

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
JEFFERIES FINANCE LLC, AS AGENT	03/01/2021
RECEIVING PARTY DATA	
Name:	DRW TECHNOLOGIES LLC
Street Address:	540 W. MADISON STREET
Internal Address:	SUITE 2500
City:	CHICAGO
State/Country:	ILLINOIS
Postal Code:	60661
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	13506006
Application Number:	13068768
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NAME OF SUBMITTER:	ANGELA M. AMARU
SIGNATURE:	/s/ Angela M. Amaru
DATE SIGNED:	03/02/2021
Total Attachments: 3	
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RELEASE OF SECURITY INTEREST IN PATENTS

This **RELEASE OF SECURITY INTEREST IN PATENTS** (this “Release”), dated as of March 1, 2021 (the “Effective Date”), is made by JEFFERIES FINANCE LLC, as Administrative Agent for the Secured Parties (in such capacity, the “Agent”), in favor of DRW TECHNOLOGIES LLC, a Delaware limited liability company, and DRW INNOVATIONS, LLC, a Delaware limited liability company (each a “Grantor,” and together the “Grantors”). All capitalized terms used, but not otherwise defined herein, shall have the respective meanings ascribed to them in the Patent Security Agreement (whether defined therein or by reference to another agreement).

WHEREAS, pursuant to that certain Guarantee and Collateral Agreement, dated as of November 27, 2019, among the Grantors and the Agent (as may have been amended, restated, supplemented or otherwise modified from time to time, the “Guarantee and Collateral Agreement”), the Grantors executed and delivered a Patent Security Agreement, dated as of November 27, 2019 (the “Patent Security Agreement”), which was recorded in the United States Patent and Trademark Office (“USPTO”) on November 27, 2019 at Reel/Frame 051131/0080 (with respect to DRW Technologies LLC) and at Reel/Frame 051331/0046 (with respect to DRW Innovations, LLC);

WHEREAS, pursuant to the Guarantee and Collateral Agreement and the Patent Security Agreement, each Grantor assigned, transferred and granted to the Agent, for the benefit of the Secured Parties, a security interest (the “Security Interest”) in all of the following property, in each case, wherever located and then owned or at any time thereafter acquired by such Grantor or in which such Grantor then had or at any time thereafter may have acquired any right, title or interest: all patentable inventions and designs, all United States, foreign, and multinational patents, certificates of invention, and similar industrial property rights, and applications for any of the foregoing, including without limitation: (i) each patent and patent application listed in Schedule A attached hereto, (ii) all reissues, substitutes, divisions, continuations, continuations-in-part, extensions, renewals, and reexaminations thereof, (iii) all inventions and improvements described and claimed therein, (iv) all rights to sue or otherwise recover for any past, present and future infringement or other violation thereof, (v) all Proceeds of the foregoing, including, without limitation, license fees, royalties, income, payments, claims, damages, and proceeds of suit now or hereafter due and/or payable with respect thereto, income, royalties, damages and other payments now and hereafter due and/or payable with respect thereto, and (vi) all other rights of any accruing thereunder or pertaining thereto throughout the world (collectively, the “Patent Collateral”); and

WHEREAS, the Agent and the Grantors acknowledge that the requirements for releasing the Security Interest in the Patent Collateral have been met.

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Agent, hereby (i) terminates and cancels the Patent Security Agreement, (ii) releases, discharges, terminates and cancels the Security Interest in and to the Patent Collateral, and (iii) re-assigns to each Grantor any right, title or interest it may have in the Patent Collateral of such Grantor, in each case without recourse to the Agent and without representation or warranty of any kind.

Each Grantor, or any successor to such Grantor (including any person or entity hereafter having any right, title or interest in or to the Patent Collateral of such Grantor), is hereby authorized to record this Release in the USPTO.

[Signature Page Follows]

IN WITNESS WHEREOF, the Agent has executed this Release as of the Effective Date.

JEFFERIES FINANCE LLC, as Agent

By: 
Name: John Koehler
Title: Managing Director

SCHEDULE A

Patents

COMPANY	TITLE OF INVENTION	APPLICATION NO. FILING DATE PATENT NO. ISSUE DATE
DRW Innovations, LLC	Method for trading and clearing variance swaps	App. No. 13/134,355 Filed Jun 6, 2011 Pat. No. 8,606,680 Issued Dec. 10, 2013
DRW Technologies LLC	1. Read-Throttled Input/Output Scheduler 2. Process for financing and interest rate price discovery utilizing a centrally-cleared derivative	App. No. 13/506,006 Filed Mar. 20, 2012; Pat. No. 8,903,994; Issued Dec. 2, 2014 App. No. 13/068,768 Filed May 18, 2011