

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6632374

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CONFIRMATORY DEED OF ASSIGNMENT EFFECTIVE APRIL 8, 2019
CONVEYING PARTY DATA	
Name	Execution Date
ALCON RESEARCH, LLC	03/01/2021
RECEIVING PARTY DATA	
Name:	NOVARTIS AG
Street Address:	LICHTSTRASSE 35
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	CH-4056
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	7709012
CORRESPONDENCE DATA	
Fax Number:	(216)579-6073
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	216-579-1700
Email:	lhawkins@pearne.com
Correspondent Name:	JOHN P. MURTAUGH/PEARNE & GORDON LLP
Address Line 1:	1801 EAST 9TH STREET, SUITE 1200
Address Line 4:	CLEVELAND, OHIO 44114-3108
ATTORNEY DOCKET NUMBER:	BRAQ-J8635
NAME OF SUBMITTER:	JOHN P MURTAUGH
SIGNATURE:	/johnpmurtaugh/
DATE SIGNED:	03/31/2021
Total Attachments: 12	
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Confirmatory Deed of Assignment

United States

This DEED is made between:

Alcon Research, LLC a company duly organized and existing under the Laws of the USA, of 6201 South Freeway Fort Worth, TX 76134 United States of America ("the Assignor");

and

Novartis AG a company duly organized and existing under the Laws of Switzerland, of 4002 Basel, Switzerland ("the Assignee");

WHEREAS:

- (a) The Assignor was the proprietor of the patents in United States as set out in the attached schedules marked "Annex A" and
- (b) Pursuant to the Intellectual Property Assignment Agreement, Assignor has assigned Assignor's entire right, title and interest in and to the patents to Assignee;
- (c) The Assignment of the afore-mentioned patents and designs became effective on the 8th day of April 2019.

IT IS AGREED THAT:

For the good and valuable consideration, receipt of which is hereby acknowledged by the Assignor, the Assignor hereby confirms that it has assigned, transferred and set over unto the Assignee, its successors and assigns, effective on April 8th, 2019, all right, title and interests in United States in the patents together with the goodwill of the business represented and symbolised by the patents and designs and the Assignee accepts the said assignment.

IN WITNESS WHEREOF, the Assignor and Assignee have caused this Confirmatory Assignment to be executed by their authorized representatives.

Signed as a Deed in Munich on behalf of the Assignor this 01 day of March 2021 by



Michal Molcan, Proxy-Holder of
Alcon Research, LLC

Signed as a Deed in Munich on behalf of the Assignee this 01 day of March 2021 by



Laura Morris, Proxy-Holder of
Novartis AG

Annex A

	IP Right Name	Application No.	Publication No.	Grant No.	Co-Owner
1	OPHTHALMIC COMPOSITIONS CONTAINING A SYNERGISTIC COMBINATION OF TWO POLYMERS	10/863169	2004-0253280	7709012	



The State of Texas

Secretary of State

Not for use within the United States of America

This Apostille only certifies the signature, the capacity of the signer and the seal or stamp it bears. It does not certify the content of the document for which it was issued.

Certificate Validation available at www.sos.state.tx.us

APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

- 1. Country United States of America
- This public document
- 2. has been signed by BARBARA MCKENZIE
- 3. acting in the capacity of Notary Public, State of Texas
- 4. and bears the seal/stamp of BARBARA MCKENZIE,
Notary Public, State of Texas,
Commission Expires: 11-18-21

CERTIFIED

- 5. at Austin, Texas
- 6. on September 9, 2019
- 7. by the Secretary of State of Texas
- 8. Certificate No. 11797522
- 9. Seal
- 10. Signature:



Ruth R. Hughes
Secretary of State

GF/aw

PATENT

The State of Texas §
County of Tarrant §

BEFORE ME, Notary Public for and in Fort Worth, Texas, on this date personally appeared Christine Bohmann and Patrick M. Ryan known to me or proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of the purposes and consideration therein expressed. Given under my hand and seal of office this 29th day of August, 2019.

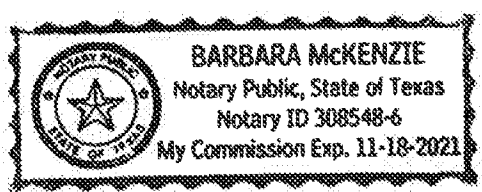
IN WITNESS WHEREOF, I have hereunto set my hand and affixed my notarial seal this 29th day of August, 2019, at Fort Worth, Texas.

Barbara McKenzie
NOTARY PUBLIC

Barbara McKenzie
Printed Name of Notary Public

Notary Commission Expires: November 18, 2021

[SEAL]



POWER OF ATTORNEY

THIS DEED made on the 20th day of August, 2019, by:

- Alcon-Couvreur N.V., a company organized and existing under the laws of Belgium;
- Alcon Grieshaber AG, a company organized and existing under the laws of Switzerland;
- Alcon Healthcare, a company organized and existing under the laws of Spain
- Alcon Inc. (CH), a company organized and existing under the laws of Switzerland;
- Alcon Lensx, Inc., a company organized and existing under the laws of the State Delaware, USA;
- Alcon Pharmaceuticals Ltd., a company organized and existing under the laws of Switzerland;
- Alcon Portugal-Produtos e Equipamentos Oftalmológicos Lda, a company organized and existing under the laws of Spain
- Alcon (Puerto Rico), Inc., a company organized and existing under the laws of the State Delaware, USA;
- Alcon RefractiveHorizons, LLC, a company organized and existing under the laws of the State of Delaware, USA;
- Alcon Research, LLC, a company organized and existing under the laws of the State Delaware, USA;
- Alcon Vision, LLC, a company organized and existing under the laws of the State Delaware, USA;
- CIBA Vision GmbH, a company organized and existing under the laws of Germany;
- CIBA Vision, LLC, a company organized and existing under the laws of the State Delaware, USA;
- Clarvista Medical, Inc., a company organized and existing under the laws of the State Delaware, USA;
- Optonol Ltd., a company organized and existing under the laws of Israel;
- PowerVision, Inc., a company organized and existing under the laws of the State of Delaware, USA;
- Tear Film Innovations, Inc., a company organized and existing under the laws of the State Delaware, USA;
- Transcend Medical, Inc., a company organized and existing under the laws of the State Delaware, USA;
- TrueVision Systems, Inc., a company organized and existing under the laws of the State Delaware, USA;
- WaveLight GmbH, a company organized and existing under the laws of Germany;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")

WITNESSETH as follows:

I. Appointment

Each company hereby appoints

- Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Dr. Volker Spitz, born on January 17th, 1961, CEO of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Laura Morris, born on June 26, 1980, Director Renewals at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Anna (Ganna) Popova, born on April 6th, 1982, Director IP Recordals at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Faustine Waternaux, born on May 10, 1981, Renewals Project Leader at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Alizée Mothion, born on April 14, 1988, Renewals Project Leader at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Michal Moican, born on February 09th, 1988, Project Leader in IP Recordals Department of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Juliane Weiß, born on May 17th, 1991, Project Leader in IP Recordals Department of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany

to act on behalf of the company in respect of exclusively the recordal/submission of

- assignment
- merger
- change of name
- change of legal entity
- change of address
- renewals and other related maintenance tasks (such as reclassification, affidavits, annuity payments, merging of registrations, etc.)
- licenses and sub-licenses

of the trademarks, patents, designs and other intellectual property rights of the Company.

For the aforesaid purpose, we hereby authorize said agents to appoint a substitute, or substitutes, to execute and amend any documents in order to maintain our trademarks, patents, designs and other intellectual property rights in force.

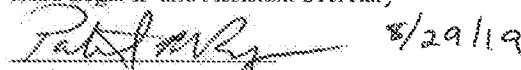
IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.

Fort Worth, Texas

 08/29/19

Christine Bohmann
Head Legal IP and Assistant Secretary

Fort Worth, Texas

 8/29/19

Patrick M. Ryan
Head Patent Strategy and Operations

APOSTILLE

(CONVENTION DE LA HAYE DU 5 OCTOBRE 1961)

1. COUNTRY: UNITED STATES OF AMERICA
2. THIS PUBLIC DOCUMENT HAS BEEN SIGNED BY:
LILA E FREEMAN
3. ACTING IN THE CAPACITY OF:
NOTARY PUBLIC OF NEW JERSEY
4. BEARS THE SEAL/STAMP OF:
LILA E FREEMAN, NOTARY

CERTIFIED

5. AT TRENTON, NEW JERSEY
6. THE 21ST DAY OF MAY 2019
7. BY: Elizabeth Maher Muoio
State Treasurer
8. NO: A684153
9. SEAL/STAMP:
10. SIGNATURE



A handwritten signature in cursive script, appearing to read "Elizabeth Maher Muoio".

Elizabeth Maher Muoio
State Treasurer

Certificate Number: 141413943

Verify this certificate at
https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp

POWER OF ATTORNEY

THIS DEED made on the 15th of May 2019:

- Novartis AG, a company organized and existing under the laws of Switzerland;
- Novartis Pharma AG, a company organized and existing under the laws of Switzerland;
- Novartis Pharmaceuticals Corporation, a company organized and existing under the laws of the State Delaware, USA;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")

WITNESSETH as follows:

1. Appointment

Each company hereby appoints

- Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Dr. Volker Spitz, born on January 17th, 1961, CEO of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Laura Morris, born on June 26, 1980, Director Renewals at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Anna (Ganna) Popova, born on April 6th, 1982, Director IP Recordals at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Faustine Waternaux, born on May 10, 1981, Renewals Project Leader at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Alizée Mothion, born on April 14, 1988, Renewals Project Leader at Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Michal Molcan, born on February 09th, 1988, Project Leader in IP Recordals Department of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany
and/or
- Juliane Weiß, born on May 17th, 1991, Project Leader in IP Recordals Department of Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany

to act on behalf of the company in respect of exclusively the recordal/submission of

- assignment
- merger

- change of name
- change of legal entity
- change of address
- renewals and other related maintenance tasks (such as reclassification, affidavits, annuity payments, merging of registrations, etc.)
- licenses and sub-licenses

of the trademarks, patents, designs and other intellectual property rights of the company, and for no other purpose.

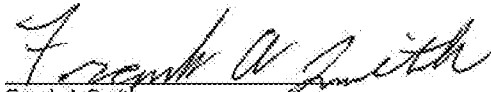
For the aforesaid purpose, we hereby authorize said agents to appoint a substitute, or substitutes, to execute and amend any documents in order to maintain our trademarks, patents, designs and other intellectual property rights in force.

This Appointment is revocable at any time and supersedes as of its effective date all previous such appointments and/or powers of attorney relating to the subject matter of this deed.

IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.


East Hanover, United States, 15th May 2019

Novartis AG



Frank A. Smith

Authorized Signatory
VP, IP Transactions



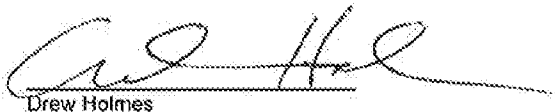
Dillon Kim

Authorized Signatory
Group IP Litigation and Transactions

[See Next Page for Additional Signatures]


East Hanover, United States, 16th May 2019

Novartis Pharma AG



Drew Holmes

Authorized Signatory
Senior Patent Attorney

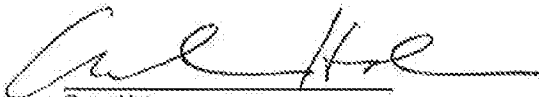


Gregory Ferraro

Authorized Signatory
Senior Patent Attorney

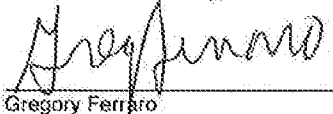
East Hanover, United States, 16th May 2019

Novartis Pharmaceutical Corporation



Drew Holmes

Authorized Signatory
Senior Patent Attorney



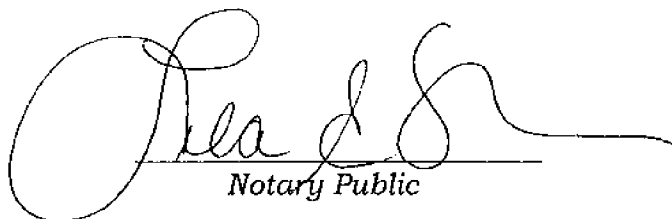
Gregory Ferraro

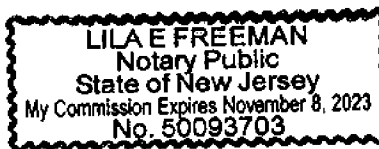
Authorized Signatory
Senior Patent Attorney

State of New Jersey)
)SS:
County of Middlesex)

On this 15th day of May, 2019, before me, **Lila E. Freeman** a notary public, the undersigned, **Frank A. Smith** and **Dillon Kim** personally appeared, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained.

In witness hereof, I hereunto set my hand and official seal.

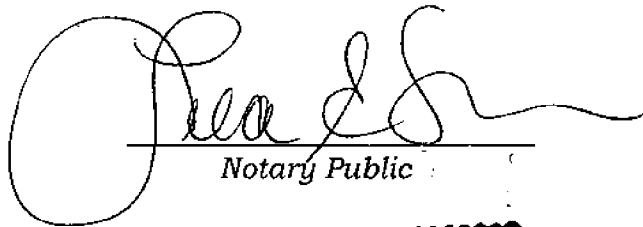

Notary Public



State of New Jersey)
)SS:
County of Middlesex)

On this 16th day of May, 2019, before me, **Lila E. Freeman** a notary public, the undersigned, **Drew Holmes**, and **Gregory Ferraro** personally appeared, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained.

In witness hereof, I hereunto set my hand and official seal.



Notary Public

