

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6670200

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
QUEENSLAND UNIVERSITY OF TECHNOLOGY	05/29/2020
RECEIVING PARTY DATA	
Name:	CARP PHARMACEUTICALS PTY LTD
Street Address:	LEVEL 18, 141 QUEEN STREET
City:	BRISBANE
State/Country:	AUSTRALIA
Postal Code:	4000
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16064192
CORRESPONDENCE DATA	
Fax Number:	(608)662-1276
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6086621277
Email:	SEFILANDRINOS@CASIMIRJONES.COM
Correspondent Name:	MARY ANN D. BROW
Address Line 1:	2275 DEMING WAY, SUITE 310
Address Line 4:	MIDDLETON, WISCONSIN 53562
ATTORNEY DOCKET NUMBER:	DCC-36576.251
NAME OF SUBMITTER:	MARY ANN D. BROW
SIGNATURE:	/Mary Ann D. Brow/
DATE SIGNED:	04/22/2021
Total Attachments: 6	
source=2021-04-22_36576-251_EXEC_ASSIGN_QUT-CARP#page1.tif	
source=2021-04-22_36576-251_EXEC_ASSIGN_QUT-CARP#page2.tif	
source=2021-04-22_36576-251_EXEC_ASSIGN_QUT-CARP#page3.tif	
source=2021-04-22_36576-251_EXEC_ASSIGN_QUT-CARP#page4.tif	
source=2021-04-22_36576-251_EXEC_ASSIGN_QUT-CARP#page5.tif	
source=2021-04-22_36576-251_EXEC_ASSIGN_QUT-CARP#page6.tif	

APRIL 2021

CONFIRMATORY DEED OF ASSIGNMENT

**QUEENSLAND UNIVERSITY OF TECHNOLOGY
AND
CARP PHARMACEUTICALS PTY LTD**

Davies Collison Cave Pty Ltd
Intellectual Property
301 Coronation Drive, Milton, QLD, 4064
Tel: +61 7 3011 9700 Fax: +61 7 3011 9701
Ref: 35543663/VPA/JXT
© Davies Collison Cave Pty Ltd 2020



INTELLECTUAL PROPERTY

davies.com.au

PATENT

REEL: 056002 FRAME: 0864

CONFIRMATORY DEED OF ASSIGNMENT

THIS DEED is made on the 15th of April, 2021.

BETWEEN:

Queensland University of Technology (ABN 83 791 724 622) of 2 George Street, Brisbane, Queensland 4000, Australia (**Assignor**)

AND

CARP Pharmaceuticals Pty Ltd (ABN 60 603 287 225) of Level 18, 141 Queen Street, Brisbane, Queensland 4000, Australia (**Assignee**)

RECITALS

- A. The Assignor is the registered owner or applicant (as applicable) of the patents and patent applications set out in Schedule 1 to this Deed (**Patents** and **Patent Applications**, respectively) for the inventions described or claimed (or both) in the Patents and Patent Applications (**Inventions**).
- B. Pursuant to an Assignment Deed dated executed on behalf of **Queensland University of Technology** on 29 May 2020 and on behalf of **CARP Pharmaceuticals Pty Ltd** on 4 June 2020 (**Assignment Deed**), the parties agreed that the Assignee would be entitled to all right, title and interest in and to the Inventions, the Patents, the Patent Applications and related rights.
- C. The parties wish to acknowledge the Assignee's entitlement to the Inventions, the Patents, the Patent Applications and related rights.

NOW THIS DEED WITNESSES as follows:

1. EFFECT OF DEED

Each party acknowledges that this Deed is effective on and from the abovementioned date.

2. ACKNOWLEDGEMENT

The parties acknowledge and agree that, pursuant to the Assignment Deed, the Assignee is entitled to all right, title and interest in and to the Inventions, the Patents and the Patent Applications absolutely.

3. ASSIGNMENT

To the extent that, as at the date of this Deed, the Assignor holds any right, title or interest in and to the Inventions, the Patents or the Patent Applications, the Assignor hereby assigns to the Assignee absolutely all its right, title and interest in and to:

- (a) the Inventions, including, without limitation, the right to apply for patents or similar legal protection in respect of the Inventions in any country or region of the world (whether as a refiling of any or all of the Patent Applications or

Patents, a divisional application, a continuation or a continuation in part or an application made under the Patent Cooperation Treaty, including any national or regional phase entry deriving from such applications), including all rights of priority to the Patent Applications and Patents, and to make such applications including in the name of the Assignor, so that any patents or similar legal protection granted on any or all such applications shall be in the name of, and any such patents or similar protection shall vest in, the Assignee;

- (b) the Patent Applications, at least so that the grant of any patents or similar protection on the Patent Applications shall be in the name of and vest in the Assignee;
- (c) the Patents, so that the Patents shall be owned by, and that all further grants relating to the Patents (including extensions, re-issues and re-examinations of, and patents of addition to, the Patents) shall be to the Assignee absolutely; and
- (d) all powers, privileges and immunities arising or accrued in respect of the Inventions, the Patent Applications and the Patents including, without limitation, the right to sue for and obtain damages, profits, compensation and other relief (and retain any financial awards made) in respect of any infringement of such patents or similar legal protection granted or in respect of any interference with any rights assigned pursuant to this Deed, whether occurring before or after the date of this Deed,

(together, the **Assigned Rights**).

4. GENERAL

4.1 Further Assurances

Each of the parties undertakes to execute all further documents and render all assistance reasonably required for the purpose of giving full effect to this Deed, including, but not limited to, confirming or recording the title of the Assignee in the Inventions, the Patent Applications, the Patents and any patents or other similar legal protection granted in respect of the Inventions and for securing for the Assignee the full benefit of the Assigned Rights.

4.2 Variations

No variation of this Deed will be of any force or effect unless it is in writing and signed by each party to this Deed.

4.3 Governing Law

This Deed shall be governed by and construed according to the laws of the Australian Capital Territory, Australia.

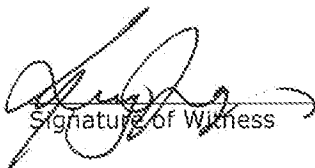
4.4 Counterpart

The Deed may be executed in counterparts by each party to this Deed, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same document. Each party shall be bound by this Deed immediately upon executing it.

EXECUTED AS A DEED

EXECUTED as a Deed for and on behalf of **Queensland University of Technology (ABN 83 791 724 622)** by its duly authorised officer in the presence of:

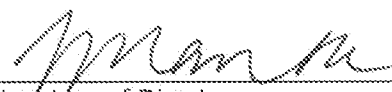

Signature of Authorised Officer

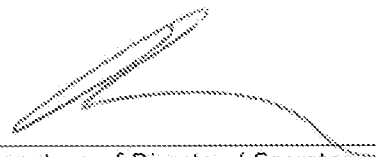

Signature of Witness

MARK HARVEY, VICE PRESIDENT
Name and Title of Authorised Officer (BUSINESS DEVELOPMENT)

K. INGRAM
Name of Witness

EXECUTED as a Deed by **CARP Pharmaceuticals Pty Ltd (ABN 60 603 287 225)** in accordance with section 127 of the *Corporations Act 2001* (Cth):


Signature of Director


Signature of Director / Secretary

GOPFREY MANTIE
Name of Director

DAVID NELSON
Name of Director / Secretary

Schedule 1 – Patent Rights

Title: Nucleic acid oligomers and uses therefor
Applicant: Queensland University of Technology

JURISDICTION	APPLICATION NO.	FILING DATE
Australia	2015905380	23 Dec 2015
Australia	2016377398	23 Dec 2016
Canada	3009378	23 Dec 2016
China	201680082471.9	23 Dec 2016
Europe	16877015.4	23 Dec 2016
International (PCT)	PCT/AU2016/051280	23 Dec 2016
Japan	2018-552097	23 Dec 2016
New Zealand	2018-552097	23 Dec 2016
Republic of Korea	10-2018-7021279	23 Dec 2016
Singapore	11201805341R	23 Dec 2016
United States	16/064192	23 Dec 2016