# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6604623

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Execution Date
OBAGI COSMECEUTICALS LLC	03/16/2021

### **RECEIVING PARTY DATA**

Name:	TCW ASSET MANAGEMENT COMPANY LLC, AS COLLATERAL AGENT
Street Address:	1251 AVENUE OF THE AMERICAS, STE. 4700
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10020

### **PROPERTY NUMBERS Total: 17**

Property Type	Number
Patent Number:	7687650
Patent Number:	7897800
Patent Number:	8148563
Patent Number:	9629789
Patent Number:	9883998
Patent Number:	7867522
Patent Number:	7390431
Patent Number:	7927614
Patent Number:	7976854
Patent Number:	7445729
Patent Number:	8273791
Patent Number:	8999356
Patent Number:	9168398
Patent Number:	7556820
Patent Number:	7560119
Patent Number:	9427397
Patent Number:	10252088

### **CORRESPONDENCE DATA**

**Fax Number:** (617)526-9899

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 6175269628

Email:cslattery@proskauer.comCorrespondent Name:CHRISTINE SLATTERYAddress Line 1:PROSKAUER ROSE LLP

Address Line 2: ONE INTERNATIONAL PLACE, 23RD FLOOR

Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	47245.032
NAME OF SUBMITTER:	CHRISTINE SLATTERY
SIGNATURE:	/Christine Slattery/
DATE SIGNED:	03/16/2021

#### **Total Attachments: 6**

source=06. TCW\_Obagi - Patent Security Agreement (Executed) (002)#page1.tif source=06. TCW\_Obagi - Patent Security Agreement (Executed) (002)#page2.tif source=06. TCW\_Obagi - Patent Security Agreement (Executed) (002)#page3.tif source=06. TCW\_Obagi - Patent Security Agreement (Executed) (002)#page4.tif source=06. TCW\_Obagi - Patent Security Agreement (Executed) (002)#page5.tif source=06. TCW\_Obagi - Patent Security Agreement (Executed) (002)#page6.tif

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#### **ASSIGNMENT FOR SECURITY - - PATENTS**

March 16, 2021

WHEREAS, OBAGI COSMECEUTICALS LLC, a Delaware limited liability company (the "Assignor") holds all right, title and interest in the letter patents, design patents and utility patents listed on the attached Schedule A, which patents are issued or applied for in the United States Patent and Trademark Office (the "Patents");

WHEREAS, the Assignor has entered into that certain Pledge and Security Agreement, dated as of the date hereof (as amended, restated, amended and restated, supplemented, modified or otherwise changed from time to time, the "Security Agreement"), in favor of TCW ASSET MANAGEMENT COMPANY LLC, as the Collateral Agent for itself and certain lenders (in such capacity, together with its successors and assigns, if any, the "Assignee"); and

WHEREAS, pursuant to the Security Agreement, the Assignor has assigned to the Assignee and granted to the Assignee for the benefit of the Secured Parties (as defined in the Security Agreement) a continuing security interest in all right, title and interest of the Assignor in, to and under the Patents and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof and any and all damages arising from past, present and future violations thereof (the "Collateral"), to secure the payment, performance and observance of the Secured Obligations (as defined in the Security Agreement).

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor does hereby pledge, convey, sell, assign, transfer and set over unto the Assignee and grants to the Assignee for the benefit of the Assignee and the Secured Parties a continuing security interest in the Collateral to secure the prompt payment, performance and observance of the Secured Obligations.

The Assignor does hereby further acknowledge and affirm that the rights and remedies of the Assignee with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

[Signature Page Follows]

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be duly executed by its officer thereunto duly authorized as of the date first written above.

OBAGI COSMECEUTICALS LLC

Name: Jaime B. Castle

Title: President and Chief Executive

Officer

[Signature Page to Assignment For Security -- Patents]

Acknowledged and accepted to as of the date first above written:

TCW ASSET MANAGEMENT COMPANY LLC, as Collateral Agent

By:

Name: Suzanne Grosso Title: Managing Director

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# SCHEDULE A TO ASSIGNMENT FOR SECURITY

See Attached

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United States	United States	United States	United States	United States	United States	United States	United States	Country United States
COMPOSITIONS METHOD OF TREATING SKIN REQUIRING SKIN CANCER TREATMENT	COMPOSITIONS ANTI-AGING TREATMENT USING COPPER	Compositions STABLE ORGANIC PEROXIDE	Method of Wound/Burn Healing Using Copper-Zinc	COMPLEXES METHODS FOR LIGHTENING SKIN USING ARBUTIN	MAKING THEM ROSACEA TREATMENTS USING POLYMETAL	CHEMICAL COMPOSITIONS AND METHODS OF	Them Chemical Compositions and Methods of Making	Title Chemical Compositions and Methods of Making
Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	<b>Status</b> Granted
11/648,333	11/452,642	11/372,958	11/648,278	14/849,413	14/026,592	12/709,044	11/668,020	Serial Number 11/647,623
29 December, 2006	14 June, 2006	10 March, 2006	29 December, 2006	09 September, 2015 ,273	13 September, 2013	19 February, 2010	29 January, 2007	Application Date 29 December, 2006
7,976,854	7,927,614	7,390,431	7,867,522	9,883,998	9,629,789	8,148,563	7,897,800	Patent Number 7,687,650
12 July, 2011	19 April, 2011	24 June, 2008	11 January, 2011	06 February, 2018	25 April, 2017	03 April, 2012	01 March, 2011	Issue/Grant Date 30 March, 2010
Obagi Cosmeceuticals LLC	LLC Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Assignor / Owner Obagi Cosmeceuticals LLC

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17.	16.	15.	14.	13.	12.	11.	10.
United States	United States	United States	United States	United States	United States	United States	Country United States
TOPICAL COMPOSITIONS AND METHODS OF MANUFACTURING THEM IN SPECIFICALLY TREATED STEEL VESSELS	ROSACEA TREATMENTS AND KITS FOR PERFORMING THEM	STABLE ORGANIC PEROXIDE COMPOSITIONS	STABLE ORGANIC PEROXIDE COMPOSITIONS	SKIN TREATMENT COMPOSITIONS	DECOLLETAGE Drug delivery system	COMPOSITIONS, KITS AND REGIMENS FOR THE TREATMENT OF SKIN, ESPECIALLY	Title STABLE ORGANIC PEROXIDE COMPOSITIONS
Granted	Granted	Granted	Granted	Granted	Granted	Granted	<b>Status</b> Granted
13/942,445	13/368,915	12/144,162	12/264,517	12/743,127	11/291,234	12/331,781	<b>Serial Number</b> 11/986,442
15 February, 2013	08 February, 2012	23 June, 2008	04 November, 2008	14 November, 2008	01 December, 2005	10 December, 2008	Application Date 21 November, 2007
10,252,088	9,427,397	7,560,119	7,556,820	9,168,398	8,999,356	8,273,791	Patent Number 7,445,729
09 April, 2019	30 August, 2016	14 July, 2009	07 July, 2009	27 October, 2015	07 April, 2015	25 September, 2012	Issue/Grant Date 04 November, 2008
Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals LLC	Obagi Cosmeceuticals	Obagi Cosmeceuticals LLC	Assignor/ Owner Obagi Cosmeceuticals LLC

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