506526996 02/26/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6573772

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CHANGHYUN LEE	02/16/2021
CHAO ZHANG	02/16/2021
HAIBO LI	02/16/2021

RECEIVING PARTY DATA

Name:	YANGTZE MEMORY TECHNOLOGIES CO., LTD.
Street Address:	NO. 88 WEILAI 3RD ROAD, EAST LAKE HIGH-TECH DEVELOPMENT ZONE
City:	WUHAN, HUBEI
State/Country:	CHINA

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17062053

CORRESPONDENCE DATA

Fax Number: (202)371-2540

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2023712600

Email: jvang@sternekessler.com

Correspondent Name: STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Address Line 1: 1100 NEW YORK AVENUE, NW Address Line 4: WASHINGTON, D.C. 20005

ATTORNEY DOCKET NUMBER:	4123.0930001		
NAME OF SUBMITTER:	F SUBMITTER: DONALD J. FEATHERSTONE, REG.NO. 33,876		
SIGNATURE:	/Donald J. Featherstone/		
DATE SIGNED:	02/26/2021		
	This document serves as an Oath/Declaration (37 CFR 1.63).		

Total Attachments: 8

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PATENT REEL: 055435 FRAME: 0088

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COMBINED DECLARATION AND ASSIGNMENT

DECLARATION

As the below named inventors, I hereby declare that:

This declaration is directed to:

The attached application, or

United States application or PCT international application number 17/062.053

filed on October 2, 2020

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the below named inventors, each of the below named inventors, hereby sell and assign to Yangtze Memory Technologies Co., Ltd., a corporation formed under the laws of China, whose mailing address is No. 88 Weilai 3rd Road, East Lake High-Tech Development Zone, Wuhan, Hubei, China (hereafter referred to as the Assignee), his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages, for the United States of America (as defined in 35 U.S.C. § 100) and throughout the world,

- (a) in the invention(s) known as ERASING METHOD FOR 3D NAND FLASH MEMORY, for which application(s) for patent in the United States of America is identified above, in any and all applications thereon, in any and all Letters Patent(s) therefor, and
- (b) in any and all applications that claim the benefit of the patent application identified above in part (a), including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

Appl. No. 17/062,053 Atty. Docket No. 4123.0930001

(c) in any and all inventions described in the patent application identified above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The below named inventors agree to execute all papers necessary in connection with the application(s) and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The below named inventors agree to execute all papers necessary in connection with any patent enforcement action (judicial or otherwise) related to the application(s) or any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such patent enforcement action.

The below named inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The below named inventors hereby represent that he/she understands that the patent practitioners associated with CUSTOMER NUMBER 26111 are the legal representatives of, and attorneys for, the assignee, and are <u>NOT</u> the legal representatives of, and attorneys for, the inventors.

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IN WITNESS WHEREOF, executed by the below named inventors on the date opposite his/her name.

LEGAL N	AME OF INVENTOR	T11/th 0.01
Inventor:	Changhyun LEE	Date: Heb, 16 g 2021
Signature c	of Inventor: <u>AA</u>	Hulle
LEGAL N	AME OF INVENTOR	
Inventor:	Chao ZHANG	Date:
Signature o	of Inventor:	
LEGAL N.	AME OF INVENTOR	
Inventor:	Haibo LI	Date:
Signature c	of Inventor:	

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SCHEDULE A

UNITED STATES PATENTS, UNITED STATES PATENT APPLICATIONS AND INTERNATIONAL APPLICATIONS THAT DESIGNATE THE UNITED STATES

Application No. Filing Date Patent No. Issue Date
PCT/CN2020/111689 August 27, 2020

OTHER INTERNATIONAL APPLICATIONS AND PATENTS

Country Application No. Filing Date

15733071.1

Page 4 of 4

COMBINED DECLARATION AND ASSIGNMENT

DECLARATION

As the	below named inventors, I hereby declare that:
This d	eclaration is directed to:
	The attached application, or
\boxtimes	United States application or PCT international application number <u>17/062,053</u>
	filed on October 2, 2020

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

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- (a) in the invention(s) known as **ERASING METHOD FOR 3D NAND FLASH MEMORY**, for which application(s) for patent in the United States of America is identified above, in any and all applications thereon, in any and all Letters Patent(s) therefor, and
- (b) in any and all applications that claim the benefit of the patent application identified above in part (a), including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application identified above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The below named inventors agree to execute all papers necessary in connection with the application(s) and any non-provisional, continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

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The below named inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The below named inventors hereby represent that he/she understands that the patent practitioners associated with **CUSTOMER NUMBER 26111** are the legal representatives of, and attorneys for, the assignee, and are <u>NOT</u> the legal representatives of, and attorneys for, the inventors

his/her name.

LEGAL NAME OF INVENTOR

Inventor: Changhyun LEE Date:

Signature of Inventor:

LEGAL NAME OF INVENTOR

Inventor: Chao ZHANG Date: 02/16/2021

Signature of Inventor: Chao ZHANG Date: 02/16/2021

Signature of Inventor: Date: 02/16/2021

Signature of Inventor: Haibo LI Date: 2/16/2021

Signature of Inventor: Haibo LI Date: 2/16/2021

IN WITNESS WHEREOF, executed by the below named inventors on the date opposite

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UNITED STATES PATENTS, UNITED STATES PATENT APPLICATIONS AND INTERNATIONAL APPLICATIONS THAT DESIGNATE THE UNITED STATES

Application No.	Filing Date	Patent No.	Issue Date
PCT/CN2020/111689	August 27, 2020		

OTHER INTERNATIONAL APPLICATIONS AND PATENTS

Country	Application No.	Filing Date	
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