

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6649422

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CORRECTION BY DECLARATION TO UPDATE OWNERSHIP ERRONEOUSLY MADE IN A PREVIOUSLY RECORDED DOCUMENT AT REEL 050413, FRAME 0825 THAT ERRONEOUSLY AFFECTS THE IDENTIFIED PATENT

CONVEYING PARTY DATA

Name	Execution Date
NEX SERVICES NORTH AMERICA LLC	04/08/2021

RECEIVING PARTY DATA

Name:	NEX SERVICES NORTH AMERICA LLC
Street Address:	1209 ORANGE STREET
City:	WILMINGTON
State/Country:	DELAWARE
Postal Code:	19801

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12903021

CORRESPONDENCE DATA**Fax Number:**

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	004672-04149W-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	04/09/2021

Total Attachments: 2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ronald A. Purpora
Daniel O. Cleaves

U.S. Patent No. 8,260,700
Serial No: 12/903,021

Filed: October 12, 2010
Granted: September 4, 2012

For: PASS THROUGH LIQUIDITY IN A
 MULTI-TIERED TRADING SYSTEM
 AND METHOD

DECLARATION UNDER MPEP § 323.01(c)

Dear Sir:

Per MPEP § 323.01(c), as representative of NEX Services North America LLC, owner of the above referenced patent, I hereby declare that the document recorded on September 18, 2019 at reel/frame 050413/0825 relating to a conveyance comprising an acquisition by CME GROUP INC. of NEX GROUP PLC was improperly filed and recorded against the above referenced patent.

I further declare that:

- (a) My name is James L. Katz and I am the legal representative of NEX Services North America LLC, owner of the above referenced patent;
- (b) A completed cover sheet is included herewith identifying the patent against which the conveyance was improperly recorded;
- (c) NEX Services North America LLC is the correct owner;
- (d) The conveyance recorded on September 18, 2019 at reel/frame 050413/0825 included a cover sheet with erroneous information and the recorded document did not actually convey the above referenced patent as indicated on the cover sheet recorded therewith;

(e) The required fee under 37 CFR 3.41, presently \$0, is included herewith;

(f) The true chain of title is as follows:

Reel/ Frame	Conveyance	Execution date	Date recorded	Assignor names	Assignee name	Assignee address
017489/0907	ASSIGNMENT OF ASSIGNORS INTEREST	February 6, 2006	April 13, 2006	Daniel Cleaves	ICAP Services North America LLC	Harborside Financial Center, 1100 Plaza 5 Jersey City, New Jersey 07311
017469/0065	ASSIGNMENT OF ASSIGNORS INTEREST	February 8, 2006	April 13, 2006	Ronald A. Purpora	ICAP Services North America LLC	Harborside Financial Center, 1100 Plaza 5 Jersey City, New Jersey 07311
041221/0720	ASSIGNMENT OF ASSIGNORS INTEREST	December 22, 2016	December 29, 2016	ICAP Services North America LLC	Intercapital Services North America LLC	1100 Plaza Five Jersey City, New Jersey 07311
050413/0808	CHANGE OF NAME	February 21, 2017	September 18, 2019	Intercapital Services North America LLC	NEX Services North America LLC	1209 Orange Street Wilmington, Delaware 19801

(g) The chain of title for the above referenced patent should not be considered altered by the incorrect assignment recorded on September 18, 2019 at reel/frame 050413/0825; and

(h) The last correct assignee, NEX Services North America LLC, has been, and continues to be, the owner of the patent at issue.

Respectfully submitted,

Dated: April 8, 2021

/James L. Katz/
James L. Katz (Reg. No. 42,711)