506610587 04/14/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6657394

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CHRIS LORD	02/19/2019
DAVID JONES	02/19/2019
TOM SUDLOW	02/19/2019
APPLICANT FOR STEPHEN MCDONALD	06/03/2020
APPLICANT FOR KENNETH SCOTT	06/03/2020

RECEIVING PARTY DATA

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Internal Address:	PHYSICS ROAD, SPEKE
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State/Country:	UNITED KINGDOM
Postal Code:	L24 9HP

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16328198

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	CNERU.00018
NAME OF SUBMITTER:	BRANDON V. ZUNIGA
SIGNATURE:	/Brandon V. Zuniga, Reg. No. 67038/
DATE SIGNED:	04/14/2021

Total Attachments: 9

PATENT REEL: 055923 FRAME: 0421

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ASSIGNMENT OF INVENTION & PATENT RIGHTS

Parties

- (1) LORD, CHRIS c/o Nerudia Ltd, Weilington House, Physics Road, Speke Liverpool Merseyside L24 9HP, United Kingdom;
- (2) JONES, DAVID c/o Wellington House, Physics Road, Speke Liverpool Merseyside L24 9HP, United Kingdom;
- (3) SCOTT, KENNETH c/o Nerudia Ltd, Weilington House, Physics Road, Speke Liverpool Merseyside L24 9HP, United Kingdom;
- (4) MCDONALD, STEPHEN c/o Nerudia Ltd, Wellington House, Physics Road, Speke Liverpool Merseyside 124 9HP, United Kingdom;
- (5) SUDLOW, TOM c/o Nerudia Ltd, Wellington House, Physics Road, Speke Liverpool Merseyside L24 9HP, United Kingdom;
 - each an "inventor" or together the "inventors" as the context may indicate; and
- (6) NERUDIA LTD (registered name NERUDIA LIMITED) incorporated and registered in England & Wales with company number 08815467 whose registered office is at Wellington House Physics Road, Speke, Liverpool, Merseyside, L24 9HP, United Kingdom (the "Employer").

Agreed terms

1. Definitions

The definitions in this clause apply in this Assignment.

"Completion Application" means the completion patent application, short particulars of which are set out in Part 2 of the Schedule.

"invention" means the invention entitled DEVICE AND SYSTEM and SEPARATE LIQUID AND NICOTINE ARRANGEMENT, being the subject matter of the Priority Applications.

"Patent Applications" means the Priority Applications, the Completion Application and any future applications linked by one or more priorities to the Priority Applications or the Completion Application and all matter contained in such applications and any and all:

- (a) national and regional phase applications of all such applications; and
- (b) divisions, continuations and continuations-in-part of all such applications; and
- (c) patents that may be granted pursuant to any of the aforesaid applications for their full period, including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates.

"Priority Applications" means the priority patent applications, short particulars of which are set out in Part 1 of the Schedule.

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2. Assignment

in consideration of the sum of £1 (sufficiency and receipt of which the inventors expressly acknowledge), each of the inventors hereby assigns absolutely to the Employer all their right, title and interest in all countries, regions and territories of the world in, to and arising from the invention and, with effect from immediately prior to the filling of each, the Patent Applications, including (but not limited to):

- (a) In respect of the Invention and any Invention that shall be disclosed in, and all matter that shall be contained in, any of the Patent Applications, the right to file applications, claim priority from such applications, and prosecute and obtain grant of patent or other intellectual property protection in respect of any country, region or territory in the world;
- (b) In respect of each and any Patent Application:
 - the right to claim priority from and to prosecute and obtain grant of patents; and
 - (ii) the right to file divisional applications based on the Patent Applications and to prosecute and obtain grant of patent on each and any such divisional application;
- (c) the right to extend to, or register in or in respect of any country, region or territory in the world, each and any of the Patent Applications and to extend to or register in, or in respect of, any country, region or territory in the world any patent or other intellectual property protection granted on any of such applications;
- (d) the absolute entitlement to any patents granted pursuant to any Patent Application; and
- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership, of the inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

3. Further assurance

Each inventor shall, at the Employer's cost, perform all further acts and things, and execute all further documents, required by law or which the Employer requests to vest in the Employer the full benefit of the right, title and interest assigned to the Employer under this Assignment, including (but not limited to):

- documents required to be signed by or on behalf of the inventors in the course of any applications which relate to the invention or to any inventions that shall be disclosed in any of the Patent Applications;
- registration of the Employer as applicant for, or proprietor of, the Patent Applications; and
- (c) assisting the Employer in obtaining, defending and enforcing any of the Patent Applications, and assisting with any other proceedings which may be brought by or against the Employer by any third party or in the resolution of any question

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Assignment of invention and PCT/GB2017/052509 007314537 & P00023 WO TABLE

concerning the invention, or the Patent Applications or any inventions that shall be disclosed in any of the Patent Applications.

4. Right to amend the Schedule

The Inventors and the Employer grant the firm of Mewburn Eilis LLP the right to insert in Part 3 of the Schedule to this Assignment any further identification of any existing or future patent applications in any countries, regions or territories of the world, which shall fall within the scope of clause 2 above, or as may be necessary or desirable in order to comply with the rules of a patent office for the recordal of this Assignment.

5. Counterparts

This Assignment may be executed in any number of counterparts, each of which when executed shall constitute an original of this Assignment, but all the counterparts shall together constitute the same agreement.

6. Governing law and jurisdiction

This Assignment is governed by the laws of England and Wales and subject to the exclusive jurisdiction of its courts.

Schedule: The Patent Applications

Part 1: Priority Applications

Country	Application No.	Application	Title
		Date	
GB	1614518.7	25 August 2016	DEVICE AND SYSTEM
HK	18110602.9	25 August 2016	DEVICE AND SYSTEM

Part 2: Completion Application

Country/region	Application No.	Application Date	Title	*************
wo	PCT/GB2017/052509	25 August 2017	SEPARATE LIQUID AND NICOTINE ARRANGEMENT	

Part 3: Identification of some, but not necessarily all, patent applications falling within the scope of clause 2 of this Assignment

Country/region	Application	Application	Title	Signature for
	No.	Date		Mewburn Ellis LLP

3

Assignment of invention and PCT/GB2017/052509 007314537 & P00023 WO TABLE

Executed by CHRIS LORD in the presence	1/1
SIGNATURE OF WITNESS NAME: LAURA FENTON ADDRESS: 48 DARBY RD , LINES OCCUPATION: PA DATE: 1912/19	SIGNATURE OF INVENTOR
Executed by DAVID JONES In the presence of: SIGNATURE OF WITNESS L. FENTON NAME: LAURA FENTON ADDRESS: 48 DARIBY RD, LIVER OCCUPATION: P. A. DATE: 1912119.	SIGNATURE OF INVENTOR
Executed by KENNETH SCOTT in the presence of: SIGNATURE OF WITNESS NAME: ADDRESS: OCCUPATION: DATE:	SIGNATURE OF INVENTOR
Executed by STEPHEN MCDONALD in the presence of: SIGNATURE OF WITNESS NAME: ADDRESS:	SIGNATURE OF INVENTOR
OCCUPATION: DATE:	

Assignment of invention and PCT/GB2017/052509 007314537 & P00023 WO TABLE

Executed by TOM SUDLOW in the

presence of:

SIGNATURE OF INVENTOR

SIGNATURE OF WITNESS

NAME: LAURA FENTON

ADDRESS: 48 DARBY RD, LIVERPOOL, LIA 9DF OCCUPATION: P.A.

DATE: 19/2/19.

Executed by NERUDIA LTD (registered name NERUDIA LIMITED) acting by TREVOL WILLIAMS a director, in the presence of:

SIGNATURE OF A DIRECTOR

SIGNATURE OF WITNESS

NAME: THYLOR BAILEY

ADDRESS: 26 PLEXAMPER ROAD, BRISTOL, ESIJ 706.

OCCUPATION: TERM MISSISTANT

DATE: 06/3/19

S

Document Description: Oath or declaration filed

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Title of Invention	DEVICE AND SYSTEM			•
This stateme	ent is directed to:	000000000000000000000000000000000000000	000000000000000000000000000000000000000	<u> </u>
The att	ached application,			
OR				
United :	States application or PCT international	application number 16/	328,198 filed on .	Feb. 25, 2019
LEGAL NA	ME of inventor to whom this sul	ostitute statement appli	&\$;	
	Name (first and middle (if any)) and F	amily Name or Surname)		
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Residence (e	except for a deceased or legally incaps	acitated inventor):	 	
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city Lan	cashire	State	zip WN86RE	United Kingdom Country
I believe the in the ap	above-named inventor or joint invento olication.	or to be the original inventor	or an original joint inventor	of a claimed invention
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Relationshi	p to the inventor to whom this substitu	te statement applies:		
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[Page 1 of 2]

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SUBSTITUTE STATEMENT

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[Page 2 of 2]

Document Description: Oath or declaration filed

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Title of Invention	DEVICE AND SYSTEM					
This statement is directed to: The attached application, OR United States application or PCT international application number 16/328,198 filed on Feb. 25, 2019 LEGAL NAME of inventor to whom this substitute statement applies: (E.g., Given Name (first and middle (if any)) and Family Name or Surname) Kenneth SCOTT Residence (except for a deceased or legally incapacitated inventor):						
Lancashire City Lancashire State Country Coun						
_{city} Lan	cashire	State	Zi	WN8RRE	Country	
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application. The above-identified application was made or authorized to be made by me. I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.						
Relationship to the inventor to whom this substitute statement applies: Legal Representative (for deceased or legally incapacitated inventor only), Assignee, Person to whom the inventor is under an obligation to assign, Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or Joint Inventor.						

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 11.20/2020. Once 3001-10032

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SUBSTITUTE STATEMENT

Circumstances permitting execution		***************************************	0000000
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OR An application data sheet Statement Supplemental information is attached. S	under 37 CFR 1.75 (PTOMAM4 or equiv Sheet (PTOMAM11 or equivalent) naming ee 37 CFR 1.54(b).	abant) has not been s the entire inventive s	ubmitted. Thus, a Substitute stity and providing inventor
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