506606924 04/13/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6653730

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CARBON ENGINEERING LIMITED PARTNERSHIP	01/01/2018

RECEIVING PARTY DATA

Name:	CARBON ENGINEERING LTD.
Street Address:	37322 GALBRAITH ROAD, BOX 187
Internal Address:	CANADA
City:	SQUAMISH BC
State/Country:	CANADA
Postal Code:	V8B 0A2

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17229097

CORRESPONDENCE DATA

Fax Number: (877)769-7945

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: +1 (512) 226-8130

Email: apsi@fr.com

Correspondent Name: LEANNE E. FLATTER
Address Line 1: FISH & RICHARDSON P.C.

Address Line 2: P.O.BOX 1022

Address Line 4: MINNEAPOLIS, MINNESOTA 55440-1022

ATTORNEY DOCKET NUMBER:	30285-0015003
NAME OF SUBMITTER:	TANYA SPARROW
SIGNATURE:	/Tanya Sparrow/
DATE SIGNED:	04/13/2021

Total Attachments: 6

506606924

source=30285-0015001 Executed Assignment (CELP to CE Ltd)#page1.tif source=30285-0015001 Executed Assignment (CELP to CE Ltd)#page2.tif source=30285-0015001 Executed Assignment (CELP to CE Ltd)#page3.tif source=30285-0015001 Executed Assignment (CELP to CE Ltd)#page4.tif

 $source=30285-0015001\ Executed\ Assignment\ (CELP\ to\ CE\ Ltd)\#page5.tif$ $source=30285-0015001\ Executed\ Assignment\ (CELP\ to\ CE\ Ltd)\#page6.tif$

ASSIGNMENT

Assignor: Carbon Engineering Limited Partnership

37321 Galbraith Road

Box 187

Squamish BC V8B 0A2

Canada

Assignee: Carbon Engineering Ltd.

37321 Galbraith Road

Box 187

Squamish BC V8B 0A2

Canada

- 1. Assignor represents that it is the owner of the patents and patent applications listed below in Appendix A ("Schedule of Patents and Patent Applications"), and of all foreign and domestic patents, patent applications, including continuation-in-part applications, reissues, reexaminations, certificates of invention, and the like that derive priority from, or claim the benefit of the filing date of, the patents and patent applications listed in Schedule A (the "Patents and Patent Applications") and of all new and useful inventions and improvements that are disclosed in the Patents and Patent Applications (the "Inventions"). The Patents and Patent Applications and the Inventions are collectively referred to as the "Patent Assets."
- 2. Assignor hereby assigns to Assignee its entire worldwide right, title, and interest in and to the Patent Assets, including the right to file and prosecute, in its own name wherever so permitted by law or in the name of Assignee wherever necessary, patent applications, including corresponding and continuing applications, reissues, re-examinations, certificates of invention, and the like based on any of the Patent Assets, and to claim priority to any of the Patents and Patent Applications pursuant to the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes. Assignor acknowledges receipt of fair and adequate consideration for this Assignment.
- 3. Assignor shall, when requested by Assignee and at no cost to Assignor, (i) execute or cause to be executed all rightful oaths, assignments, and powers of attorney to Assignee or to agents and legal representatives of Assignee, and all other papers necessary and proper to carry out the

Item 2.14 – US IP Assignment - Assignment Page 1 of 6

DocuSign Envelope ID: 09AA9391-E22D-4734-AFF7-9DE8A36E473F

Assignment from Carbon Engineering Limited Partnership to Carbon Engineering Ltd.

intent and purpose of this Assignment, (ii) execute all papers necessary in connection with the

Patents and Patent Applications, and any continuing, divisional, reissue, reexamination or other

corresponding application thereof or post-grant proceeding relating thereto and to execute any

separate assignment in connection with any such application as Assignee may deem necessary

or expedient; and (iii) perform all affirmative acts that may be necessary to obtain a grant of a

valid patent to Assignee on any of the Inventions.

4. Assignor hereby assigns to Assignee all of Assignor's right, title, and interest in and to any

claims, whether known or unknown, suspected or unsuspected, of any nature, including choses

in action, that Assignor has or may have against any party for infringement of the Patents and

Patent Applications, and acknowledges receipt of fair and adequate consideration for this

Assignment.

5. Assignor represents that Assignor has the full right to convey the interests assigned by this

Assignment, and that Assignor has not executed and will not execute any agreement in conflict

with this Assignment.

6. This Assignment is binding upon and inures to the benefit of the successors and assigns of the

parties.

[ASSIGNMENT CONTINUES ON NEXT PAGE]

Item 2.14 – US IP Assignment - Assignment Page 2 of 6

Assignment from <u>Carbon Engineering Limited Partnership</u> to <u>Carbon Engineering Ltd.</u>

The Assignor hereby executes this Assignment.

Assignor: Carbon Engineering Limited Partnership

Signature: Date: Jan 1, 2018

Name: Adrian Corless

Title: CEO, C12 Management Ltd, General Partner of Carbon Engineering Limited Partnership

[ASSIGNMENT CONTINUES ON NEXT PAGE]

Assignment from <u>Carbon Engineering Limited Partnership</u> to <u>Carbon Engineering Ltd.</u>

The Assignee hereby acknowledges and accepts the foregoing assignment.

Assignee: Carbon Engineering Ltd.
Signature: Swan kodu Signature: Date: Jan 1, 2018

Name: Susan Koch

Title: CFO, Carbon Engineering Ltd.

APPENDIX A – SCHEDULE OF PATENTS AND PATENT APPLICATIONS

9,637,393	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	14/281,430	5/19/2014	United States	30285-0012001
	Recovering A Caustic Solution Via Calcium Carbonate Crystal	PCT/US2014/023368	3/11/2014	WIPO	30285-0010WO1
	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	2016501218	3/11/2014	Japan	30285-0010JP1
2969953	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	14726788.4	3/11/2014	European Patent Convention	30285-0010EP1
	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	201480027511.0	3/11/2014	China	30285-0010CN1
	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	2905348	3/11/2014	Canada	30285-0010CA1
	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	14/273,863	5/9/2014	United States	30285-0010002
8,728,428	Recovering A Caustic Solution Via Calcium Carbonate Crystal Aggregates	13/801,681	3/13/2013	United States	30285-0010001
	Captured Carbon Dioxide For Algaculture	PCT/US2013/025444	2/8/2013	WIPO	30285-0009WO1
	Captured Carbon Dioxide For Algaculture	14/377,485	8/7/2014	United States	30285-0009US1
	Captured Carbon Dioxide For Algaculture	61/596,983	2/9/2012	United States	30285-0009P01
	Captured Carbon Dioxide For Algaculture	13746995.3	2/8/2013	European Patent Convention	30285-0009EP1
	Target Gas Capture E	PCT/US2012/054298	9/7/2012	Odiw	30285-0007WO1
	Target Gas Capture	61/531,922	9/7/2011	United States	30285-0007P01
	Target Gas Capture	12766513.1	9/7/2012	European Patent Convention	30285-0007EP1
8,871,008	Target Gas Capture	13/606,926	9/7/2012	United States	30285-0007001
	Carbon Dioxide Capture	PCT/US2009/047999	6/19/2009	WIPO	30285-0003WO1
	Carbon Dioxide Capture	61/074,458	6/20/2008	United States	30285-0003P01
	Carbon Dioxide Capture	09767848.6	6/19/2009	European Patent Convention	30285-0003EP1
	Carbon Dioxide Capture	200980130758.4	6/19/2009	China	30285-0003CN1
	Carbon Dioxide Capture	2,728,521	6/19/2009	Canada	30285-0003CA1
8,119,091	Carbon Dioxide Capture	12/488,230	6/19/2009	United States	30285-0003001
	Carbon Dioxide Capture Method and Facility	61/090,867	8/21/2008	United States	30285-0002P01
	Carbon Dioxide Capture Method and Facility	PCT/US2009/054626	8/21/2009	OdIM	30285-0002WO1
299407	Carbon Dioxide Capture Method and Facility	MX/a/2011/001898	8/21/2009	Mexico	30285-0002MX1
	Carbon Dioxide Capture Method and Facility	1814/CHENP/2011	8/21/2009	India	30285-0002IN1
2321034	Carbon Dioxide Capture Method and Facility	9808878.4	8/21/2009	European Patent Convention	30285-0002EP1
	Carbon Dioxide Capture Method and Facility	201610825390.5	8/21/2009	China	30285-0002CN2
	Carbon Dioxide Capture Method and Facility	200980137997.2	8/21/2009	China	30285-0002CN1
	Carbon Dioxide Capture Method and Facility	2734786	8/21/2009	Canada	30285-0002CA1
	Carbon Dioxide Capture Method and Facility	PI0917278-5	8/21/2009	Brazil	30285-0002BR1
	Carbon Dioxide Capture Method and Facility	14/815,661	7/31/2015	United States	30285-0002002
9,095,813	Carbon Dioxide Capture Method and Facility	12/545,579	8/21/2009	United States	30285-0002001
			Date		No.
Patent No.	Title	Application No.	Filing	Jurisdiction	F&R Docket

Item 2.14 – US IP Assignment - Assignment Page 5 of 6

Assignment from Carbon Engineering Limited Partnership to Carbon Engineering Ltd.

F&R Docket	Jurisdiction	Filing	Application No.	Title	Patent No.
No.		Date			
30285-0012002	United States	12/6/2016	15/370,620	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0012CA1	Canada	5/15/2015	2,949,374	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0012CN1	China	5/15/2015	201580039342.7	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0012EP1	European Patent Convention	5/15/2015	15728958.8	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0012IN1	India	5/15/2015	201647043006	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0012MX1	Mexico	5/15/2015	MX/a/2016/015130	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0012WO1	WIPO	5/15/2015	PCT/US2015/030975	Recovering A Caustic Solution Via Calcium Carbonate Crystal	
				Aggregates	
30285-0015001	United States	6/14/2017	15/622,883	Capturing Carbon Dioxide	
30285-0015CA1	Canada	6/14/2017	2,970,687	Capturing Carbon Dioxide	
30285-0015P01	United States	6/14/2016	62/349,883	Capturing Carbon Dioxide	
30285-0016001	United States	5/10/2017	15/591,324	High Temperature Hydrator	
30285-0016CA1	Canada	5/10/2017	2,966,897	High Temperature Hydrator	
30285-0016P01	United States	5/10/2016	62/334,225	High Temperature Hydrator	
30285-0018P01	United States	12/12/2017	62/597,733	Air-to-Syngas Systems and Processes	

PATENT
RECORDED: 04/13/2021 REEL: 055904 FRAME: 0100

Item 2.14 – US IP Assignment - Assignment Page 6 of 6