506571085 03/23/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6617864

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
A. CARLSSON RESEARCH AB	01/27/2021

RECEIVING PARTY DATA

Name:	ARVID CARLSSON RESEARCH AB
Street Address:	C/O SEB STIFTELSER
City:	GOTHENBURG
State/Country:	SWEDEN
Postal Code:	SE-405 04

PROPERTY NUMBERS Total: 2

Property Type	Number
Patent Number:	10702512
Application Number:	16890824

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	AWAP-003, AWAP-003CON
NAME OF SUBMITTER:	JAMES S. KEDDIE
SIGNATURE:	/James S. Keddie/
DATE SIGNED:	03/23/2021

Total Attachments: 5

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PATENT REEL: 055692 FRAME: 0591



(1) A. Carlsson Research AB i likvidation

and

(2) Arvid Carlsson Research AB

Patent Assignment and Transfer Confirmation



VAT No. SESS6082702301

This Patent Assignment and Transfer Confirmation is made by and between

(1) A. Carlsson Research AB i likvidation ("ASSIGNOR")
Thorild Wulffsgatan 50, SE-413 19 Gothenburg, SWEDEN,
Company registration No. 556719-6752

and

(2) Arvid Carlsson Research AB ("ASSIGNEE")
c/o SEB STIFTELSER, SE-405 04 Gothenburg, SWEDEN
Company registration No. 559109-7141

PREAMBLE

- (A) WHEREAS A. Carisson Research AB, a company registered under the laws of Sweden, entered into liquidation proceedings on April 20, 2020, therefore, pursuant to the Swedish Companies Act (2005:551), now being referred to as A. Carlsson Research AB i likvidation and being represented by the appointed liquidator Fredrik Emanuel;
- (B) WHEREAS, Assignor and Assignee have entered into a sale and purchase agreement relating to certain intellectual property rights, effective as of December 30, 2020 (the "Purchase Agreement");
- (C) WHEREAS, pursuant to the Purchase Agreement, Assignor has agreed to sell, assign, transfer, convey and deliver to Assignee all of Assignor's right, title and interest in and to the patent and patent applications set forth in <u>Appendix A</u> hereto (the "Transferred Patents"), and Assignee has agreed to acquire and accept all of the Assignor's right, title and interest in and to the Transferred Patents;
- (D) NOW THEREFORE, in consideration of the promises and mutual agreements set forth in the Purchase Agreement, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee hereby confirm as follows:

1. ASSIGNMENT AND TRANSFER CONFIRMATION

Pursuant to, and on the terms and subject to the conditions of the Purchase Agreement, Assignor hereby confirms the sale, assignment, transfer, conveyance and delivery to Assignee, and Assignee confirms the purchase, acquisition and acceptance from Assignor, of the Transferred Patents identified and set out in Appendix A, including all rights therein provided by international conventions and treaties, all

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PATENT REEL: 055692 FRAME: 0593 rights of priority and renewals, and all rights to sue and recover damages for past, present and future infringement or other violation thereof or conflict therewith.

2. DUE AUTHORIZATION

Assignor hereby authorizes and requests any official of any applicable Governmental Authority to record the Assignee as the owner of the Transferred Patents, and as assignee of the entire right, title and interest in and to the same, and to issue any and all registrations from any and all applications for registration included in the Transferred Patents in and to the name of the Assignee.

3. NO AMENDMENTS

This confirmation is subject in all respects to the terms and conditions of the Purchase Agreement, and nothing in this confirmation, express or implied, shall, is intended to, or shall be construed to, (i) alter, amend, modify, expand, waive, supersede or in any way affect any of the obligations, covenants, agreements, representations or warranties of the Parties under the Purchase Agreement; or (ii) expand upon or limit the respective rights, benefits, responsibilities and obligations provided in or under the Purchase Agreement.

Signed by the Parties in two identical copies of which each Party has taken one.

Gökborg 2021-01-27(Place and Date)

On behalf of

(Place and Date)

A. Carlsson Research AB i likvidation

Fredrik Emanuel, Appointed liquidator

Arvid Carlsson Research AB

APPENDIX A: List of Transferred Patents

A. Cards son's Reference	AWA Reference	Application No.	Application Date	Registration No.	Registration Date	Title	Owenser.	Case Status	Country	Expiry Data
PHEN'L PIPERLINE COMPOUNDS-EP-RE	3.08417.4	41 227 70 87. 4	20072-09-20	2518626	2078-04-13	PHENALPHERIOME COMPOUNDS FOR HENR TREATMENT OF HENRY OCICAL AND PSYCHATRIC DISCROBERS	A, CARI SEON RESSEARCH AS	Registered	i poddiesi.	8
PHEWYLPPERIDINE COMPOUNDS-EF-CHL		¥	salana ana ani dan ana ana ana ani da	2 8/8 (25	2018-24-13	PHENYLPPERDINE COMPOUNDS FUR THE TREATMENT OF NEUPOLOGICAL AND PSYCHATRIC DISCREENS	a. Carleson Research ab	Registered	Switzentand- Lechtenstein	2024-28-20
Section (Company)		118677387	50%	60 C011 928 7784	S):70:34:05	PMENT, IPPERIONE COMPOUNDS POR THE TREATMENT OF NEUTRO COCOL, AND PSYCHIATRIC	K, CARL SSON RESEARCH AB	**************************************		28/34-03-20 28/34-03-20
PHENYLPIPERIDINE COMPOUNDS-EF-DK	COMPOUNDS-EF-OK 2779	11827047.5	301 (3.86.20)	2.918.828	2018-014-13	PHEWLIPERIDNE COMPOUNDS FOR INE TREATMENT OF NELHOLOGICAL AND PSYCHALRE DISORDERS	A, CARLSSON RESEARCH AB	\$0000000000000000000000000000000000000	Denmark	2031-29-20
Saves SOMOCARCO	***	distribution de la constitución de	207.1.08.20	20 20 20 20 20 20 20 20 20 20 20 20 20 2	100 to Qu. 15.	PHEN A CIPCHIONE COMPCUNOS RON THE TREATMENT OF NEW MACHOLOGICAL A YOU PSYCHALTRIC	A. CARLESCON RESCHOOLAB	georgi Weddy	iii iid	88
PHENYLPIPERIDINE COMPOUNDS-EPUR		\$559-27.5	2013-08-20	2 618 825	3016-04-13	PMEHYLPPERIDINE DOMPOUNDS FOR THE TREATMENT OF NEUFCLOGICAL AND PSYCHATRIC DISCROERS	A. CARLSSON RESEARCH AB	Registered		2024-09-20
and day significances	72	6. 2.2.2. 3.9	02:30 L	9Z 8 (3)	2018 2018 2018	PREWILPERIONS COMPOUNDS FOR THE TREATMENT OF REUKOLOSICAL 880 PSYCHIKTRO DISCRORERS	A CARLSBOK RESEARCH AR	pausong		\$2 0 188 88
PHENYLPPERIDME COMPOUNDS-EP-CB	(106428) (106428)	HENYL PPEKIDPUE COMPOUNDS-EP-GB 31994283 118277347.9 2011-05-20	2011:05-20	2 878 kor	Z016-204-13	PHENYLPIPERROWE COMPOUNDS FOR THE TREATMENT OF NEUROLCGICAL AND FSYCHATRIC DISCREDERS	A. CARLSSON RESEARCH AB	CARLSSON ESEARCH AB	Strited Kingdom	90.08



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	21374187	38784181.2	2008-06-18	ac 2008 028 008.1	2014-10-22	USE OF DOPAMINE STABILIZERS	A. CARLESON RESEARCH AB	Registered	Germany	2028-06-18
	\$3,026,889	5,50,50,50,50	2008-08-18	27.70.32.7	25/4/5.27		A, CARLSOON RESERROHAS		:::::::::::::::::::::::::::::::::::::::	\$1-90-8:00
	21074188		2009-00-18	2 170 327	2014-10-22	USE OF DOPPAMINE STABILIZERS	a carleson Pessarch ab		France	2028-06-18
	210791988		\$1.008.000	2,420,927	2014-0-22	10	A CARLESON RESERVOA 48		United Nagden	2025-06-18
	21074188	20074138	30038-05-18	502015000004570	2014-10-22	USE OF DOPARINE STABILLERS	A. CARLSSON RESEARCH AB	Registered	Seq	81-90-8702
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