# 506621180 04/21/2021

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT6667987

SUBMISSION TYPE: NEW ASSIGNMENT			
NATURE OF CONVEYAN	CE: ASSIGNMENT	ASSIGNMENT	
CONVEYING PARTY DA	ТА		
	Name	Execution Date	
DANE CLAUSEN		12/04/2018	
XAVIER FRADERA		12/10/2018	
LIANGQIN GUO		12/05/2018	
YONGXIN HAN		12/10/2018	
SHUWEN HE		12/07/2018	
JOSEPH KOZLOWSKI		12/11/2018	
GUOQING LI		12/10/2018	
THEODORE A. MARTING	T	12/10/2018	
ALEXANDER PASTERNA	АК	12/10/2018	
DAVID SLOMAN		12/10/2018	
LI XIAO		12/06/2018	
WENSHENG YU		01/02/2019	
RUI ZHANG		12/10/2018	
		I	
<b>RECEIVING PARTY DAT</b>	A		
Name:	MERCK SHARP & DOHME CORP.		
Street Address: 126 EAST LINCOLN AVENUE			
Internal Address: RY862011A			

Internal Address:	RY862011A
City:	RAHWAY
State/Country:	NEW JERSEY
Postal Code:	07065

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17287256

# CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone:	/32-594-4488
Email:	US-DOCKET-PATENT@merck.com

Correspondent Name: Address Line 1: Address Line 4:	MERCK P O BOX RAHWA	
ATTORNEY DOCKET NUMBER	: 24	4656USPCT-
NAME OF SUBMITTER:	С	HRISTINE KANELLIDIS
SIGNATURE:	/C	Christine Kanellidis/
DATE SIGNED:	04	4/21/2021
Total Attachments: 41	I	
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## Attorney Docket No.: 24656

## WORLDWIDE ASSIGNMENT

WHEREAS, I/WE

- (1) Dane Clausen, located at Rahway, NJ
- (2) Xavier Fradera, located at Brookline, MA
- (3) Llangqin Guo, located at Monroe, NJ
- (4) Yongxin Han, located at Needham, MA
- (5) Shuwen He, located at Fanwood, NJ
- (6) Joseph Kozlowski, located at Princeton, NJ
- (7) Guoging Li, located at Belle Mead, NJ

(8) Theodore A. Martinot, located at Southborough, MA

- (9) Alexander Pasternak, located at Jamaica Plain, MA
- (10) David Sloman, located at Brookline, MA
- (11) Li Xiao, located at Cranbury, NJ
- (12) Wensheng Yu, located at Edison, NJ
- (13) Rui Zhang, located at Plainsboro, NJ

(hereinafter ASSIGNOR(S)), is/are the inventor(s) of the invention described in an application for Patents having the Attorney Docket Number Indicated above and the following Title of the Invention:

NOVEL SUBSTITUTED PYRAZOLE COMPOUNDS AS INDOLEAMINE 2,3-DIOXYGENASE INHIBITORS

and/or in the following application(s);

U.S. Provisional Application No. 62/753,988 filed on 11/1/2018;

U.S. Application No. \_\_\_\_\_\_; and

PCT International Application No. \_\_\_\_\_\_ having a filing date of \_\_\_\_\_\_

WHEREAS I/WE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that I/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter ASSIGNEE), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, tille and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property. In and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of ASSIGNEE, without further compensation or charge to said ASSIGNEE, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of ASSIGNEE, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for alding therein.

I/WE HEREBY authorize ASSIGNEE to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Computer Generated Template "Assignment: Merck Sharp & Dohma, Singla Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

يا الروب المحرومين وراريا والراريان ووراريا والوبار الإسرافيا فأرار الارتجاع والإستماد والمحمول والمراجع والمحمو والمحمو

## Attorney Docket No.: 24656

Executed this $4^{\pm b}$ day of $54 CEMBER$ , 2018
D. C. Dane Clausen
ACKNOWLEDGEMENT
State of New Jersey ) County of Union On this to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.
(Seal) Notary Public DINA A. KUSTER NOTARY PUBLIC OF NEW JERSEY My Commission Expires 8/7/2020
Executed this day of, 20,
Xavier Fradera
ACKNOWLEDGEMENT
Commonwealth of Massachusetts ) ) S.S.:
County of Suffolk )
On this day of, 20, before me, the undersigned notary public, personally appeared <u>Xavier Fradera</u> , proved to me through satisfactory evidence of identification, which was, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.
Notary Public:

My Commission Expires:

Page 2 of 8 Computer Generaled Template "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp., 11/04/2010

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Executed this 5th day of December 2018
Limgon Guo
ACKNOWLEDGEMENT
State of New Jersey )
County of Union On this day of day of day of, 20, personally appeared before me <u>Lianggin Guo</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.
(Seal)
DINA A, KUSTER NOTARY PUBLIC OF NEW JERSEY Executed this day of My Commission Expires 8/7/2020
Yongxin Han
ACKNOWLEDGEMENT
Commonwealth of Massachusetts )
Commonwealth of Massachusetts ) S.S.: County of Suffolk )
On thisday of, 20, before me, the undersigned notary public, personally appeared <u>Yongxin Han</u> , proved to me through satisfactory evidence of identification, which was, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.
Notary Public: My Commission Expires:

Page 3 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp 11/04/2010

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## Attorney Docket No.: 24656

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_,

Shuwen He

ACKNOWLEDGEMENT

 State of New Jersey
 )

 State of New Jersey
 )

 County of Union
 )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me Shuwen He to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_,

Joseph Kozlowski

#### ACKNOWLEDGEMENT

State of New Jersey	)
	) s.s.:
County of Mercer	)

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Joseph</u> <u>Kozłowski</u> to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 4 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme: Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp., 11/04/2010

Executed this 10th day of Dec
Guoging Li
ACKNOWLEDGEMENT State of New Jersey )
County of Union On this day of <u>CCCMCE</u> , 20 16, personally appeared before me <u>Guoging Li</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.
(Seal)
Executed this day of, 20,
Theodore A. Martinot
ACKNOWLEDGEMENT
Commonwealth of Massachusetts ) County of Suffolk )
On this day of, 20, before me, the undersigned notary public, personally appeared <u>Theodore A. Martinot</u> , proved to me through satisfactory evidence of identification, which was, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires:

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Page 5 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme Single Assignee Wondwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

## Attorney Docket No.: 24656

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_

Alexander Pasternak

ACKNOWLEDGEMENT

Commonwealth of Massachusetts ) County of Suffolk ) s.s.:

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_, before me, the undersigned notary public, personally appeared <u>Alexander Pasternak</u>, proved to me through satisfactory evidence of identification, which was \_\_\_\_\_\_\_, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires:

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_,

**David Sloman** 

ACKNOWLEDGEMENT

Commonwealth of Massachusetts ) ) s.s.:

County of Suffolk

المراجع والمراجع والمراجع والمحاجي المحا

On this \_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_, 20\_\_\_\_\_, before me, the undersigned notary public, personally appeared <u>David Sloman</u>, proved to me through satisfactory evidence of identification, which was \_\_\_\_\_\_\_, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires:

Page 6 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

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Executed this day of December_, 20_1 &. 
ACKNOWLEDGEMENT
State of New Jersey )
State of New Jersey ) ) s.s.: County of Union )
On this day of certoch, 2018, personally appeared before me Li Xiao to me
known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.
(Seal)
Executed this day of, 20
Wensheng Yu
ACKNOWLEDGEMENT
State of New Jersey )
State of New Jersey     )       ) s.s.:     County of Union
On this day of, 20, personally appeared before me <u>Wensheng</u> Yu to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 7 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme: Single Assignee Worldwice" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

day of Pecember 2018 Executed this

Rui Zhang

## ACKNOWLEDGEMENT

State of New Jersey

County of Union On this 10th day of 100000000, 2018

On this 10 day of <u>NCOMPY</u>, 20 8, personally appeared before me <u>Rui Zhang</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary ublic

DINA A. KUSTER NOTARY PUBLIC OF NEW JERSEY My Commission Expires 8/7/2020

## WORLDWIDE ASSIGNMENT

### WHEREAS, IWE,

- (1) Dane Clausen, located at Rahway, NJ
- (2) Xavier Fradera, located at Brookline, MA
- (3) Llanggin Guo, located at Monroe, NJ
   (4) Yongxin Han, located at Needham, MA.
- (5) Shuwen He, located at Fanwood, NJ
- (6) Joseph Kozlowski, located at Princeton, NJ
- (7) Guoging Li, located at Belle Mead, NJ
- (10) David Sloman, located at Brookline, MA (11) Li Xiao, located at Cranbury, NJ

(8) Theodore A. Martinot, located at Southborough, MA

(9) Alexander Pasternak, located at Jamaica Plain, MA

- (12) Wensheng Yu, located at Edison, NJ
- (13) Rui Zhang, located at Plainsboro, NJ

(hereinafter ASSIGNOR(S)), is/are the inventor(s) of the invention described in an application for Patents having the Attomey Docket Number indicated above and the following Title of the Invention:

NOVEL SUBSTITUTED PYRAZOLE COMPOUNDS AS INDOLEAMINE 2,3-DIOXYGENASE INHIBITORS

and/or in the following application(s):

U.S. Provisional Application No. 62/753,988 filed on 11/1/2018;

U.S. Application No. \_\_\_\_\_\_\_ having a filing date of \_\_\_\_\_\_; and

having a filing date of PCT International Application No.

WHEREAS IWE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that I/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter ASSIGNEE), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, l/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND IWE HEREBY covenant and agree that we will at any time, upon the request and at the expense of ASSIGNEE, without further compensation or charge to said ASSIGNEE, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of ASSIGNEE, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

IWE HEREBY authorize ASSIGNEE to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this	day of	
Dane Clausen		
	17	
State of New Jersey	)	
County of Union	)) ) \$.\$.: )	
On this to me known, and know acknowledged that he	day of wn by me to be the same p (she) executed the same, c	, 20, personally appeared before me <u>Dane Clausen</u> erson(s) described in and who executed the foregoing instrument, and of his (her) own free will for the purpose set forth.
(Seal)		Notary Public
Executed this	day of	, 20
Xavier Fradera		
ACKNOWLEDGEMEN	τ	
Commonwealth of Mas		
County of Suffolk	) s.s.: )	
	day of avier Fradera, proved to m , to be the pa at he/she signed it voluntar	20, before me, the undersigned notary public, e through satisfactory evidence of identification, which was erson whose name is signed on the preceding document, and ily for its stated purpose.

Notary Public: My Commission Expires:

Page 2 of 8. Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this	_ day of	
Liangqin Guo		
ACKNOWLEDGEMENT		
State of New Jersey County of Union	) ) s.s.: )	
On this me known, and known b acknowledged that he (s	day of y me to be the same perso	, 20, personally appeared before me Lianggin Guo to on(s) described in and who executed the foregoing instrument, and his (her) own free will for the purpose set forth.
(Seal)		Notary Public
Executed this	_day of	
v 		ŗ
Yongxin Han		-
ACKNOWLEDGEMENT		
Commonwealth of Massa County of Suffolk		
	to be the per	20, before me, the undersigned notary public, prough satisfactory evidence of identification, which was rson whose name is signed on the preceding document, and
acknowledged to me that	he/she signed it voluntaril	y for its stated purpose.

Notary Public: My Commission Expires: \_\_\_\_\_

. . . man Banton Mar

	Attorney Docket No.: 24656
Executed this <u>Tth</u> day of December	20/ 8
Shuwén Hé	
ACKNOWLEDGEMENT	
State of New Jersey )	
) s.s.: County of Union )	
On this <u>17</u> day of <u>December</u> me known, and known by me to be the same person(s) de acknowledged that he (she) executed the same, of his (he	20 <u>18</u> , personally appeared before me <u>Shuwen He</u> to scribed in and who executed the foregoing instrument, and ) own free will for the purpose set forth.
(Seal) Par	Notary Public Notary Public State of New Jersey My Commission Expires
Executed this day of	January 06, 2019
· · · · · · · · · · · · · · · · · · ·	······································
Joseph Kozlowski	
ACKNOWLEDGEMENT	
State of New Jersey	
County of Mercer	
On this day of <u>Kozlowski</u> to me known, and known by me to be the same and acknowledged that he (she) executed the same, of his	20, 20, personally appeared before me <u>Joseph</u> person described in and who executed the foregoing instrument, (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 4 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme, Single Assignate WorldWide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Liteculed unis day of	
Guoqing Li	
ACKNOWLEDGEMENT	
State of New Jersey )	
State of New Jersey ) ) s.s County of Union )	
On this day of me known, and known by me to be acknowledged that he (she) execut	, 20, personally appeared before me <u>Guoging Li</u> to the same person(s) described in and who executed the foregoing instrument, and ed the same, of his (her) own free will for the purpose set forth.
(Seal)	
	Notary Public
Executed this day of	
•	•
Theodore A. Martinot	
Theodore A. Martinot ACKNOWLEDGEMENT	
ACKNOWLEDGEMENT	) ) s.s.: }
ACKNOWLEDGEMENT Commonwealth of Massachusetts County of Suffolk On this day personally appeared <u>Theodore A. N</u>	

Notary Public: My Commission Expires: \_\_\_\_\_

Page 5 of 8 Computer Generaled Template "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this day of, 20,
Alexander Pasternak
ACKNOWLEDGEMENT
Commonwealth of Massachusetts )
County of Suffolk ) s.s.:
On this day of, 20, before me, the undersigned notary public, personally appeared <u>Alexander Pasternak</u> , proved to me through satisfactory evidence of identification, which was, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.
Executed this day of, 20
David Sloman
ACKNOWLEDGEMENT
Commonwealth of Massachusetts )
County of Suffolk ) s.s.:
On this day of, 20, before me, the undersigned notary public, personally appeared <u>David Sloman</u> , proved to me through satisfactory evidence of identification, which was, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires: \_\_\_\_\_

Executed this	day of	
LI Xiao		
ACKNOWLEDGEMEN	т	
State of New Jersey County of Union	) ) s.s.: )	
On this known, and known by n acknowledged that he (	day of ne to be the same p (she) executed the s	, 20, personally appeared before me <u>Li Xiao</u> to me person(s) described in and who executed the foregoing instrument, and same, of his (her) own free will for the purpose set forth,
· · · · · · · · · · · · · · · · · · ·		
(Seal)		
		Notary Public
Executed this	day of	, 20
.4		<u>.</u>
Wensheng Yu		
ACKNOWLEDGEMEN	T	
State of New Jersey County of Union	) ) s.s.:	
On this Yu to me known, and kr	day of nown by me to be th she) executed the s	, 20, personally appeared before me <u>Wensheng</u> te same person described in and who executed the foregoing instrument, and ame, of his (her) own free will for the purpose set forth.
(Seal)		
		Notary Public

Page: 7 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Adsignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Rui Zhang

### ACKNOWLEDGEMENT

State of New Jersey ) ) s.s.: County of Union

On this \_\_\_\_\_\_day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Rul Zhang</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 8 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohmer Single Assignee Woldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

and the second second

#### WORLDWIDE ASSIGNMENT

#### WHEREAS, IWE.

- (1) Dane Clausen, located at Rahway, NJ

- (2) Xavier Fradera, located at Brookline, MA
  (3) Liangqin Guo, located at Monroe, NJ
  (4) Yongxin Han, located at Needham, MA
- (5) Shuwen He, located at Fanwood, NJ
- (6) Joseph Kozlowski, located at Princeton, NJ
- (7) Guoging Li, located at Belle Mead, NJ
- (9) Alexander Pasternak, located at Jamaica Plain, MA

(8) Theodore A. Martinot, located at Southborough, MA

- (10) David Sloman, located at Brookline, MA
- (11) Li Xiao, located at Cranbury, NJ
- (12) Wensheng Yu, located at Edison, NJ
- (13) Rui Zhang, located at Plainsboro, NJ

(hereinafter ASSIGNOR(S)), is/are the inventor(s) of the invention described in an application for Patents having the Attomey Docket Number indicated above and the following Title of the Invention:

NOVEL SUBSTITUTED PYRAZOLE COMPOUNDS AS INDOLEAMINE 2,3-DIOXYGENASE INHIBITORS

and/or in the following application(s):

U.S. Provisional Application No. 62/753,988 filed on 11/1/2018;

U.S. Application No. \_\_\_\_\_\_ having a filing date of \_\_\_\_\_\_; and

PCT International Application No. \_\_\_\_\_\_ having a filing date of

WHEREAS IWE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that l/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter ASSIGNEE), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid Invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of ASSIGNEE, without further compensation or charge to said ASSIGNEE, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of ASSIGNEE, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

I/WE HEREBY authorize ASSIGNEE to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010-

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## Attorney Docket No.: 24656

Executed this	day of	
Dane Clausen		
ACKNOWLEDGEMEN	Ť	
State of New Jersey	) ).s.s.: )	
County of Union	)	
On this to me known, and know acknowledged that he (	day of n by me to be the same ; she) executed the same,	, 20, personally appeared before me <u>Dane Clausen</u> person(s) described in and who executed the foregoing instrument, and of his (her) own free will for the purpose set forth.
(Seal)		Notary Public
Executed this	day of	, 20,
Xavier Fradera		
ACKNOWLEDGEMEN	r	
Commonwealth of Mass		
County of Suffolk	) \$.\$.: )	
	to be the	
acknowledged to me the	at he/she signed it volunt	arily for its stated purpose.

Notary Public: My Commission Expires: \_\_\_\_\_

Page 2 of 8 Computer Generaled Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

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## Attorney Docket No.: 24656

Executed this	_ day of	, 20
Liangqin Guo		
ACKNOWLEDGEMENT		
State of New Jersey County of Union	) ) \$.s.: )	
On this me known, and known by acknowledged that he (s	day of y me to be the same persor he) executed the same, of I	, 20, personally appeared before me <u>Lianggin Guo</u> to n(s) described in and who executed the foregoing instrument, and his (her) own free will for the purpose set forth.
(Seal)	~	Notary Public
Executed this	_day of	, 20
Yongxin Han		
ACKNOWLEDGEMENT		
Commonwealth of Massa County of Suffolk	achusetts ) ) s.s.: )	
personally appeared Ton	to be the pers	, 20, before me, the undersigned notary public, rough satisfactory evidence of identification, which was son whose name is signed on the preceding document, and
acknowledged to me that	he/she signed it voluntarily	for its stated purpose.

Notary Public: My Commission Expires: \_\_\_\_\_

Page 3 of 8 Computer Generaled Template "Assignment-Marck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this \_\_\_\_\_ day of \_ 20

Shuwen He

ACKNOWLEDGEMENT

State of New Jersey ) s.s.: **County of Union** 

On this day of , 20 \_\_\_\_\_, personally appeared before me Shuwen He to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

) s.s.:

Executed this 11<sup>44</sup> day of <u>December</u>, 20<u>18</u> <u>Joséph Kozlowski</u> Joseph Kozlowski

ACKNOWLEDGEMENT

State of New Jersey

County of Mercer

Reember On this \_\_\_\_\_\_ day of \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_, personally appeared before me <u>Joseph</u> <u>Kozłowski</u> to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that ne (she) executed the same, of fis (her) own free will for the purpose set forth.

Notary

(Seal)

DAWN M. MOUNT Notary Public of New Jersey My Commission Expires July 16, 20 dd

Page 4 of 8

Computer Generated Template "Assignment-Merck Sharp & Dohme, Single Assignes Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this	day of	, 20
Guoqing Li		
e acquig zi		
ACKNOWLEDGEME	NT	
State of New Jersey	) ) s.s.:	
County of Union	)	
me known, and known	i by me to be the same pe	20, 20, personally appeared before me <u>Guoging Li</u> to erson(s) described in and who executed the foregoing instrument, and , of his (her) own free will for the purpose set forth.
-		
(Seal)		
		Notary Public
Executed this	day of	
Theodore A. Martinot		
ACKNOWLEDGEMEN	łТ	
Commonwealth of Mas	sachusetts ) ) s.s.:	
County of Suffolk	) 5.5.	
	heodore A. Martinot, pro	, 20, before me, the undersigned notary public, ved to me through satisfactory evidence of identification, which was person whose name is signed on the preceding document, and
acknowledged to me th	hat nevene signed it volunt	arily for its stated purpose.
		Notary Public:

My Commission Expires:

Page 5 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohnte. Single Assignee Workdwide" (Assignment) Merck Sharp & Dohnte Corp. 11/04/2010

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Market Control

# Attorney Docket No.: 24656

Executed this	day of		<u> </u> t.
Alexander Pasterna	k		
ACKNOWLEDGEME	NŤ		
Commonwealth of Ma	assachusetts ) ) s.s		
County of Suffolk	) 3.3		
On this personally appeared ,	day of Alexander Pasternak, to be	proved to me through satis	before me, the undersigned notary public, factory evidence of identification, which was
acknowledged to me	that he/she signed it vo	luntarily for its stated purpo	signed on the preceding document, and see.
Executed this	day of	Notary Public: My Commission Exp	
David Sloman			
ACKNOWLEDGEME	NT		
Commonwealth of Ma			
County of Suffolk	) s.s )	.:	
On this personally appeared I		to me through satisfactory	, before me, the undersigned notary public, evidence of identification, which was signed on the preceding document, and
acknowledged to me l	that he/she signed it vo	luntarily for its stated purpo	se.

Notary Public: My Commission Expires: \_\_\_\_\_

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Page 6 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this	day of	, 20	
Li Xiao	<u></u>	_	
ACKNOWLEDGEMENT	r		
State of New Jersey County of Union	) ) s.s.:		
On this known, and known by m	day of le to be the same pe	, 20, personally appeared before me Li Xiao to prson(s) described in and who executed the foregoing instrument, and time, of his (her) own free will for the purpose set forth.	me
(Seal)		Notary Public	
Executed this	day;of	, 20	
Wensheng Yu		<u></u>	
ACKNOWLEDGEMENT	ſ	·	,
State of New Jersey	)		
County of Union	)`\$.\$.: )		
On this Yu to me known, and kn acknowledged that he (s	own by me to be the	, 20, personally appeared before me <u>Wensh</u> same person described in and who executed the foregoing instrument, ar me, of his (her) own free will for the purpose set forth.	eng nd

(Seal)

Notary Public

Page 7 of 8 Computer Generated Template "Assignment-Merck Sharp & Dolvne, Single Assignee Worldwide" (Assignment) Merck Sharp & Dolvne Corp. 11/04/2010

and the second second

Executed this \_\_\_\_\_\_, 20\_\_\_\_\_, 20\_\_\_\_\_,

Rui Zhang

## ACKNOWLEDGEMENT

State of New Jersey ) ) s.s.: County of Union )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Rui Zhang</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 8 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme: Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

### WORLDWIDE ASSIGNMENT

#### WHEREAS, I/WE,

- (1) Dane Clausen, located at Rahway, NJ
- (2) Xavier Fradera, located at Brookline, MA
- (3) Liangqin Guo, located at Monroe, NJ
- (4) Yongxin Han, located at Needham, MA
- (5) Shuwen He, located at Fanwood, NJ
- (6) Joseph Kozlowski, located at Princeton, NJ
- (7) Guoging Li, located at Belle Mead, NJ
- (10) David Sloman, located at Brookline, MA (11) Li Xiao, located at Cranbury, NJ

(8) Theodore A. Martinot, located at Southborough, MA

(9) Alexander Pasternak, located at Jamaica Plain, MA

- (11) LI Alao, located at Cranbury, NJ (12) Wensheng Yu, located at Edison, NJ
- (12) Wensheng fu, located at Edison, NJ (13) Bui Zhang, located at Edison, NJ
- (13) Rui Zhang, located at Plainsboro, NJ

(hereinafter ASSIGNOR(S)), is/are the inventor(s) of the Invention described in an application for Patents having the Attorney Docket Number indicated above and the following Title of the Invention:

NOVEL SUBSTITUTED PYRAZOLE COMPOUNDS AS INDOLEAMINE 2,3-DIOXYGENASE INHIBITORS

and/or in the following application(s):

U.S. Provisional Application No. 62/753,988 filed on 11/1/2018;

U.S. Application No. \_\_\_\_\_\_ having a filing date of \_\_\_\_\_\_; and

PCT International Application No. \_\_\_\_\_\_ having a filing date of \_\_\_\_\_

WHEREAS I/WE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that I/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter ASSIGNEE), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, i/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of ASSIGNEE, without further compensation or charge to said ASSIGNEE, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of ASSIGNEE, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein.

I/WE HEREBY authorize ASSIGNEE to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Computer Generaled Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_.

S.S.:

Dane Clausen

ACKNOWLEDGEMENT

State of New Jersey County of Union

On this \_\_\_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_\_, personally appeared before me <u>Dane Clausen</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

.20 18 Dean day of Executed this

) s.s.:

Xavier Fradera

#### ACKNOWLEDGEMENT

Commonwealth of Massachusetts

County of Suffolk

On this day of day of 20, 20, 20, 20, 5, before me, the undersigned notary public, personally appeared <u>Xavier Fradera</u>, proved to me through satisfactory evidence of identification, which was to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires: DIANE SIMMONS Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires February 4, 2020



Computer Generaled Temptate "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

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## Attorney Docket No.: 24656

Executed this	day of	
Liangqin Guo	·····	<b></b>
ACKNOWLEDGEME	NT.	
State of New Jersey	) ) S.S.:	
County of Union	)	
me known, and know	n by me to be the same (she) executed the same	, 20, personally appeared before me <u>Llanggin Guo</u> to person(s) described in and who executed the foregoing instrument, and ne, of his (her) own free will for the purpose set forth.
(Seal)		
		Notary Public
Executed this <u>101</u> Yongxin Han	day of	ember 2019
ACKNOWLEDGEME	NT	
Commonwealth of Ma County of Suffolk	) s.s.: )	
personally appeared	to be the	<u>Control DM</u> , 20 <u>V</u> , before me, the undersigned notary public, me through satisfactory evidence of identification, which was he person whose name is signed on the preceding document, and untarily for its stated purpose.
	·	Notary Public: My Commission Expires: 2 (24) (20)
Page 3 of 8		DIANE SIMMONS Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires February 4, 2020

Computer Generated Template. "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

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Executed this	day of	, 20
Shuwen He	<u></u>	
ACKNOWLEDGEMENT		
State of New Jersey	) ) s.s.:	
County of Union	)	
On this me known, and known by acknowledged that he (s	day of y me to be the same pers he) executed the same, o	, 20, personally appeared before me <u>Shuwen He</u> to son(s) described in and who executed the foregoing instrument, and of his (her) own free will for the purpose set forth.
(Seal)		
		Notary Public
Executed this	_ day of	, 20
Joseph Kozlowski		_
ACKNOWLEDGEMENT		
State of New Jersey	) ) s.s.:	
County of Mercer	)	
Kozlowski to me known,	, and known by me to be	, 20, personally appeared before me <u>Joseph</u> the same person described in and who executed the foregoing instrument, ne, of his (her) own free will for the purpose set forth.
(Seal)		
		Notary Public

Page 4 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. (1/04/2010

and the second sec

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_

) s.s.:

Guoging Li

ACKNOWLEDGEMENT

State of New Jersey County of Union

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_, personally appeared before me <u>Guoging Li</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

day of The Cember 2018 Executed this

) s.s.:

Theodore A. Martinot

#### ACKNOWLEDGEMENT

Commonwealth of Massachusetts

County of Suffolk

On this 10<sup>th</sup> day of <u>December</u>, 20<u>1</u>, before me, the undersigned notary public, personally appeared <u>Theodore A. Martinot</u>, proved to me through satisfactory evidence of identification, which was <u>SSACCE</u>, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires: DIANE SIMMONS Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires February 4, 2020

Page 5 of 8

Computer Generated Template "Assignment-Menck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this 10th day of Decomper, 20 15.

) s.s.:

) s.s.:

Alexander Pasternak

ACKNOWLEDGEMENT

Commonwealth of Massachusetts

County of Suffolk

On this 10<sup>th</sup> day of <u>December</u>, 20<u>18</u>, before me, the undersigned notary public, personally appeared <u>Alexander Pasternak</u>, proved to me through satisfactory evidence of identification, which was <u>DL</u> <u>Solution</u> to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires: DIANE SIMMONS day of Dacan Executed this  $\sqrt{D^T}$ Notary Public 20 COMMONWEALTH OF MASSACHUSETTS My Commission Expires February 4, 2020

David Šloman

## ACKNOWLEDGEMENT

Commonwealth of Massachusetts

County of Suffolk

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_ day of \_\_\_\_\_\_ 20\_\_\_\_ before me, the undersigned notary public, personally appeared <u>David Sloman</u>, proved to me through satisfactory evidence of identification, which was \_\_\_\_\_\_\_ 10\_\_\_\_\_ 10\_\_\_\_\_ 10\_\_\_\_\_ to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires: DIANE SIMMONS Notary Public COMMONWEALTH OF MASSACHUSETTS My Commission Expires February 4, 2020

Page 6 of 8

Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Workdwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this	_day of	, 20
Li Xiao	·······	
ACKNOWLEDGEMENT		
State of New Jersey	)	
County of Union	) )s.s.; )	
On this known, and known by me acknowledged that he (sl	day of to be the same person(s) he) executed the same, of	, 20, personally appeared before me <u>Li Xiao</u> to me ) described in and who executed the foregoing instrument, and his (her) own free will for the purpose set forth.
(Seal)		
• • • •		Notary Public
		County I Marie
Executed this	_day of	, 20
Wensheng Yu		
ACKNOWLEDGEMENT		
State of New Jersey	·)	
County of Union	) s.s.: )	
Tu to me known, and kno	day of win by me to be the same ne) executed the same, of	, 20, personally appeared before me <u>Wensheng</u> person described in and who executed the foregoing instrument, and his (her) own free will for the purpose set forth.
(Seal)		

Notary Public

Page 7 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_,

**Rui Zhang** 

ACKNOWLEDGEMENT

State of New Jersey County of Union

) ) s.s.:

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Rui Zhang</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 8 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme: Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

#### Attorney Docket No.: 24656

#### WORLDWIDE ASSIGNMENT

WHEREAS, IME,

- (1) Dane Clausen, located at Rahway, NJ
- (2) Xavier Fradera, located at Brookline, MA
- (3) Liangqin Guo, located at Monroe, NJ
   (4) Yongxin Han, located at Needham, MA
- (5) Shuwen He, located at Fanwood, NJ
- (6) Joseph Kozlowski, located at Princeton, NJ
- (7) Guoging Li, located at Belle Mead, NJ

(8) Theodore A. Martinot, located at Southborough, MA

- (9) Alexander Pasternak, located at Jamaica Plain, MA
- (10) David Sloman, located at Brookline, MA
- (11) Li Xiao, located at Cranbury, NJ
- (12) Wensheng Yu, located at Edison, NJ
- (13) Rui Zhang, located at Plainsboro, NJ

(hereinafter ASSIGNOR(S)), is/are the inventor(s) of the invention described in an application for Patents having the Attorney Docket Number indicated above and the following Title of the Invention:

NOVEL SUBSTITUTED PYRAZOLE COMPOUNDS AS INDOLEAMINE 2,3-DIOXYGENASE INHIBITORS

and/or in the following application(s):

U.S. Provisional Application No. 62/753.988 filed on 11/1/2018;

U.S. Application No. \_\_\_\_\_\_\_ having a filing date of \_\_\_\_\_\_; and

PCT International Application No. having a filing date of

WHEREAS I/WE covenant that the rights and property herein conveyed are free and clear of any encumbrance, and that l/we have the full right to convey the same as herein expressed.

WHEREAS, Merck Sharp & Dohme Corp., a corporation organized under the laws of the State of New Jersey, having an office at 126 East Lincoln Avenue, Rahway, New Jersey 07065, and its successors, assigns and legal representatives (hereinafter ASSIGNEE), is desirous of obtaining the entire right, title and interest in, to and under the invention disclosed in said application or applications;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I/we, said ASSIGNOR(S), do each hereby sell, assign and transfer or confirm the grant and assignment to said ASSIGNEE my/our entire right, title and interest in all countries of the world in and to my/our invention described in said application for Patents, in and to the right to file patent applications in the name of ASSIGNEE, its designee, or in any or all of our names, at its election, on the aforesaid invention in all countries of the world, together with all rights of priority in the aforesaid countries deriving from the above-identified application for Patents under the International Convention for the Protection of Industrial Property, under the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models and under any other international arrangement relating to patents or intellectual property, in and to any and all Letters Patent that are granted from the aforesaid application for Patents, in and to any applications claiming priority benefits to a provisional patent application or other applications filed on said invention, and in and to any continuations, divisions, reexaminations, reissues, renewals and extensions thereof of any of said Letters Patent, the same to be held and enjoyed by said ASSIGNEE, to the full ends of the terms for which all Letters Patent therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

AND I/WE HEREBY covenant and agree that we will at any time, upon the request and at the expense of ASSIGNEE, without further compensation or charge to said ASSIGNEE, execute and deliver any and all documents that may be necessary or desirable to perfect the title to the foregoing invention, patent applications, and Letters Patent and continuations, divisions, reexaminations, reissues, renewals and extensions thereof in the name of ASSIGNEE, including the execution and procurement of any and all further documents evidencing this assignment and sale as may be necessary or desirable for recording the same in the Patent Office of any country concerned, and will make all rightful oaths and declarations and do all lawful acts regulsite for procuring the same or for aiding therein.

I/WE HEREBY authorize ASSIGNEE to insert in this instrument the Application Number(s) and the filing date(s) of said application for Patents when officially notified thereof.

This document may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, but all such components shall together constitute but one and the same document.

Computer Generated Template "Assignment-Merick Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merick Sharp & Dohme Corp. 11/04/2010

## Attorney Docket No.: 24656

Executed this day of, 20,
Dane Clausen
ACKNOWLEDGEMENT
State of New Jersey ) ) s.s.: County of Union )
On this day of, 20, personally appeared before me Dane Clausen to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and
acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.
(Seal)
Notary Public
Executed this day of, 20
Xavier Fradera
ACKNOWLEDGEMENT
Commonwealth of Massachusetts )
County of Suffolk )
On thisday of, 20, before me, the undersigned notary public, personally appeared <u>Xavier Fradera</u> , proved to me through satisfactory evidence of identification, which was, to be the person whose name is signed on the preceding document, and
acknowledged to me that he/she signed it voluntarily for its stated purpose.
acknowledged to me that he/she signed it voluntarily for its stated purpose.
acknowledged to me that he/she signed it voluntarily for its stated purpose.

ahanahai fahina na najara nara na

Page 2 of 8 Computer Generated Template "Assignment Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

# Attorney Docket No.: 24656

Executed this day of	. 20
Liangqin Guo	
ACKNOWLEDGEMENT	
State of New Jersey ) ) s.s.:	
County of Union ) s.s.:	
On this day of me known, and known by me to be the same perso acknowledged that he (she) executed the same, of	
(Seal)	
	Notary Public
Executed thisday of	, 20,
Yongxin Han	
ACKNOWLEDGEMENT	
Commonwealth of Massachusetts ) County of Suffolk ) s.s.:	
On this day of personally appeared <u>Yongxin Han</u> , proved to me th , to be the per acknowledged to me that he/she signed it voluntarily	, 20, before me, the undersigned notary public, rough satisfactory evidence of identification, which was son whose name is signed on the preceding document, and y for its stated purpose.
	Notary Public: My Commission Expires:

Page 3 of 8 Computer Generated Template "Assignment-Merck Sharp & Oohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

#### Attorney Docket No.: 24656

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

Shuwen He

ACKNOWLEDGEMENT

State of New Jersey ) ) s.s.: County of Union )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me Shuwen He to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_,

Joseph Kozłowski

#### ACKNOWLEDGEMENT

State of New Jersey ) S.S.: County of Mercer )

On this \_\_\_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Joseph</u> <u>Koztowski</u> to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

والمربوع والالتان التنام ومقتوله والرام فراقوه والمرا

Notary Public

Page 4 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme: Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

#### Attorney Docket No.: 24656

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_,

Guoging Li

#### ACKNOWLEDGEMENT

 State of New Jersey
 )

 State of New Jersey
 )

 State of New Jersey
 )

 County of Union
 )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Guoging Li</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_

)

Theodore A. Martinot

## ACKNOWLEDGEMENT

Commonwealth of Massachusetts	)
	) s.s.:

County of Suffolk

On this \_\_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_\_, before me, the undersigned notary public, personally appeared <u>Theodore A. Martinot</u>, proved to me through satisfactory evidence of identification, which was \_\_\_\_\_\_\_, to be the person whose name is signed on the preceding document, and acknowledged to me that he/she signed it voluntarily for its stated purpose.

Notary Public: My Commission Expires:

Page 5 of 8 Computer Generated Template "Assignment: Merck Sharp & Dohme, Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

Attorney Docket No.: 24656

Executed this	day of	, 20	
Alexander Pasternak	·		
ACKNOWLEDGEMEN	T		
Commonwealth of Mas	sachusetts )		
County of Suffolk	sachusetts ) ) s.s.: )		
On this personally appeared <u>Al</u>	day of exander Pasternak, pro	, 20 ved to me through satisfa	, before me, the undersigned notary public, ctory evidence of identification, which was gned on the preceding document, and
acknowledged to me th	at he/she signed it volunt	person whose name is si arily for its stated purpose	gned on the preceding/document, and 3.
Executed this	day of	Notary Public: My Commission Expir	es;
David Sloman	······		
ACKNOWLEDGEMEN	r		
Commonwealth of Mass			
County of Suffolk	) s.s.: )		
	, to be the	, 20 the through satisfactory ev person whose name is sig arily for its stated purpose	, before me, the undersigned notary public, idence of identification, which was gned on the preceding document, and
			··

Notary Public: My Commission Expires:

Page 6 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

#### Attorney Docket No.: 24656

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_,

Li Xiao

#### ACKNOWLEDGEMENT

State of New Jersey ) ) s.s.: County of Union )

On this \_\_\_\_\_\_day of \_\_\_\_\_\_, 20 \_\_\_\_\_, personally appeared before me Li Xiao to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Executed this 2nd day of Tanuary, 20\_

#### ACKNOWLEDGEMENT

State of New Jersey ) County of Union On this Ond day of Ond LICITIA, 20, personally appeared before me Weinsheing Yu to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) will for the purpose set forth.

(Seal)

Notary Public

DINA A. KUSTER NOTARY PUBLIC OF NEW JERSEY My Commission Expires 8/7/2020

Page 7 of 8 Computer Generated Template" Assignment Merck Sharp 3 Dohme. Single Assignes Worldwide" (Assignment) Merck Sharp 3 Dohme Corp. 11:04/2010

## Attorney Docket No.: 24656

Executed this \_\_\_\_\_\_ day of \_\_\_\_\_\_ 20\_\_\_\_\_

**Rui Zhang** 

ACKNOWLEDGEMENT

State of New Jersey ) ) s.s.: County of Union )

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, personally appeared before me <u>Rui Zhang</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Page 8 of 8 Computer Generated Template "Assignment-Merck Sharp & Dohme. Single Assignee Worldwide" (Assignment) Merck Sharp & Dohme Corp. 11/04/2010

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Accepted and agreed to by ASSIGNEE Merck Sharp & Dohme Corp.

) s.s.:

Signatur

Name: Catherine D. Fitch

Title: <u>Managing Counsel, Patents</u> D = 1 - Q = 1 Q

Date:

ACKNOWLEDGEMENT

State of New Jersey

County of Union

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_, 20\_\_\_\_\_, 20\_\_\_\_\_, personally appeared before me <u>Catherine D. Fitch</u> to me known, and known by me to be the same person(s) described in and who executed the foregoing instrument, and acknowledged (hal he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

**Notary Public** 

KAREN ANN TORKILDSEN Notary Public, State of New Jersey My Commission Expires October 22, 2023