

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6630757

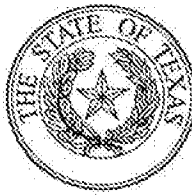
<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	MERGER	
<b>EFFECTIVE DATE:</b>	02/28/2019	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	ALCON RESEARCH, LTD.	02/28/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ALCON RESEARCH, LLC	
<b>Street Address:</b>	6201 SOUTH FREEWAY	
<b>City:</b>	FORT WORTH	
<b>State/Country:</b>	TEXAS	
<b>Postal Code:</b>	76134	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	<b>Patent Number:</b>	7709012
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(216)579-6073	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	216-579-1700	
<b>Email:</b>	lhawkins@pearne.com	
<b>Correspondent Name:</b>	JOHN P. MURTAUGH/PEARNE & GORDON LLP	
<b>Address Line 1:</b>	1801 EAST 9TH STREET, SUITE 1200	
<b>Address Line 4:</b>	CLEVELAND, OHIO 44114-3108	
<b>ATTORNEY DOCKET NUMBER:</b>	BRAQ-J8635	
<b>NAME OF SUBMITTER:</b>	JOHN P MURTAUGH	
<b>SIGNATURE:</b>	/johnpmurtaugh/	
<b>DATE SIGNED:</b>	03/30/2021	
<b>Total Attachments: 9</b>		
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# The State of Texas

## Secretary of State

Not for use within the United States of America

This Apostille only certifies the signature, the capacity of the signer and the seal or stamp it bears. It does not certify the content of the document for which it was issued.

Certificate Validation available at [www.sos.state.tx.us](http://www.sos.state.tx.us)

### APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

- |                                |   |
|--------------------------------|---|
| 1. Country                     | United States of America  |
| This public document           |   |
| 2. has been signed by          | BARBARA MCKENZIE  |
| 3. acting in the capacity of   | Notary Public, State of Texas   |
| 4. and bears the seal/stamp of | BARBARA MCKENZIE,<br>Notary Public, State of Texas,<br>Commission Expires: 11-18-21 |

### CERTIFIED

- |                                       |                    |
|---------------------------------------|--------------------|
| 5. at Austin, Texas                   | 6. on July 8, 2019 |
| 7. by the Secretary of State of Texas |                    |
| 8. Certificate No. 11758782           |                    |
| 9. Seal                               | 10. Signature:     |



  
Jose A. Esparza  
Deputy Secretary of State

GF/aw

PATENT

REEL: 055772 FRAME: 0064

STATE OF TEXAS §

COUNTY OF TARRANT §

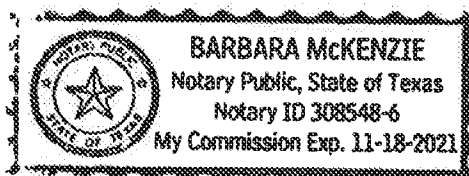
I certify this to be a true and correct copy of Certificate Of Merger of Alcon Research, Ltd. to Alcon Research, LLC dated February 28, 2019.

Barbara McKenzie  
Notary Public

Barbara McKenzie  
Printed Name of Notary Public

June 28, 2019  
Date

[SEAL]



# Delaware

The First State

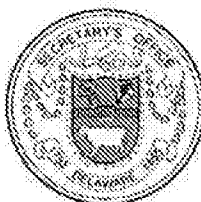
Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALCON RESEARCH, LTD.", A DELAWARE CORPORATION,  
WITH AND INTO "ALCON RESEARCH, LLC" UNDER THE NAME OF "ALCON RESEARCH, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019, AT 8:28 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019 AT 11:15 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



7178801 8100M  
SR# 20191542231

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202342403  
Date: 02-28-19

**PATENT**  
**REEL: 055772 FRAME: 0066**

**CERTIFICATE OF MERGER  
OF  
ALCON RESEARCH, LTD.  
WITH AND INTO  
ALCON RESEARCH, LLC**

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*Pursuant to Section 264 of the  
Delaware General Corporation Law (the "DGCL") and  
Section 18-209 of the  
Delaware Limited Liability Company Act (the "DLLCA")*

Alcon Research, LLC, a Delaware limited liability company, DOES HEREBY CERTIFY THAT:

1. The name of the surviving limited liability company is Alcon Research, LLC, a Delaware limited liability company (the "**Surviving Company**"), and the name of the corporation being merged into the Surviving Company is Alcon Research, Ltd., a Delaware corporation (the "**Merging Company**").

2. A Merger and Reorganization Agreement (the "**Merger Agreement**"), dated as of February 28, 2019, by and among the Surviving Company and the Merging Company, setting forth the terms and conditions of the merger, has been approved, adopted, executed and acknowledged by the Surviving Company and the Merging Company in accordance with Section 264 of the DGCL and Section 18-209 of the DLLCA.

3. The name of the surviving limited liability company is Alcon Research, LLC.

4. This Certificate of Merger, and the merger provided for herein, shall be effective on February 28, 2019 at 11:15 a.m. (the "**Effective Time**").

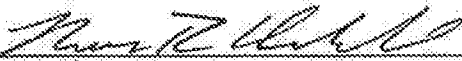
5. The Certificate of Formation of the Surviving Company in effect immediately prior to the Effective Time shall be the Certificate of Formation of the Surviving Company until thereafter amended as provided by applicable law.

6. An executed copy of the Merger Agreement is on file at the principal place of business of the Surviving Company at the following address: 6201 South Freeway, Fort Worth, Texas 76134.

7. A copy of the Merger Agreement will be furnished by the Surviving Company on request and without cost, to any stockholder of any constituent corporation or member of any constituent limited liability company, as applicable.

IN WITNESS WHEREOF, the Surviving Company has caused this Certificate of Merger to be signed as of February 28, 2019, by a duly authorized person, declaring that the facts stated herein are true.

ALCON RESEARCH, LLC

By:   
Name: Tom Hudnall  
Title: Asst. Secretary

*[Certificate of Merger Signature Page]*

[[3886672]]



# The State of Texas

## Secretary of State

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### APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

- |                                |   |
|--------------------------------|---|
| 1. Country                     | United States of America  |
| This public document           |   |
| 2. has been signed by          | BARBARA MCKENZIE  |
| 3. acting in the capacity of   | Notary Public, State of Texas   |
| 4. and bears the seal/stamp of | BARBARA MCKENZIE,<br>Notary Public, State of Texas,<br>Commission Expires: 11-18-21 |

### CERTIFIED

- |                                       |                         |
|---------------------------------------|-------------------------|
| 5. at Austin, Texas                   | 6. on September 9, 2019 |
| 7. by the Secretary of State of Texas |                         |
| 8. Certificate No. 11797522           |                         |
| 9. Seal                               | 10. Signature:          |



A handwritten signature in black ink, appearing to read "Ruth R. Hughes".

Ruth R. Hughes  
Secretary of State

GF/aw

PATENT

REEL: 055772 FRAME: 0069



The State of Texas       §  
County of Tarrant       §

**BEFORE ME**, Notary Public for and in Fort Worth, Texas, on this date personally appeared Christine Bohmann and Patrick M. Ryan known to me or proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of the purposes and consideration therein expressed. Given under my hand and seal of office this 29th day of August, 2019.

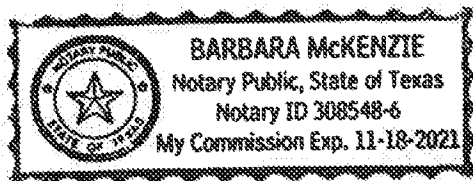
**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed my notarial seal this 29th day of August, 2019, at Fort Worth, Texas.

  
NOTARY PUBLIC

Barbara McKenzie  
Printed Name of Notary Public

Notary Commission Expires: November 18, 2021

[SEAL]



## POWER OF ATTORNEY

THIS DEED made on the 20<sup>th</sup> day of August, 2019, by:

- **Alcon-Couvreur N.V.**, a company organized and existing under the laws of Belgium;
- **Alcon Grieshaber AG**, a company organized and existing under the laws of Switzerland;
- **Alcon Healthcare**, a company organized and existing under the laws of Spain
- **Alcon Inc. (CH)**, a company organized and existing under the laws of Switzerland;
- **Alcon Lensx, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Pharmaceuticals Ltd.**, a company organized and existing under the laws of Switzerland;
- **Alcon Portugal-Produtos e Equipamentos Oftalmológicos Lda**, a company organized and existing under the laws of Spain
- **Alcon (Puerto Rico), Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon RefractiveHorizons, LLC**, a company organized and existing under the laws of the State of Delaware, USA;
- **Alcon Research, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **CIBA Vision GmbH**, a company organized and existing under the laws of Germany;
- **CIBA Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Clarvista Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Optonol Ltd.**, a company organized and existing under the laws of Israel;
- **PowerVision, Inc.**, a company organized and existing under the laws of the State of Delaware, USA;
- **Tear Film Innovations, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Transcend Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **TrueVision Systems, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **WaveLight GmbH**, a company organized and existing under the laws of Germany;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")

WITNESSETH as follows:

I. Appointment

Each company hereby appoints

- Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Dr. Volker Spitz, born on January 17th, 1961, CEO of Brandstock Services AG, with business  
address at Rückertstr. 1, 80336 München, Germany  
and/or
- Laura Morris, born on June 26, 1980, Director Renewals at Brandstock Services AG, with business  
address at Rückertstr. 1, 80336 München, Germany  
and/or
- Anna (Ganna) Popova, born on April 6<sup>th</sup>, 1982, Director IP Recordals at Brandstock Services AG,  
with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Faustine Waternaux, born on May 10, 1981, Renewals Project Leader at Brandstock Services AG,  
with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Alizée Mothion, born on April 14, 1988, Renewals Project Leader at Brandstock Services AG,  
with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Michal Moican, born on February 09<sup>th</sup>, 1988, Project Leader in IP Recordals Department of  
Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Juliane Weiß, born on May 17<sup>th</sup>, 1991, Project Leader in IP Recordals Department of Brandstock  
Services AG, with business address at Rückertstr. 1, 80336 München, Germany

to act on behalf of the company in respect of exclusively the recordal/submission of

- ☒ assignment
- ☒ merger
- ☒ change of name
- ☒ change of legal entity
- ☒ change of address
- ☒ renewals and other related maintenance tasks (such as reclassification, affidavits, annuity  
payments, merging of registrations, etc.)
- ☒ licenses and sub-licenses

of the trademarks, patents, designs and other intellectual property rights of the Company.

For the aforesaid purpose, we hereby authorize said agents to appoint a substitute, or substitutes, to execute and amend any documents in order to maintain our trademarks, patents, designs and other intellectual property rights in force.

IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.

Fort Worth, Texas

Fort Worth, Texas

*C. Bohmann* 08/29/19

Christine Bohmann  
Head Legal IP and Assistant Secretary

*Patrick M. Ryan* 8/29/19

Patrick M. Ryan  
Head Patent Strategy and Operations