

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6639677

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CORRECTION BY DECLARATION TO UPDATE OWNERSHIP ERRONEOUSLY MADE IN PREVIOUSLY RECORDED DOCUMENTS AT REEL 050188, FRAME 0609, AND REEL 050232, FRAME 0386 THAT ERRONEOUSLY AFFECTS THE IDENTIFIED PATENT

CONVEYING PARTY DATA

Name	Execution Date
EBS GROUP LIMITED	04/05/2021

RECEIVING PARTY DATA

Name:	EBS GROUP LIMITED
Street Address:	4TH FLOOR, LONDON STOCK EXCHANGE
Internal Address:	10 PATERNOSTER SQUARE
City:	10 PATERNOSTER SQUARE
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PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	7366690

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ATTORNEY DOCKET NUMBER:	004672-00994Z-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	04/05/2021

Total Attachments: 2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edward R. Howorka
Vladimir Neyman
Steven Iaccheo
Neena Jain
James Shu

U.S. Patent No. 7,366,690
Serial No: 09/602,498

Filed: June 23, 2000
Granted: April 29, 2008

For: ARCHITECTURE FOR ANONYMOUS
TRADING SYSTEM

DECLARATION UNDER MPEP § 323.01(c)

Dear Sir:

Per MPEP § 323.01(c), as representative of EBS Group Limited, owner of the above referenced patent, I hereby declare that the document recorded on August 27, 2019 at reel/frame 050188/0609, and the document recorded on August 29, 2019 at reel/frame 050232/0386 relating to a conveyance comprising an acquisition by CME GROUP INC. of NEX GROUP PLC were improperly filed and recorded against the above referenced patent.

I further declare that:

- (a) My name is James L. Katz and I am the legal representative of EBS Group Limited, owner of the above referenced patent;
- (b) A completed cover sheet is included herewith identifying the patent against which the conveyance was improperly recorded;
- (c) EBS Group Limited is the correct owner;
- (d) The conveyance recorded on August 27, 2019 at reel/frame 050188/0609, and the conveyance recorded on August 29, 2019 at reel/frame 050232/0386 included cover

sheets with erroneous information and the recorded documents did not actually convey the above referenced patent as indicated on the cover sheets recorded therewith;

(e) The required fee under 37 CFR 3.41, presently \$0, is included herewith;

(f) The true chain of title is as follows:

Reel/ Frame	Conveyance	Execution date	Date recorded	Assignor names	Assignee name	Assignee address
012332/0797	ASSIGNMENT OF ASSIGNORS INTEREST	April 6, 2001 April 12, 2001 June 30, 2001	December 3, 2001	-Edward R. Howorka -Steven Iaccheo -Neena Jain -James Shu	Electronic Broking Services Limited	55-56 Lincolns Inn Fields London WC2A 3LJ England
013030/0119	ASSIGNMENT OF ASSIGNORS INTEREST	March 11, 2002	June 25, 2002	Vladimir Neyman	Electronic Broking Services Limited	55-56 Lincoln's Inn Fields London WC2A United Kingdom
016803/0634	CHANGE OF NAME	June 29, 2004	September 12, 2005	Electronic Broking Services Limited	EBS Group Limited	4 th Floor, London Stock Exchange 10 Paternoster Square London EC4M 7LS United Kingdom

(g) The chain of title for the above referenced patent should not be considered altered by the incorrect assignment recorded on August 27, 2019 at reel/frame 050188/0609, and the incorrect assignment recorded on August 29, 2019 at reel/frame 050232/0386; and

(h) The last correct assignee, EBS Group Limited, has been, and continues to be, the owner of the patent at issue.

Respectfully submitted,

Dated: April 5, 2021

/James L. Katz/
James L. Katz (Reg. No. 42,711)