506568522 03/22/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6615301

SUBMISSION TYPE:			NEW ASSIGNMENT				
NATURE OF CONVEYANCE:			ASSIGNMENT				
CONVEYING PARTY	DATA						
AZASTRA OPTO INC.			Name	Execution Date 07/28/2017			
RECEIVING PARTY D	ΟΑΤΑ						
Name:	AVAGO	AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD.					
Street Address:	1 YISH	IUN AV	/ENUE 7				
City:	SINGA	PORE					
State/Country:	SINGA	SINGAPORE					
Postal Code:	768923	3					
PROPERTY NUMBER	RS Total: 1						
Property Type			Number				
		10501					
CORRESPONDENCE		16504	769				
CORRESPONDENCE Fax Number: <i>Correspondence will</i>	DATA	(714)8 o the e	330-0700 -mail address first; if that is				
CORRESPONDENCE Fax Number: <i>Correspondence will</i>	DATA be sent to if provided	(714)8 o the e d; if tha	330-0700				
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i>	DATA be sent to if provided	(714)8 5 the e d; if tha 714-83 Kristin	330-0700 - <i>mail address first; if that is</i> at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com,	sent via US I			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email:	DATA be sent to if provided	(714)8 5 the e d; if tha 714-83 Kristin Broad	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com, comFilings@morganlewis.com	sent via US I າ			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone:	DATA be sent to if provided	(714)8 o the e d; if tha 714-83 Kristin Broade MORC	330-0700 - <i>mail address first; if that is</i> at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com,	sent via US I າ			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email: Correspondent Name	DATA be sent to if provided	(714)8 o the e d; if tha 714-83 Kristin Broade MORC	330-0700 - <i>mail address first; if that is</i> <i>at is unsuccessful, it will be</i> 30-0600 .Schulte@morganlewis.com, comFilings@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD	sent via US I າ			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email: Correspondent Name Address Line 1:	DATA be sent to if provided	(714)8 o the e d; if tha 714-83 Kristin Broade MORC 600 AI SUITE	330-0700 - <i>mail address first; if that is</i> <i>at is unsuccessful, it will be</i> 30-0600 .Schulte@morganlewis.com, comFilings@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD	sent via US I າ			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2:	DATA be sent to if provided	(714)8 o the e d; if tha 714-83 Kristin Broad Broad 600 Al SUITE COST	330-0700 - <i>mail address first; if that is</i> <i>at is unsuccessful, it will be</i> 30-0600 .Schulte@morganlewis.com, comFilings@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD E 1800	sent via US I າ			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 4:	DATA be sent to if provided e: NUMBER:	(714)8 o the e d; if tha 714-83 Kristin Broad Broad 600 Al SUITE COST	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD E 1800 A MESA, CALIFORNIA 92626	sent via US I n 6-7653			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 4:	DATA be sent to if provided e: NUMBER:	(714)8 o the e d; if tha 714-83 Kristin Broad MORO 600 AI SUITE COST	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com, comFilings@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD E 1800 A MESA, CALIFORNIA 92626 122293-7290	sent via US I n 6-7653			
CORRESPONDENCE Fax Number: <i>Correspondence will using a fax number, i</i> Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET I	DATA be sent to if provided e: NUMBER:	(714)8 o the e d; if tha 714-83 Kristin Broade MORC 600 AI SUITE COST	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com, comFilings@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD E 1800 A MESA, CALIFORNIA 92626 122293-7290 MICHAEL G. DREZNES, REC	sent via US I n 6-7653			
CORRESPONDENCE Fax Number: Correspondence will using a fax number, i Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET I NAME OF SUBMITTER GIGNATURE: DATE SIGNED: Total Attachments: 5	DATA be sent to if provided e: NUMBER: R:	(714)8 o the e d; if tha 714-83 Kristin Broade MORC 600 AI SUITE COST	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD 1800 A MESA, CALIFORNIA 92626 122293-7290 MICHAEL G. DREZNES, REC /Michael G. Dreznes/	sent via US I n 6-7653			
CORRESPONDENCE Fax Number: Correspondence will using a fax number, i Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET I IAME OF SUBMITTER GIGNATURE: DATE SIGNED: Total Attachments: 5 ource=Assignment2#p	DATA be sent to if provided age1.tif	(714)8 o the e d; if tha 714-83 Kristin Broade MORC 600 AI SUITE COST	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD 1800 A MESA, CALIFORNIA 92626 122293-7290 MICHAEL G. DREZNES, REC /Michael G. Dreznes/	sent via US I n 6-7653			
CORRESPONDENCE Fax Number: Correspondence will using a fax number, i Phone: Email: Correspondent Name Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET I NAME OF SUBMITTER GIGNATURE: DATE SIGNED: Total Attachments: 5	DATA be sent to if provided : NUMBER: R: age1.tif age2.tif	(714)8 o the e d; if tha 714-83 Kristin Broade MORC 600 AI SUITE COST	330-0700 -mail address first; if that is at is unsuccessful, it will be 30-0600 .Schulte@morganlewis.com GAN, LEWIS & BOCKIUS LLP NTON BOULEVARD 1800 A MESA, CALIFORNIA 92626 122293-7290 MICHAEL G. DREZNES, REC /Michael G. Dreznes/	sent via US I n 6-7653			

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "<u>Assignment</u>"), is made and entered into as of July 28, 2017 ("<u>Effective Date</u>"), by and between AZASTRA OPTO INC., a Canadian corporation ("<u>Assignor</u>"), and AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD., a limited company incorporated under the laws of Singapore ("<u>Assignee</u>").

RECITALS

A. WHEREAS, Assignor and Broadcom Canada ULC ("<u>Buyer</u>") have entered into that certain Asset Purchase Agreement, dated as of the date hereof (the "<u>Asset Purchase</u> <u>Agreement</u>"), pursuant to which Assignor has agreed to irrevocably sell, transfer, assign, convey and deliver to Buyer or its designees, and Buyer has agreed to irrevocably purchase, acquire and accept from Assignor, all right, title and interest in, to and under the Purchased Assets (as defined in the Asset Purchase Agreement), including the Assigned Patents (as set forth below).

B. **WHEREAS,** Assignee has been designated as a Buyer Designee (as defined in the Asset Purchase Agreement) for the purpose of acquiring the intellectual property of Assignor under the Asset Purchase Agreement; and

C. **WHEREAS**, pursuant to the Asset Purchase Agreement and related agreements Assignor has assigned and agreed to assign to Assignee certain patents and patent applications, including the Assigned Patents.

NOW, THEREFORE, for good and valuable consideration paid by Assignee to Assignor, the receipt and sufficiency of which is hereby acknowledged, Assignor does hereby transfer, assign, and convey to Assignee, and Assignee hereby accepts such transfer, assignment, and conveyance of, all right, title, and interest throughout the world, that may exist today and in the future, in, to and under all of the following (collectively, the "<u>Assigned Patents</u>"):

(a) the Patents (as defined in the Asset Purchase Agreement) set forth on <u>Schedule 1</u> attached hereto and incorporated herein by this reference ("<u>Listed Patents</u>");

(b) all Patents issuing on any applications included in the Listed Patents;

(c) all remedies, claims, causes of action and enforcement rights of any kind, whether known or unknown, under, pursuant to, arising from, or related to any of the above, including all rights to bring actions for past, current or future infringement, misappropriation or other violation thereof and to seek, settle, collect and/or retain, as applicable, injunctive relief, damages, costs, proceeds and other remedies in connection with any such actions, and

(d) all benefits, privileges, powers and rights related to any of the above, including all rights to (i) royalties, income and other payments due thereunder, (ii) apply for, file, register, maintain, extend and renew in any or all countries of the world intellectual property rights of any kind under, pursuant to, arising from, or related to any of the above, and (iii) transfer and grant licenses, releases, and other rights with respect to any of the above.

PATENT REEL: 055533 FRAME: 0662 In addition, if any Listed Patent is subject to a terminal disclaimer under 37 C.F.R. § 1.321, or similar provision under applicable law in the jurisdiction of registration, then all Patents subject to the terminal disclaimer are also Assigned Patents, whether or not they are otherwise covered by the above.

Assignor shall execute and deliver any instruments, and otherwise reasonably cooperate with Assignee, as may be reasonably necessary or desirable for effecting and recording the transfer, assignment, and conveyance to, and to fully vest in, Assignee and its successors and assigns all Assigned Patents, including the execution, acknowledgment and recordation of any instruments with respect to any jurisdiction.

Assignor hereby authorizes and requests the Canadian Intellectual Property Office, the Commissioner of Patents and Trademarks and any other patent office, as applicable, to issue and register any and all Patents pertaining to any of the items assigned hereunder in the name of Assignee.

The assignments and rights pursuant hereto will inure to the benefit of Assignee and its successors, assigns and other legal representatives and is binding upon Assignor and its successors, assigns and other legal representatives.

[SIGNATURES PAGE FOLLOWS]

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be executed by its duly authorized representative on the date first written above.

2

 $\cdot \tau$

ASSIGNOR AZASTRA OPTO INC.

By: ____

Name: Simon Fafard Title: President

ASSIGNEE AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD.

By: Name: Thomas H. Krause, Jr. Title: Chief Financial Officer

[Signature Page to Patent Assignment]

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be executed by its duly authorized representative on the date first written above.

ASSIGNOR AZASTRA OPTO INC.

By: _____ Name: Title:

ASSIGNEE AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD.

By:

Name: Thomas H. Krause, Jr. Title: Chief Financial Officer

[Signature Page to Patent Assignment]

PATENT REEL: 055638 FRAME: 0626

SCHEDULE 1 TO PATENT ASSIGNMENT

LISTED PATENTS

Patent	Jurisdiction	Filing Date	Application No.	Status
9,673,343	United States	Dec. 9, 2014	14/565,141	Issued June 6,
Transducer to				2017
convert optical				
energy to				
electrical energy				
Transducer to	United States	May 31, 2017	15/609,771	
convert optical				
energy to				
electrical energy				
Transducer to	International		WIPO	Abandoned
convert optical			Application No.	(2014)
energy to			CA2014/051191	
electrical energy				

Schedule 1 – Page 1

PATENT REEL: 055638 FRAME: 0626

RECORDED: 08/29/2027