be binding upon and shall inure to the benefit of the parties hereto and their respective successors and assigns.
- Governing Law. Section 5.7 of the APA (titled: "CHOICE OF LAW AND VENUE; JURY TRIAL WAIVER") shall apply to this IP Assignment and the terms thereof are incorporated herein by this reference.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be executed by their duly authorized representatives as of date first written above.

Assignee:	Assignor:
UNITED STATES STEEL CORPORATION By:	HORIZON TECHNOLOGY FINANCE CORPORATION, as collateral agent
Name: Kenneth F. Jaycox 2/12/21 Title: Senior Vice President	By:

[Signature page to IP Assignment]

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be executed by their duly authorized representatives as of date first written above.

Assignee:	Assignor:		
UNITED STATES STEEL CORPORATION	HORIZON TECHNOLOGY FINANCE CORPORATION, as collateral agent		
By:			
Name: Kenneth E. Jaycox	By:		
Title: Senior Vice President	Name: Kobert D. Pomeroy, Jr.		
	Title: Chief Executive Officer		
	HORIZON FUNDING TRUST 2019-1		
	By: Horizon Technology Finance Corporation,		
	its agent		
	By: Asen Shmelley, Tr. Name: Robert D. Pomeroy, Jr.		
	Name: Robert D. Pomeroy, Jf.		
	Title: Chief Executive Officer		
	HORIZON CREDIT II LLC		
	By: MISENT DIMETON, J. Name Robert D. Pomeroy, Jr.		
	Name/Robert D. Pomeroy, Jr.		
	Title: Chief Executive Officer		

[Signature page to IP Assignment]

SCHEDULE 1 to IP Assignment

ASSIGNED PATENTS AND PATENT APPLICATIONS

Schedule A-1 To Asset Purchase Agreement

Patents And Patent Applications

PATENT NUMBER					7,449,074	
GRANT DATE					November 11, 2008	
COUNTR Y					SN	
APPLICATION NO.					11/117,649	
DATE FILED					April 28, 2005	
STATUS					Granted	
TITLE					NANO-CRYSTALLINE STEEL SHEET	
FILE NUMBER					NANO016US1	

PATENT NUMBER	8,133,333		8,257,512	8,641,840	
GRANT DATE	March 13, 2012		September 4, 2012	February 4, 2014	
COUNTR Y	ns		Sn	Sn	
APPLICATION NO.	11/874,361		13/354,924	13/863,911	
DATE FILED	October 18, 2007		January 20, 2012	April 16, 2013	
STATUS	penssi		Granted	Granted	
TITLE	IMPROVED PROCESSING METHOD FOR THE PRODUCTION OF AMORPHOUS / NANOSCALE / NEAR NANOSCALE STEEL SHEET		CLASSES OF MODAL STRUCTURED STEEL WITH STATIC REFINEMENT AND DYNAMIC STRENGTHENING	NEW CLASS OF HIGH STRENGTH NON STAINLESS STEELS	
FILE NUMBER	NANO026US		NANO064US	NANO069CON2	

NUMBER	,869	,832	,287		,855	
PATENT NUMBER	8,419,869	9,834,832	9,090,287		9,493,855	
GRANT DATE	April 16, 2013	December 5, 2017	July 28, 2015		November 15, 2016	
COUNTR Y	sn	SN	ns		SN	
APPLICATION NO.	13/556,410	14/151,310	13/740,856		14/188,567	
DATE FILED	July 24, 2012	January 9, 2014	January 14, 2013		February 24, 2014	
STATUS	Granted	Granted	Issued		Granted	
TITLE	NEW CLASS OF HIGH STRENGTH NON STAINLESS STEELS	NEW CLASSES OF STEELS FOR TUBULAR PRODUCTS	VEHICLE STRUCTURAL SUPPORT MEMBER REINFORCED WITH ULTRA HIGH STRENGTH LAMINATE		CLASS OF WARM FORMING ADVANCED HIGH STRENGTH STEEL	
FILE NUMBER	NANO069US	NANO075	NANO076		NANO079	

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PATENT NUMBER		9,284,635		
GRANT DATE		March 15, 2016		
COUNTR		SN		
APPLICATION NO.		14/575,301		
DATE FILED		December 18, 2014		
STATUS		Granted		
TITLE		RECRYSTALLIZATION, REFINEMENT, AND STRENGTHENING MECHANISMS FOR PRODUCTION OF ADVANCED HIGH STRENGTH METAL ALLOYS		
FILE NUMBER		NANO086CON		

PATENT NUMBER				9,074,273		
GRANT DATE				July 7, 2015		
COUNTR Y		•	•	ns		
APPLICATION NO.				14/616,296		
DATE FILED				February 6, 2015		
STATUS				Granted		
TITLE				METAL STEEL PRODUCTION BY SLAB CASTING		
FILE NUMBER				NANO087CON		

PATENT NUMBER					10,233,524
GRANT DATE					March 19, 2019
COUNTR	ı				ns
APPLICATION NO.					14/864,219
DATE FILED					September 24, 2015
STATUS					Granted
TITLE					High Ductility Steel Alloys with New Mixed Microconstituent Structure
FILE NUMBER					NANO094US

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PATENT NUMBER	10,480,042	10,465,260						
PATEN	10,	10,						
GRANT DATE	November 19, 2019	November 5, 2019						
COUNTR Y	sn	SN		•		sn		
APPLICATION NO.	15/094,554	15/438,313				16/522708		
DATE FILED	April 8, 2016	February 21, 2017				July 26, 2019		
STATUS	Granted	Granted				Pending		
TITLE	Improved Edge Formability In Metallic Alloys	Improved Edge Formability In Metallic Alloys				Improved Edge Formability In Metallic Alloys		
FILE NUMBER	NANO098	NANO098CIP				NANO098CON		

PATENT NUMBER					
GRANT DATE					
COUNTR			US	•	
APPLICATION NO.			16/449,983		
DATE FILED			June 24, 2019		
STATUS			Pending		
TITLE			Delayed Cracking Prevention During Drawing of High Strength Steel		
FILE NUMBER			NANO101CON		

PATENT NUMBER		10,378,078							
GRANT DATE		August 13, 2019							
COUNTR Y		ns		8			Sn	•	
APPLICATION NO.		15/391,237					15/643,679		
DATE FILED		December 27, 2016					July 7, 2017		
STATUS		Granted					Pending		
TITLE		Delayed Cracking Prevention During Drawing of High Strength Steel					High Yield Strength Steel		
FILE NUMBER		NANO101US					NANO104US		

PATENT NUMBER							
GRANT DATE							
COUNTR Y	SN	sn	SN			sn	ns
APPLICATION NO.	16/021,251	16/134,005	16/229,584			16/415,208	16/783,467
DATE FILED	June 28, 2018	September 18, 2018	December 21, 2018			May 17, 2019	February 7, 2020
STATUS	Pending	Pending	Pending			Pending	Pending
TITLE	Retention Of Mechanical Properties In Steel Alloys After Processing And In The Presence Of Stress Concentration Sites	Weldability Improvements in Advanced High Strength Steel	Alloys And Methods To Develop Yield Strength Distributions During Formation Of Metal Part			High Strength Steel Alloys With Ductility Characteristics	High Yield Strength Steel With Mechanical Properties Maintained Or Enhanced Via
FILE NUMBER	NANO108US	NANO110US	NANO111			NANO112US	NANO114

FILE NUMBER	ПТЕ	STATUS	DATE FILED	APPLICATION NO. COUNTR	COUNTR Y	GRANT DATE	PATENT NUMBER
	Thermal Treatment Optionally Provided During Galvanization Coating Operations						
NANO116P2	IMPROVEMENTS IN HOT BAND IN HIGH STRENGTH STEEL ALLOYS	Pending	March 30, 2020	63/001,591	ns		
NANO117P	METHOD TO DEVELOP ULTRA HIGH STRENGTH IN STEELS	Pending	April 6, 2020	63005608	ns		

RECORDED: 02/17/2021