

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6569290

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
YOSHIHIRO OTSUKA	01/28/2021
MOTOAKI SARUWATARI	01/07/2021
KAZUOMI RYOSHI	01/06/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SUMITOMO METAL MINING CO., LTD.
<b>Street Address:</b>	11-3, SHIMBASHI 5-CHOME, MINATO-KU
<b>City:</b>	TOKYO
<b>State/Country:</b>	JAPAN
<b>Postal Code:</b>	105-8716
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16328015
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(571)395-8753
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	7038273800
<b>Email:</b>	patentmail@whda.com, DDavis@WHDA.COM
<b>Correspondent Name:</b>	WESTERMAN, HATTORI, DANIELS & ADRIAN, LL
<b>Address Line 1:</b>	8500 LEESBURG PIKE
<b>Address Line 2:</b>	SUITE 7500
<b>Address Line 4:</b>	TYSONS, VIRGINIA 22182
<b>ATTORNEY DOCKET NUMBER:</b>	P190179US00
<b>NAME OF SUBMITTER:</b>	YOSHIYA NAKAMURA
<b>SIGNATURE:</b>	/Yoshiya Nakamura/
<b>DATE SIGNED:</b>	02/24/2021
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 6</b>	
source=P190179US00_Declaration and Assignment_Otsuka_02-24-2021#page1.tif	
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source=P190179US00\_Declaration and Assignment\_Ryoshi\_02-24-2021#page2.tif  
source=P190179US00\_Declaration and Assignment\_Saruwatari\_02-24-2021#page1.tif  
source=P190179US00\_Declaration and Assignment\_Saruwatari\_02-24-2021#page2.tif

## Combined Declaration and Assignment for Utility Patent and Design Patent Applications

### DECLARATION

As a below named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Insert Title:

**POSITIVE ELECTRODE ACTIVE SUBSTANCE FOR NON-AQUEOUS ELECTROLYTE  
SECONDARY BATTERY AND METHOD FOR PRODUCING SAME, POSITIVE ELECTRODE  
MIXTURE PASTE FOR NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY, AND  
NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY**

the application of which is attached hereto unless the following is checked

☒ was filed on **June 17, 2019** as United States Application Number **16/328,015** and was filed on **August 29, 2017** as PCT International Application Number **PCT/JP2017/031032** and was amended on \_\_\_\_\_ (if applicable).

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under section 1001 of title 18 by fine or imprisonment of not more than 5 years, or both.

### ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the adequacy and receipt of which is hereby acknowledged by the undersigned inventor(s) (hereinafter ASSIGNOR) by

Insert  
Assignee(s)  
Name/Address:

**SUMITOMO METAL MINING CO., LTD.  
11-3, Shimbashi 5-chome, Minato-ku  
Tokyo 105-8716  
Japan**

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue, reexamination, supplemental examination, inter partes review, post grant review, or other procedures thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination, supplemental examination, inter partes review, post grant review, or other procedures thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

The ASSIGNOR sells, assigns and transfers to said ASSIGNEE the entire and exclusive right, title and interest to the application(s) and the invention(s) disclosed therein for the United States of America and all countries foreign to the United States and do hereby authorize said ASSIGNEE to apply for patents therefore in its own name in countries

Docket No.: P190179US00

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where such procedure is proper and to claim the priority right under the International Convention and agrees to execute all papers necessary in connection with applications for such patents and any continuation, divisional, substitute, reissue or reexamination, supplemental examination, inter partes review, post grant review, or other procedures thereof and also execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

Hereby executed by the undersigned on the date opposite the undersigned name:

Legal Name of inventor Yoshihiro OTSUKA

Date: January 28, 2021

Inventor's Signature Yoshihiro Otsuka

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Insert

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Hereby executed by the undersigned on the date opposite the undersigned name:

Legal Name of inventor Kazuomi RYOSHI

Date: January 6, 2021

Inventor's Signature Kazuomi Ryoshi

**Combined Declaration and Assignment  
for Utility Patent and Design Patent Applications**

**DECLARATION**

As a below named inventor, I hereby declare that:

I believe I am the original inventor or an original joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Insert Title:

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Insert

Assignee(s)

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Hereby executed by the undersigned on the date opposite the undersigned name:

Legal Name of inventor Motoaki SARUWATARI

Date: January 7, 2021

Inventor's Signature Motoaki Saruwatari