

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6591763

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                     |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                         |
| <b>CONVEYING PARTY DATA</b>   |                                    |
| <b>Name</b>   | <b>Execution Date</b>              |
| SUNGHO HAN  | 02/28/2019                         |
| <b>RECEIVING PARTY DATA</b>   |                                    |
| <b>Name:</b>  | SHINE BIOPHARMA, INC.              |
| <b>Street Address:</b>  | 5F, 14, CHEONGGYECHEON-RO, JUNG-GU |
| <b>City:</b>  | SEOUL                              |
| <b>State/Country:</b>   | KOREA, REPUBLIC OF                 |
| <b>Postal Code:</b>   | 04520                              |
| <b>PROPERTY NUMBERS Total: 1</b>  |                                    |
| <b>Property Type</b>  | <b>Number</b>                      |
| Application Number:   | 16545438                           |
| <b>CORRESPONDENCE DATA</b>  |                                    |
| <b>Fax Number:</b>  | (650)938-5200                      |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                    |
| <b>Phone:</b>   | 6503357908                         |
| <b>Email:</b>   | mnascimento@fenwick.com            |
| <b>Correspondent Name:</b>  | HAYAN YOON                         |
| <b>Address Line 1:</b>  | FENWICK & WEST LLP                 |
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| <b>Address Line 4:</b>  | MOUNTAIN VIEW, CALIFORNIA 94041    |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 34688-43721/US (001USD1)           |
| <b>NAME OF SUBMITTER:</b>   | HAYAN YOON, REG. NO. 78,318        |
| <b>SIGNATURE:</b>   | /Hayan Yoon/                       |
| <b>DATE SIGNED:</b>   | 03/09/2021                         |
| <b>Total Attachments: 2</b>   |                                    |
| source=43721 4 of 6 Assignment Han to Shine from parent 39923 2019-08-06#page1.tif  |                                    |
| source=43721 4 of 6 Assignment Han to Shine from parent 39923 2019-08-06#page2.tif  |                                    |

### CONFIRMATORY ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, **Sungho HAN** ("INVENTOR") hereby confirms that pursuant to a contract made on April 10, 2017 (the "2017 Agreement") between INVENTOR and **SHINE BIOPHARMA, INC.**, a corporation of the Republic of Korea, having a place of business at 5F, 14, Cheonggyecheon-ro, Jung-gu, Seoul 04520, Republic of Korea ("ASSIGNEE") and subsequently pursuant to a contract made on October 11, 2018 (the "2018 Agreement") between INVENTOR and ASSIGNEE, she had sold, assigned, and transferred and does hereby sell, assign, and transfer to ASSIGNEE, for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in and to all inventions and improvements ("SUBJECT MATTER") that are disclosed in the following patent applications (collectively, the "APPLICATIONS"):

- Korean Application No. 10-2017-0036268, filed March 22, 2017;
- International Application No. PCT/KR2017/013444, entitled "COMPOSITION FOR PROMOTING DIFFERENTIATION OF AND PROTECTING NEURAL STEM CELLS AND METHOD FOR INDUCING NEURAL REGENERATION USING SAME", filed on November 23, 2017, which claims priority from Korean Application No. 10-2016-0158739, filed November 25, 2016 and Korean Application No. 10-2017-0036268, filed March 22, 2017; and
- U.S. Application No. 15/897,138, filed on February 14, 2018, which claims priority from International Application No. PCT/KR2017/013444;

2. The entire worldwide right, title, and interest in and to: (a) the APPLICATIONS; (b) all applications claiming priority from the APPLICATIONS; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto that have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) that may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATIONS and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR confirms that she agreed and does hereby agree that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR confirms that she agreed and does hereby agree to do the following, when requested, and without further consideration, in order to carry out the intent of this Confirmatory Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining,

|                |  |                    |                |
|----------------|--|--------------------|----------------|
| Title:         | COMPOSITION FOR PROMOTING DIFFERENTIATION OF AND PROTECTING NEURAL STEM CELLS AND METHOD FOR INDUCING NEURAL REGENERATION USING SAME |                    |                |
| Filed:         | November 23, 2017  | Attorney Docket #: | 34688-39923/WO |
| Application #: | PCT/KR2017/013444  |                    |                |

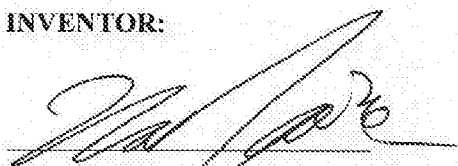
and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR confirms that she further agreed and does hereby further agree to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR had the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

INVENTOR grants the attorney of record the power to insert on this Confirmatory Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

This Confirmatory Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Confirmatory Assignment.

INVENTOR:

  
Sungho HAN

Feb. 28 2019  
Date