506536846 03/04/2021

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6583623

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MARK SEROKOSZ	02/24/2021
EUGENE AVIDANO	03/03/2021
DYLAN FREUND	03/03/2021
DAVID BOISVERT	03/01/2021

### **RECEIVING PARTY DATA**

Name:	SPINE WAVE, INC.
Street Address:	3 ENTERPRISE DR STE 210
City:	SHELTON
State/Country:	CONNECTICUT
Postal Code:	064844696

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16381524

### **CORRESPONDENCE DATA**

**Fax Number:** (973)331-1717

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 973-331-1700

Email: HBMAIL@hbiplaw.com
Correspondent Name: HOFFMANN & BARON LLP
Address Line 1: 6900 JERICHO TURNPIKE
Address Line 4: SYOSSET, NEW YORK 11791

ATTORNEY DOCKET NUMBER:	1487-142 CON
NAME OF SUBMITTER:	MATTHEW J. SOLOW
SIGNATURE:	/matthew j. solow/
DATE SIGNED:	03/04/2021

## **Total Attachments: 12**

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PATENT 506536846 REEL: 055493 FRAME: 0607



PATENT REEL: 055493 FRAME: 0608

Attorney's Docket No.: 1487-142 / 1487-142 C	<u>CON</u> PATENT
	For:   ☑ U.S. and/or ☐ Foreign Rights  For:  ☑ U.S. Application; ☐ U.S. Provisional Application; ☑ U.S.Patent; or
	☐ PCT Application By: ☐ Inventors or ☐ Present Owners
ASSIGNMENT	OF INVENTION
In consideration of the payment by ASSI (\$1.00), the receipt of which is hereby acknowle consideration,	GNEE to ASSIGNOR of the sum of One Dollar dged, and for other good and valuable
ASSIGNORS: (inventor(s) or person(s) or entity(ies) who o	own the invention)
Mark Serokosz Name	Eugene Avidano Name
12 High View Terrace Address	85 Salem Road Address
New Fairfield, CT 06812	Stratford, CT 06614
Dylan Freund Name	David Boisvert Name
1221 Armorlite Drive, Apt. #304 Address	140 Brownstone Drive Address
San Marcos, CA 99097	Southington, CT 06489
(If assignment is by person or entity to whom invention was pre following)	viously assigned and this was recorded in PTO add the
Recorded on Rec Fra	el me
hereby sells, assigns and transfers to	
ASSIGNEE	

PATENT REEL: 055493 FRAME: 0609

		SPINE WAVE, INC.
		(Type or print name of ASSIGNEE)
		3 Enterprise Drive, Suite 210
		Address
		Shelton, CT 06484
		US
and t	he succ	Nationality essors, assigns and legal representatives of the ASSIGNEE,
		(complete one of the following)
		the entire right, title and interest an undivided percent (%) interest
for th	ne Unite	d States and its territorial possessions
	$\boxtimes$	and in all foreign countries, including all rights to claim priority,
in an	d to any	and all improvements which are disclosed in the invention entitled: SCREW-BASED RETRACTOR WITH EXPANDABLE BLADES SCREW-BASED RETRACTOR WITH ARTICULATING BLADES
and v	vhich is	found in
		(check and complete (a), (b), (c), (d), (e), (f), or (g))
(a) (b)		U.S. patent application executed on even date herewith U.S. patent application executed on
(c)		U.S. provisional application naming the above inventor(s) for the above-identified invention.  Express Mail label No.:, mailed:
	$\boxtimes$	To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing
(d)	$\boxtimes$	date and application number when they become known U.S. application Serial No. <u>16/381,524</u> filed on <u>April 11, 2019</u>
(d) (e) (f)		International application No. PCT//
(f)		U.S. Patent No. 10,363,022 issued July 30, 2019  A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g)		and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:	X 2/24/21	Mark Serokosz
	Date of signing	Mark Serokosz
Date:	X	Х
	Date of signing	Eugene Avidano
Date:	x	X
	Date of signing	Dylan Freund
Date:	X	<u>X</u>
	Date of signing	David Boisvert
NOTE: N	o witnessing, notarization or legaliz orima facie evidence of execution 3	cation is necessary. If the assignment is notarized or legalized then it will only be 5 USC 261. Use next page if notarization is desired.
		Notarization or Legalization Page Added.

Assignment of Invention - Page 3 of 3

REEL: 055493 FRAME: 0611

Attorney's Docket No.: 1487-142 / 1487-142 (	<u>CON</u> PATENT
	For:   ☐ U.S. and/or ☐ Foreign Rights  ☐ U.S. Application; ☐ U.S. Provisional Application; ☐ U.S. Patent; or
	☐ PCT Application By: ☐ Inventors or ☐ Present Owners
ASSIGNMENT	OF INVENTION
In consideration of the payment by ASSI (\$1.00), the receipt of which is hereby acknowle consideration,	IGNEE to ASSIGNOR of the sum of One Dollar edged, and for other good and valuable
ASSIGNORS: (inventor(s) or person(s) or entity(ies) who	own the invention)
Mark Serokosz Name	Eugene Avidano Name
12 High View Terrace Address	85 Salem Road Address
New Fairfield, CT 06812	Stratford, CT 06614
Dylan Freund	David Boisvert
Name	Name
1221 Armorlite Drive, Apt. #304	140 Brownstone Drive
Address	Address
San Marcos, CA 99097	Southington, CT 06489
(If assignment is by person or entity to whom invention was prefollowing)	eviously assigned and this was recorded in PTO add the
Recorded on Rec	el e
hereby sells, assigns and transfers to	
ASSIGNEE:	

		SPINE WAVE, INC.
		(Type or print name of ASSIGNEE)
		3 Enterprise Drive, Suite 210
		Address
		ov. 1
		Shelton, CT 06484
		US
and th	ie succe	Nationality ssors, assigns and legal representatives of the ASSIGNEE,
anu u	ic succe	
		(complete one of the following)
	$\bowtie$	the entire right, title and interest an undivided percent (%) interest
	النا	an undivided percent (, s)e
for th	e Unite	d States and its territorial possessions
	$\boxtimes$	and in all foreign countries, including all rights to claim priority,
in and		and all improvements which are disclosed in the invention entitled: SCREW-BASED RETRACTOR WITH EXPANDABLE BLADES SCREW-BASED RETRACTOR WITH ARTICULATING BLADES
and v	vhich is	found in
		(check and complete (a), (b), (c), (d), (e), (f), or (g))
(a)		U.S. patent application executed on even date herewith
(b)		U.S. patent application executed on
(c)	لبا	invention.
	6 <del></del> 3	Express Mail label No.:, mailed:
		To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d)	$\boxtimes$	U.S. application Serial No. <u>16/381,524</u> filed on <u>April 11, 2019</u>
(e)		International application No. PCT//
(f)	M	U.S. Patent No. 10,363,022 issued July 30, 2019  A change of address to which correspondence is to be sent regarding patent
		maintenance fees is being sent separately.
(g)		and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:	X	X
	Date of signing	Mark Serokosz
Date:	X 3/3/2021	x year
	Date of signing	Eugene Avidano
Date:	X	х
	Date of signing	Dylan Freund
Date:	X	X
	Date of signing	David Boisvert
IOTE: N	o witnessing, notarization or legaliz prima facie evidence of execution 35	ation is necessary. If the assignment is notarized or legalized then it will only be USC 261. Use next page if notarization is desired.
		Notarization or Legalization Page Added.

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## **PATENT**

	For US and/or Foreign Rights For US Application, US Provisional Application, US Patent, or PCT Application Inventors or Present Owners
ASSIGNMEN	T OF INVENTION
	SSIGNEE to ASSIGNOR of the sum of One Dollar
ASSIGNORS: (inventor(s) or person(s) or entity(ies) w	ho own the invention)
Mark Serokosz	Eugene Avidano
Name	Name
12 High View Terrace	85 Salem Road
Address	Address
New Fairfield, CT 06812	Stratford, CT 06614
Dylan Freund	David Boisvert
Name	Name
1221 Armorlite Drive, Apt. #304	140 Brownstone Drive Address
Address	Address
San Marcos, CA 99097	Southington, CT 06489
(If assignment is by person or entity to whom invention was following)	previously assigned and this was recorded in PTO add the
	Reel Frame
hereby sells, assigns and transfers to	
ASSIGNEE:	

		SPINE WAVE, INC.
		(Type or print name of ASSIGNEE)
		3 Enterprise Drive, Suite 210
		Address
		Shelton, CT 06484
		US
and tl	ne succe	Nationality essors, assigns and legal representatives of the ASSIGNEE,
		(complete one of the following)
		the entire right, title and interest an undivided percent (%) interest
for th	e Unite	d States and its territorial possessions
	$\boxtimes$	and in all foreign countries, including all rights to claim priority,
in and		and all improvements which are disclosed in the invention entitled: SCREW-BASED RETRACTOR WITH EXPANDABLE BLADES CREW-BASED RETRACTOR WITH ARTICULATING BLADES
and w	hich is	found in
		(check and complete (a), (b), (c), (d), (e), (f), or (g))
(a)		U.S. patent application executed on even date herewith
(b) (c)	Н	U.S. patent application executed on U.S. provisional application naming the above inventor(s) for the above-identified invention.
	$\boxtimes$	Express Mail label No.:, mailed: To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR
	М	signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d)	$\boxtimes$	U.S. application Serial No. <u>16/381,524</u> filed on <u>April 11, 2019</u>
(e) (f)	$\forall$	International application No. PCT// U.S. Patent No. 10,363,022 issued July 30, 2019
(1)	IZXI	A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g)		and any legal equivalent thereof in a foreign country, including the right to claim priority
contin	nuation,	o, all Letters Patent to be obtained for said invention by the above application or any division, renewal, or substitute thereof, and as to Letters Patent any re-issue or rethereof.

PATENT REEL: 055493 FRAME: 0616

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

Date:	X	X
	X Date of signing	Mark Serokosz
Date:	X Date of signing	<u>x</u>
	Date of signing	Eugene Avidano
Date:	x 3/3/2021	X John Freund  Dylan Freund
	Date of signing	Dylan Freund
Date:	X Date of signing	X David Boisvert
	Date of signing	David Boisvert
NOTE 1		tion is necessary. If the assignment is notarized or legalized then it will only be USC 261. Use next page if notarization is desired.
		Notarization or Legalization Page Added.

Attorney's Docket No.: <u>1487-142 / 1487-142 C</u>	<u>CON</u>	PATENT
	For: For:	<ul> <li>☑ U.S. and/or ☐ Foreign Rights</li> <li>☑ U.S. Application;</li> <li>☐ U.S. Provisional Application;</li> <li>☑ U.S. Patent; or</li> </ul>
	Ву:	☐ PCT Application ☐ Inventors or ☐ Present Owners
ASSIGNMENT	OF INVENTIO	N
In consideration of the payment by ASSI (\$1.00), the receipt of which is hereby acknowle consideration,	GNEE to ASSIG	NOR of the sum of One Dollar er good and valuable
ASSIGNORS: (inventor(s) or person(s) or entity(ies) who	own the invention)	
Mark Serokosz	Eugene Avida	no
Name	Name	
12 High View Terrace Address	85 Salem Roa Address	<u>d</u>
New Fairfield, CT 06812	Stratford, CT	06614
Dylan Freund Name	David Boisver Name	rt
ranc	. (4	
1221 Armorlite Drive, Apt. #304	140 Brownsto	ne Drive
Address	Address	
San Marcos, CA 99097	Southington, (	CT 06489
(If assignment is by person or entity to whom invention was profollowing)	eviously assigned and t	his was recorded in PTO add the
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		SPINE WAVE, INC.	
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	Address		
		Shelton, CT 06484	
		US	
		Nationality	
and t	he succ	essors, assigns and legal representatives of the ASSIGNEE,	
		(complete one of the following)	
	M	the entire right, title and interest	
	Ħ	an undivided percent (%) interest	
for th	ne Unite	d States and its territorial possessions	
	$\boxtimes$	and in all foreign countries, including all rights to claim priority,	
in an	d to any	and all improvements which are disclosed in the invention entitled: SCREW-BASED RETRACTOR WITH EXPANDABLE BLADES SCREW-BASED RETRACTOR WITH ARTICULATING BLADES	
and v	which is	found in	
		(check and complete (a), (b), (c), (d), (e), (f), or (g))	
(a)		U.S. patent application executed on even date herewith	
(b)		U.S. patent application executed on	
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Date:	X	X
	Date of signing	Mark Serokosz
Date:	X	X
	Date of signing	Eugene Avidano
		<b>*</b>
Date:	X Date of signing	A Dylan Freund
Date:	X 3/1/2021 Date A signing	x Thereof Games of
	Date of surning	David Boisvert

NOTE: No wimessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prime facile evidence of execution 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

Assignment of Invention - Page 3 of 3

PATENT REEL: 055493 FRAME: 0620