

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6617864

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
A. CARLSSON RESEARCH AB	01/27/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ARVID CARLSSON RESEARCH AB
<b>Street Address:</b>	C/O SEB STIFTELSE
<b>City:</b>	GOTHENBURG
<b>State/Country:</b>	SWEDEN
<b>Postal Code:</b>	SE-405 04
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	10702512
<b>Application Number:</b>	16890824
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6503273400
<b>Email:</b>	long@bozpat.com
<b>Correspondent Name:</b>	BOZICEVIC, FIELD & FRANCIS LLP
<b>Address Line 1:</b>	201 REDWOOD SHORES PARKWAY, SUITE 200
<b>Address Line 4:</b>	REDWOOD CITY, CALIFORNIA 94065
<b>ATTORNEY DOCKET NUMBER:</b>	AWAP-003, AWAP-003CON
<b>NAME OF SUBMITTER:</b>	JAMES S. KEDDIE
<b>SIGNATURE:</b>	/James S. Keddie/
<b>DATE SIGNED:</b>	03/23/2021
<b>Total Attachments: 5</b>	
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**(1) A. Carlsson Research AB i likvidation**

**and**

**(2) Arvid Carlsson Research AB**

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**Patent Assignment and Transfer Confirmation**

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STOCKHOLM

VAT No. SE556082702301

Jakobsbergsgatan 36  
Box 45086  
SE-104 30 STOCKHOLM  
SWEDEN

Telephone +46 8 440 95 00  
Fax +46 8 440 95 50  
Email mail@awa.com  
Homepage www.awa.com

Beijing, Brussels, Copenhagen, Delémont, Ghent, Gothenburg,  
Helsingborg, Hong Kong, Jönköping, Linköping, Liège, Lund,  
Maastricht, Malmö, Uppsala, Växjö, Östersund

**PATENT**  
**REEL: 055692 FRAME: 0592**

This Patent Assignment and Transfer Confirmation is made by and between

(1) A. Carlsson Research AB i likvidation ("ASSIGNOR")  
Thorild Wulffsgatan 50, SE-413 19 Gothenburg, SWEDEN,  
Company registration No. 556719-6752

and

(2) Arvid Carlsson Research AB ("ASSIGNEE")  
c/o SEB STIFTELSE, SE-405 04 Gothenburg, SWEDEN  
Company registration No. 559109-7141

#### **PREAMBLE**

- (A) WHEREAS A. Carlsson Research AB, a company registered under the laws of Sweden, entered into liquidation proceedings on April 20, 2020, therefore, pursuant to the Swedish Companies Act (2005:551), now being referred to as *A. Carlsson Research AB i likvidation* and being represented by the appointed liquidator Fredrik Emanuel;
- (B) WHEREAS, Assignor and Assignee have entered into a sale and purchase agreement relating to certain intellectual property rights, effective as of December 30, 2020 (the "Purchase Agreement");
- (C) WHEREAS, pursuant to the Purchase Agreement, Assignor has agreed to sell, assign, transfer, convey and deliver to Assignee all of Assignor's right, title and interest in and to the patent and patent applications set forth in Appendix A hereto (the "Transferred Patents"), and Assignee has agreed to acquire and accept all of the Assignor's right, title and interest in and to the Transferred Patents;
- (D) NOW THEREFORE, in consideration of the promises and mutual agreements set forth in the Purchase Agreement, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee hereby confirm as follows:

#### **1. ASSIGNMENT AND TRANSFER CONFIRMATION**

Pursuant to, and on the terms and subject to the conditions of the Purchase Agreement, Assignor hereby confirms the sale, assignment, transfer, conveyance and delivery to Assignee, and Assignee confirms the purchase, acquisition and acceptance from Assignor, of the Transferred Patents identified and set out in Appendix A, including all rights therein provided by international conventions and treaties, all

*Handwritten initials/signature*

rights of priority and renewals, and all rights to sue and recover damages for past, present and future infringement or other violation thereof or conflict therewith.

**2. DUE AUTHORIZATION**

Assignor hereby authorizes and requests any official of any applicable Governmental Authority to record the Assignee as the owner of the Transferred Patents, and as assignee of the entire right, title and interest in and to the same, and to issue any and all registrations from any and all applications for registration included in the Transferred Patents in and to the name of the Assignee.

**3. NO AMENDMENTS**

This confirmation is subject in all respects to the terms and conditions of the Purchase Agreement, and nothing in this confirmation, express or implied, shall, is intended to, or shall be construed to, (i) alter, amend, modify, expand, waive, supersede or in any way affect any of the obligations, covenants, agreements, representations or warranties of the Parties under the Purchase Agreement; or (ii) expand upon or limit the respective rights, benefits, responsibilities and obligations provided in or under the Purchase Agreement.

\* \* \* \* \*

Signed by the Parties in two identical copies of which each Party has taken one.


Göteborg 2021-01-27  
(Place and Date)

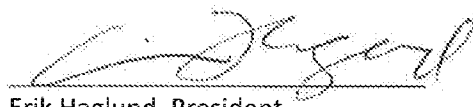
Göteborg 2021-02-01  
(Place and Date)

On behalf of

**A. Carlsson Research AB i likvidation**

**Arvid Carlsson Research AB**

  
Fredrik Emanuel, Appointed liquidator

  
Erik Haglund, President

**APPENDIX A: List of Transferred Patents**

APPENDIX A

A. Carlsson's Reference	AWA Reference	Application No.	Application Date	Registration No.	Registration Date	Title	Owner	Case Status	Country	Expiry Date
PHENYLPIPERIDINE COMPOUNDS-EP-EE	31084271	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	Belgium	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-CHL	31094252	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	Switzerland-Liechtenstein	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-DE	31084271	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	Germany	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-DK	31084272	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	Denmark	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-ES	31094259	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	Spain	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-FI	31084273	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	Finland	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-FR	31084274	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	France	2031-09-20
PHENYLPIPERIDINE COMPOUNDS-EP-GB	31084263	11827047.9	2011-09-20	2 618 826	2016-04-13	PHENYLPIPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	A. CARLSSON RESEARCH AB	Registered	United Kingdom	2031-09-20

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PHENYLPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	21094276	11631041.0	2011-09-20	2,618,826	2016-04-13	A. CARLSSON RESEARCH AB	Registered	Sweden	2021-09-20
PHENYLPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	31064277	11827047.9	2011-09-20	5,020,600/671154	2016-04-13	A. CARLSSON RESEARCH AB	Registered	Italy	2021-09-20
PHENYLPERIDINE COMPOUNDS FOR THE TREATMENT OF NEUROLOGICAL AND PSYCHIATRIC DISORDERS	21084281	11827047.9	2011-09-20	2,476,625	2016-04-13	A. CARLSSON RESEARCH AB	Registered	Sweden	2021-09-20
Treatment of ME/CFS-PTSD	21093939	2,963,898	2015-05-11			A. CARLSSON RESEARCH AB	Application filed	Canada	2020-05-11
Treatment of ME/CFS-PTSD	21093939	1572310.3	2015-05-11			A. CARLSSON RESEARCH AB	Examination report received	USA	2020-05-11
Treatment of ME/CFS-PTSD	21083381	15572,983	2015-05-11	10,702,512	2020-07-07	A. CARLSSON RESEARCH AB	Registered	USA	2020-05-11
Treatment of ME/CFS-PTSD	21116706	16290,224	2015-05-11			A. CARLSSON RESEARCH AB	Examination report received	USA	2020-05-11
Use of Dopamine Stabilizers-EP-DE	21074187	06781161.2	2008-06-18	20,3008,038,008.1	2014-10-22	A. CARLSSON RESEARCH AB	Registered	Germany	2020-06-18
Use of Dopamine Stabilizers-EP-DE	21074189	06781161.2	2008-06-18	2,170,327	2014-10-22	A. CARLSSON RESEARCH AB	Registered	Spain	2020-06-18
Use of Dopamine Stabilizers-EP-FR	21074196	06781161.2	2008-06-18	2,170,327	2014-10-22	A. CARLSSON RESEARCH AB	Registered	France	2020-06-18
Use of Dopamine Stabilizers-EP-GB	21074191	06781161.2	2008-06-18	2,170,327	2014-10-22	A. CARLSSON RESEARCH AB	Registered	United Kingdom	2020-06-18
Use of Dopamine Stabilizers-EP-IT	21074188	06781161.2	2008-06-18	3,0201800004670	2014-10-22	A. CARLSSON RESEARCH AB	Registered	Italy	2020-06-18

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