

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6565442

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HYEOK LEE	01/25/2021
GUNYEOB PARK	01/25/2021
KILSEOK LEE	01/25/2021
BEOMJOO KIM	01/25/2021
JAEHO KIM	01/25/2021
BAECKGI JUNG	01/25/2021
HEONHEE KIM	01/25/2021
JISOON LIM	11/13/2020
EUNGI HEO	01/25/2021
RECEIVING PARTY DATA	
Name:	SAMSUNG SDI CO., LTD.
Street Address:	150-20, GONGSE-RO, GIHEUNG-GU
City:	YONGIN-SI, GYEONGGI-DO
State/Country:	KOREA, REPUBLIC OF
Postal Code:	17084
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17172814
CORRESPONDENCE DATA	
Fax Number:	(626)577-8800
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(626)795-9900
Email:	pto@lrrc.com
Correspondent Name:	LEWIS ROCA ROTHGERBER CHRISTIE LLP
Address Line 1:	P.O. BOX 29001
Address Line 4:	GLENDALE, CALIFORNIA 91209
ATTORNEY DOCKET NUMBER:	199933/JEJ/FMO
NAME OF SUBMITTER:	FRANCES M. O'BRIEN

SIGNATURE:	/Frances M. O'Brien/
DATE SIGNED:	02/23/2021
Total Attachments: 13 source=199933_Declaration_Assignment#page1.tif source=199933_Declaration_Assignment#page2.tif source=199933_Declaration_Assignment#page3.tif source=199933_Declaration_Assignment#page4.tif source=199933_Declaration_Assignment#page5.tif source=199933_Declaration_Assignment#page6.tif source=199933_Declaration_Assignment#page7.tif source=199933_Declaration_Assignment#page8.tif source=Agreement_Regarding_Ownership_Rights_of_Samsung_SDI_to_Inventions#page1.tif source=Agreement_Regarding_Ownership_Rights_of_Samsung_SDI_to_Inventions#page2.tif source=Agreement_Regarding_Ownership_Rights_of_Samsung_SDI_to_Inventions#page3.tif source=Agreement_Regarding_Ownership_Rights_of_Samsung_SDI_to_Inventions#page4.tif source=Agreement_Regarding_Ownership_Rights_of_Samsung_SDI_to_Inventions#page5.tif	

INVENTOR'S DECLARATION AND ASSIGNMENT
FOR PATENT APPLICATION

PATENT

Title of Invention: RECHARGEABLE BATTERY PACK

Docket No.: 199933

Application No. TBD

INVENTOR'S DECLARATION

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application unless the following is checked:

United States Application or PCT International Application Number _____
filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the above-identified application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

(1) Hyeok Lee, Gunyeob Park, Kilseok Lee, Beomjoo Kim, Jaeho Kim, Baekgi Jung,
Heonhee Kim, Jisoon Lim, and Eungi Heo

HEREBY SELL(S), ASSIGN(S) AND TRANSFER(S) TO

(2) SAMSUNG SDI CO., LTD.

having a place of business at

(3) 150-20 Gongse-ro, Giheung-gu, Yongin-si, Gyeonggi-do 17084, Republic of Korea

(hereinafter called "ASSIGNEE") the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

(4) RECHARGEABLE BATTERY PACK

INVENTOR'S DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

Docket No.: 199933
Application No.: TBD

which application was executed on even date herewith or was

(a) executed on (5a): _____
(b) filed on (5b): _____
Application No.: _____

(LEWIS ROCA ROTHGERBER CHRISTIE
LLP, P.O. Box 29001, Glendale, CA 91209-
9001) is hereby authorized to insert in (b) the
specified data, when known.

including any and all United States Patents which may be granted on said application, and any and all extensions, divisions, reissues, substitutes, renewals or continuations of said application and patents, and the right to all benefits under all international conventions for the protection of industrial property and applications for said improvements.

It is hereby authorized and requested that the Commissioner of Patents issue any and all of said Letters Patent, when granted, to said ASSIGNEE, its assigns or its successors in interest or its designee.

Upon said consideration, it is further agreed that, when requested, without charge to but at the expense of said ASSIGNEE, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightful other papers; and generally do everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining patent protection as provided herein.

Hyeok Lee
Legal Name of Inventor

2021. 1. 25.
Date

Signature

Gunyeob Park
Legal Name of Inventor

2021. 1. 25.
Date

Signature

INVENTOR'S DECLARATION AND ASSIGNMENT
FOR PATENT APPLICATION

Docket No.: 199933
Application No.: TBD

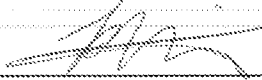
Kilseok Lee
Legal Name of Inventor

2021. 1. 25
Date


Signature

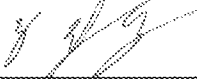
Beomjoo Kim
Legal Name of Inventor

2021. Jan. 25th.
Date


Signature


Jaeho Kim
Legal Name of Inventor

2021. 1. 25
Date


Signature


Baekgi Jung
Legal Name of Inventor

2021. 1. 25
Date


Signature

Heonhee Kim
Legal Name of Inventor

2021. 1. 25
Date


Signature

INVENTOR'S DECLARATION AND ASSIGNMENT
FOR PATENT APPLICATION

Docket No.: 199933
Application No.: TBD

Jisoon Lim
Legal Name of Inventor

Date

Signature

Eungi Heo
Legal Name of Inventor

Date

Signature

**INVENTOR'S DECLARATION AND ASSIGNMENT
FOR PATENT APPLICATION**

PATENT

Title of Invention: RECHARGEABLE BATTERY PACK

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ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

(1) Hyeok Lee, Gunyeob Park, Kilseok Lee, Beomjoo Kim, Jaeho Kim, Baeckgi Jung,
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HEREBY SELL(S), ASSIGN(S) AND TRANSFER(S) TO

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(hereinafter called "ASSIGNEE") the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

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**INVENTOR'S DECLARATION AND ASSIGNMENT
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Docket No.: 199933
Application No.: TBD

which application was executed on even date herewith or was

- (a) executed on (5a): _____;
(b) filed on (5b): _____;
Application No.: _____;

(LEWIS ROCA ROTHGERBER CHRISTIE LLP, P.O. Box 29001, Glendale, CA 91209- 9001) is hereby authorized to insert in (b) the specified data, when known.

including any and all United States Patents
which may be granted on said application, and any and all extensions, divisions, reissues,
substitutes, renewals or continuations of said application and patents, and the right to all benefits
under all international conventions for the protection of industrial property and applications for
said improvements.

It is hereby authorized and requested that the Commissioner of Patents issue any and all of said
Letters Patent, when granted, to said ASSIGNEE, its assigns or its successors in interest or its
designee.

Upon said consideration, it is further agreed that, when requested, without charge to but at the
expense of said ASSIGNEE, the undersigned will execute all divisional, continuing, substitute,
renewal, and reissue patent applications; execute all rightful other papers; and generally do
everything possible which said ASSIGNEE shall consider desirable for aiding in securing and
maintaining patent protection as provided herein.

Hyeok Lee
Legal Name of Inventor

Date

Signature

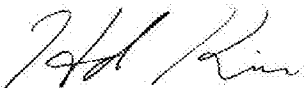
Gunyeob Park
Legal Name of Inventor

Date

Signature

Verification Statement for Translation

I, the undersigned, hereby declare that I am conversant in both the Korean and the English languages and that I am the translator of the document attached and certify that to the best of my knowledge and belief the following is a true and correct English translation of the Korean document (“Pledge on Trade Secret Protection, Succession of Inventions by Employee, and Prohibition of Competing Business”).

Signature:  _____

Name: Hwidong KIM

Date: February 17, 2021

**Pledge on Trade Secret Protection, Succession of Inventions by Employee, and
Prohibition of Competing Business**

[For retiring employees]

I, signed below, agree to the policies of Samsung SDI Co., Ltd. ('the Company') on Information protection, succession of inventions by employee, and prohibition of competing business after retirement, and pledges, as described below.

.....(omitted).....

3. I acknowledge that any rights, both at home and abroad, regarding the ownership, use, and disposal (including succession of rights on acquiring patents and other rights, and patent rights) on any inventions, discoveries, development, designs, designed technologies, information, and any other equivalent deliverables ('inventions by employee'), which have been performed by myself or in cooperation with others, belong to the Company, and pledge that I will not use the above rights, while working in the Company or after retiring, for myself or for the benefit of any third parties without prior written consent from the Company.

4. I will readily cooperate with the Company after retirement upon request from the Company, including preparation of drawings, specifications, certifications and any other related documents by myself or support the Company or any agents thereof preparing the above preparations, in the case where the Company takes legal steps for application of intellectual property rights related to the business of me and protection of related rights, or assigns the above rights to a third party.

.....(omitted).....

8. I agree that I will take any punishment according to related regulations and instructions of the Company in the case of violating any agreements and pledges as described above, and also hold any civil and criminal responsibilities including compensation of damages.

Further, I will not raise any objections on any legal processes initiated by the Company.

November 13, 2020

[Text] I carefully read the above 8 clauses, and confirmed and signed.	Employee No.: 14121254
[Write in person] I carefully read the above 8 clauses, and confirmed and signed.	Name: Jsoon LIM (Seal/Sign)

To Samsung SDI Co., Ltd.

영업비밀 등 보호, 직무발명 승계 및 경업금지 서약서

본인은 삼성에스디아이 주식회사 (이하 '회사'라 함) 퇴직 후 영업비밀 등 보호, 직무발명 승계 및 경업금지와 관련하여 다음과 같이 동의하고 서약합니다.

1. 본인은 다음의 정보를 포함하는 회사의 경보, 영업비밀 및 자산(이를 합하여 '영업비밀 등')을 어떠한 사유로도 임의로 이를 복사, 촬영, 녹음, 복제(업무 중 지득한 정보를 문서, 도면 등으로 재생산, 편집, 가공하는 형태를 포함), 보관(허가 받지 않은 저장매체를 이용한 보관 포함), 전송, 회사 내외의 제3자에게 누설, 공개 또는 사용하는 행위, 회사의 사전 허가 없이 영업비밀을 지정된 장소 밖으로 무단으로 유출하는 일체의 행위, 회사로부터 영업비밀 삭제, 반환 요구받고도 이를 계속 보유하는 행위 등을 하지 않겠습니다.

(다만, 회사의 사전 서면 동의가 있거나 정보보호 관련 규정에 의해 허용된 경우는 예외로 함)

- (1) 제품 연구개발계획/작업보고서·일지/실험데이터/연구성과 분석자료 등 연구개발에 관한 정보
- (2) 제품 설계방법, 도면, 제조공정, 제조장비, 제조 유관 컴퓨터 프로그램, 디자인, 개발기료 등 기술상 정보
- (3) 인사, 조직, 마케팅, 재무관리, 판매통계, 판매계획, M&A전략, 거래처 및 협력사 명부 등 경영상 정보
- (4) 외부 업체 위탁·용역을 통해 생성된 정보, 문서, 고객과 인직원 정보 기타 회사가 처리하는 개인정보
- (5) 기타 회사가 전항에 의거하여 보호하고자 하는 모든 정보 및 문서

2. 본인은 회사의 업무와 관련하여 직·간접적으로 생성하거나 획득한 영업비밀 등에 대한 모든 권리가 회사에 있음을 인정하며, 영업비밀 등의 유출, 전자메일의 오·남용, 회사 자산의 업무외 사용 등을 확인하기 위하여, 회사 소유 또는 회사가 제공한 경보통신망, 전산시스템, PC, 기타 저장매체 등을 이용하여 생성, 외부 전송, 저장한 전자메일(업무용/개인용), 파일, 문서, 로그 기록, 기타자료에 대하여 회사(회사가 위탁한 제3자 포함)가 그 내용(송·수신 내역 포함)을 기록/보관/열람/검색/통제하거나, 필요시 삭제된 자료를 복구, 암호화 해제, 열람, 복사 및 조사하는 것에 동의합니다.

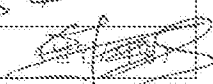
3. 본인은 재직 중 직무와 관련하여 독자적 또는 제3자와 공동으로 발명/발견/개발/설계/교안한 기술과 경보 및 이에 준하는 산출물('직무발명'이라 함)에 관한 소유, 사용, 처분 (특히 등을 받을 수 있는 권리나 특허권 등의 승계 포함) 등의 일체의 국내외 권리는 회사에 있음을 인정하며, 퇴직 이후라도 회사의 사전서면 동의 없이는 본인 또는 제3자의 이익을 이용하지 않겠습니다.

4. 본인은 퇴사 이후에도, 본인의 담당업무와 관련하여 회사가 지식재산권의 출원 등 관련 권리의 법적 보호조치를 취하거나 해당권리를 제3자에게 양도하는 경우, 회사의 요청에 따라 도면, 명세서, 확인서 등 그에 필요한 제반 문서나 서류를 직접 작성하거나, 회사 또는 그 대리인의 작성업무를 지원하는 등 적극 협조하겠습니다.

5. 본인은 퇴직 시 본인이 관리하고 있던 회사의 영업비밀 및 그와 관련된 일체의 자료를 회사에 반납하고, 이에 관한 어떠한 형태의 사본도 보유하지 않겠으며, 퇴사 이후에도 재직 중에 취득하거나 알게 된 영업비밀 등을 회사의 사전 동의 없이 어떠한 방법으로도 제3자에게 누설, 사용하거나 공개하지 않겠습니다.

6. 본인은 퇴사 후 2년간 국내·외의 동종·유사업체 및 경쟁사 등 회사의 영업비밀 등이 누설되거나 이용될 가능성이 있는 업체(LG화학, SK이노베이션, CAIL, ATL, BYD, 현대모비스, 노스볼트, SAFT 등을 포함하며 이에 한정되지 않음, 이하 '경쟁업체 등'이라 함)을 창업하거나 경쟁업체 등에 취업하지 않겠으며, 이를 위해 아래와 같은 행위를 하지 않겠습니다.
 만약 본인이 해당 기간 중 이에 해당하거나 해당할 가능성이 있는 행위를 하게 될 경우 취업 또는 창업 등 이전에 회사에 그 사실을 통보하여 본 서약서 위반여부를 확인하고, 위반될 경우 회사의 동의 없이도 해당 행위를 하지 않겠습니다.
- (1) 적·간접적 합작투자/파트너십/업무협력/취득경영 등 어떠한 형태를 불문하고 경쟁업체 등을 창업하는 행위
 - (2) 경쟁업체 등에 취업하는 행위(경쟁업체 등의 주주, Partner, 이사, 감사, 대리인, 자문역, 고문역, 임직원, 기타 어떠한 형태를 불문하고 경영참여, 업무수행 등의 행위를 포함한다)
 - (3) 연구소, 학교, 경쟁업체 등의 협력회사 등에 취업하는 형식을 취하지만, 실질적으로는 경쟁업체 등의 알선/중개를 통해 취업함으로써 경쟁업체 등을 위한 자문/컨설팅/도급 업무 등을 수행하는 우회취업 행위
 - (4) 기타 용역위탁, 도급, 위임, 대리, 업무보조 등 어떠한 형태를 불문하고 경쟁업체 등에게 노무, 정보, 기술 또는 관련 업무를 제공하는 행위
7. 본인은 퇴직 후 직접 또는 제3자를 통하여 회사 소속 임직원들로 하여금 경쟁업체 등과 고용 기타 사업관계를 형성하도록 모집, 권유, 유인, 설득하거나 기타의 방법으로 이를 조장하는 일체의 행위를 하지 않겠습니다.
8. 본인은 위의 각 등의 및 서약 사항 위반 시 회사의 관련 규정 및 지침에 따라 책임을 지는 것은 물론, 손해를 배상하는 등 일체의 민·형사상 책임을 지겠습니다. 또한 회사의 법적 조치에도 이의를 제기하지 않겠습니다.

2020年 11月 13日

[불문] 상기 8개 항목 세심하게 읽어 보았음을 확인하고 서명함	사번 : 1412254
[자필] 상기 8개 항목 세심하게 읽어 보았음을 확인함 서명함	성명 : 임자승 

삼성에스디아이주식회사 귀중