

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6656200

|   |                                    |  |                       |
|---|------------------------------------|--|-----------------------|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                     |  |                       |
| <b>NATURE OF CONVEYANCE:</b>  | NUNC PRO TUNC ASSIGNMENT           |  |                       |
| <b>EFFECTIVE DATE:</b>  | 02/20/2020                         |  |                       |
| <b>CONVEYING PARTY DATA</b>   |                                    |  |                       |
| <b>Name</b>   |                                    |  | <b>Execution Date</b> |
| ADVANCED MICROGRID SOLUTION, INC.   |                                    |  | 03/31/2021            |
| <b>RECEIVING PARTY DATA</b>   |                                    |  |                       |
| <b>Name:</b>  | AMS ASSET MANAGEMENT CO., LLC      |  |                       |
| <b>Street Address:</b>  | 1730 SOUTH EL CAMINO REAL          |  |                       |
| <b>Internal Address:</b>  | SUITE 440                          |  |                       |
| <b>City:</b>  | SAN MATEO                          |  |                       |
| <b>State/Country:</b>   | CALIFORNIA                         |  |                       |
| <b>Postal Code:</b>   | 94402                              |  |                       |
| <b>PROPERTY NUMBERS Total: 1</b>  |                                    |  |                       |
| <b>Property Type</b>  | <b>Number</b>                      |  |                       |
| <b>Patent Number:</b>   | 10275842                           |  |                       |
| <b>CORRESPONDENCE DATA</b>  |                                    |  |                       |
| <b>Fax Number:</b>  | (704)444-1111                      |  |                       |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                    |  |                       |
| <b>Phone:</b>   | 7044441000                         |  |                       |
| <b>Email:</b>   | patent-mail@alston.com             |  |                       |
| <b>Correspondent Name:</b>  | ALSTON & BIRD LLP                  |  |                       |
| <b>Address Line 1:</b>  | 101 SOUTH TRYON STREET, SUITE 4000 |  |                       |
| <b>Address Line 2:</b>  | BANK OF AMERICA PLAZA              |  |                       |
| <b>Address Line 4:</b>  | CHARLOTTE, NORTH CAROLINA 28280    |  |                       |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 064506/495624                      |  |                       |
| <b>NAME OF SUBMITTER:</b>   | RUSSELL P. BLAISE                  |  |                       |
| <b>SIGNATURE:</b>   | /Russell P. Blaise/                |  |                       |
| <b>DATE SIGNED:</b>   | 04/14/2021                         |  |                       |
| <b>Total Attachments: 5</b>   |                                    |  |                       |
| source=495624 - Assignment - Advanced Microgrid Solutions Inc. to AMS Asset Management Co LLC#page1.tif   |                                    |  |                       |
| source=495624 - Assignment - Advanced Microgrid Solutions Inc. to AMS Asset Management Co LLC#page2.tif   |                                    |  |                       |
| source=495624 - Assignment - Advanced Microgrid Solutions Inc. to AMS Asset Management Co LLC#page3.tif   |                                    |  |                       |



## **NUNC PRO TUNC ASSIGNMENT**

THIS ASSIGNMENT, effective as of February 20, 2020 (hereinafter referred to as the “Effective Date”), is made between Advanced Microgrid Solution, Inc., having its principal place of business at 25 Stillman St., Suite 200, San Francisco, CA 94107, hereinafter referred to as Assignor, and AMS Asset Management Co., LLC, having its principal place of business at 1730 South El Camino Real, Suite 440, San Mateo, CA 94402, hereinafter referred to as Assignee, and in connection with the patents and patent applications identified on the attached Schedule A, in and to all corresponding patents and/or patent applications worldwide, and in and to the inventions represented thereby (all hereinafter referred to as the “IP”).

WITNESSETH: That,

WHEREAS, Assignor has previously acquired all right, title, and interest in and to the Patents; and,

WHEREAS, Assignee is desirous of acquiring the entire right, title, and interest in and to said Patents and in and to the inventions represented; and

WHEREAS, an Assignment for the IP to Assignee was previously executed by Assignor and Assignee on February 20, 2020. The Assignment executed on February 20, 2020 confirmed assignment of the Patents from Assignor to Assignee, but did not include a listing of the IP that, beyond reproach, identified each currently active patent and pending patent application. As such, this Nunc Pro Tunc Assignment supplements and replaces the Assignment executed on February 20, 2020 so as to confirm the assignment of the IP with an Effective Date as of February 20, 2020;

WHEREAS, For the avoidance of doubt, Assignors hereto have agreed to execute this further Assignment to confirm and supplement the before-mentioned assignments

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have assigned, transferred and set over, and by these presents does assign, transfer and set over unto Assignee, and Assignee’s successors, legal representatives, and assigns, all of Assignor’s right, title and interest in and to the IP, the right to file applications on said IP and the right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States

or other countries on said IP, and any and all Letters Patent or Patents of the United States of America and all foreign countries that have been or may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, renewals, substitutions, conversions, continuations, and continuations-in-part of said applications, and reissues, prolongations and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, including the right to claim priority, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted; and all of its rights to sue for past infringement of said Patents worldwide, together with all claims for damage by reason of past infringement of said Patents, with the right to sue for, and collect the same for Assignee's own use and enjoyment; all to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made as fully and entirely as the same would have been held and enjoyed by the Assignors had its sale and assignment not been made.

From time to time after the date hereof, at the request of either party hereto, and at the expense of the party so requesting, each of the parties hereto shall execute and deliver to such requesting party such documents and take such other action as such requesting party may reasonably request in order to consummate more effectively the transactions contemplated hereby.

The Assignor further covenants and agrees that, at the time of the execution and delivery of these presents, it possesses full title to the IP thereon as identified on the attached Schedule A, and that it has the unencumbered right and authority to make this assignment.

*Remainder of Page Intentionally Left Blank*

IN WITNESS WHEREOF, the Assignor has caused this assignment to be executed this  
31st day of March, 2021.

**ASSIGNOR:**

Advanced Microgrid Solution, Inc.

By: Stanley E. Speer

Print Name: Stanley E. Speer

Title: Chief Administrative Officer

IN WITNESS WHEREOF, the Assignee has caused this assignment to be executed this  
31st day of March, 2021.

**ASSIGNEE:**

AMS Asset Management Co., LLC

By: Stanley E. Speer

Print Name: Stanley E. Speer

Title: Chief Administrative Officer

## **SCHEDULE A**

### **Assignment Between**

Advanced Microgrid Solution, Inc.  
25 Stillman St.  
Suite 200  
San Francisco, CA 94107

and

AMS Asset Management Co., LLC  
1730 South El Camino Real  
Suite 440  
San Mateo, CA 94402

| <b>Country</b> | <b>Application No.</b> | <b>Filing Date</b> | <b>Patent No.</b> | <b>Grant Date</b> | <b>Title</b>  |
|----------------|------------------------|--------------------|-------------------|-------------------|---|
| US             | 15/360,335             | 11/23/2016         | 9,645,596         | 05/09/2017        | Method And Apparatus For Facilitating The Operation Of An On-Site Energy Storage System To Co-Optimize Battery Dispatch |
| US             | 15/473,240             | 03/29/2017         | 10,275,842        | 04/30/2019        | Method And Apparatus For Facilitating The Operation Of An On-Site Energy Storage System To Co-Optimize Battery Dispatch |
| AU             | 2017364328             | 11/15/2017         | N/A               | N/A               | Method And Apparatus For Facilitating The Operation Of An On-Site Energy Storage System To Co-Optimize Battery Dispatch |
| UK             | 1908936.6              | 11/15/2017         | N/A               | N/A               | Method And Apparatus For Facilitating The Operation Of An On-Site Energy Storage System To Co-Optimize Battery Dispatch |