

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6674131

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST	
CONVEYING PARTY DATA		
	Name	Execution Date
	SHARESPOST, INC.	04/23/2021
RECEIVING PARTY DATA		
Name:	AVENUE VENTURE OPPORTUNITIES FUND, L.P.	
Street Address:	11 WEST 42ND STREET	
Internal Address:	9TH FLOOR	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10036	
PROPERTY NUMBERS Total: 3		
	Property Type	Number
	Patent Number:	10636180
	Application Number:	16859222
	Application Number:	16397852
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	(312) 288-3589	
Email:	sabrina.lynch@wolterskluwer.com	
Correspondent Name:	NANCY HELM-BROWN	
Address Line 1:	2929 ALLEN PKWY	
Address Line 2:	STE 3300	
Address Line 4:	HOUSTON, TEXAS 77019	
NAME OF SUBMITTER:	AMANDA HOFFMAN	
SIGNATURE:	/Amanda Hoffman/	
DATE SIGNED:	04/23/2021	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 6		
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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

SHARESPOST, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) April 23, 2021

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Release of Security Interest

2. Name and address of receiving party(ies)

Name: Avenue Venture Opportunities Fund, L.P.

Internal Address: _____

Street Address: 11 West 42nd Street, 9th Floor

City: New York

State: New York

Country: USA Zip: 10036

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

15/804,852

16/859222

16/397852

See attached Schedule A

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Amanda Hoffman

Internal Address: _____

Street Address: 111 West Monroe Street

City: Chicago

State: Illinois Zip: 60603-4080

Phone Number: 312-845-3413

Docket Number: _____

Email Address: ahoffman@chapman.com

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized UserName _____

9. Signature: Amanda Hoffman

Signature

April 23, 2021

Date

Amanda Hoffman

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 056026 FRAME: 0703

SCHEDULE A
TO RELEASE OF SECURITY INTEREST IN PATENTS

Title/Description	Country	Application No.	Patent Registration No.	Filing Date	Registration Date
Dynamic waterfall charts	US	Patent Application 15/804,852	Patent 10636180	11/6/17	4/28/20
Dynamic Waterfall Charts	US	Patent Application 16/859222		10/08/20	N/A
Global Liquidity And Settlement System	US	Patent Application 16/397852		10/31/19	N/A

RELEASE OF SECURITY INTEREST IN PATENTS

THIS RELEASE OF SECURITY INTEREST IN PATENTS (the “*Release*”) is made as of April 23, 2021, by AVENUE VENTURE OPPORTUNITIES FUND, L.P., a Delaware limited partnership (“*Lender*”). Capitalized terms used but not defined herein shall have the same meanings assigned to such terms in the Security Agreement (as defined below).

WITNESSETH:

WHEREAS, SHARESPOST, INC., a Delaware corporation (“*Grantor*”) and Lender are parties to that certain Intellectual Property Security Agreement dated as of December 18, 2020 (the “*Security Agreement*”) pursuant to which the Grantor granted to Lender a security interest in, among other things, letters patent of, or rights corresponding thereto in, the United States or any other country, all registrations and recordings thereof, and all applications for letters patent of, or rights corresponding thereto in, the United States or any other country, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country; all reissues, continuations, continuations-in-part or extensions thereof; all petty patents, divisionals, and patents of addition; and all patents to be issued under any such applications, including without limitation the patents and patent applications listed on Schedule A attached hereto and certain other property (collectively, the “*Patent Collateral*”);

WHEREAS, the Security Agreement was recorded by the Patent Division of the United States Patent and Trademark Office on December 23, 2020, at Reel 054837, Frame 0987; and

WHEREAS, Grantor has requested that Lender release its security interests in the Patent Collateral.

NOW THEREFORE, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged:

1. Lender hereby releases its Lien on and security interest in, to and under all of the Grantor’s right, title and interest in and to the Patent Collateral, including, but not limited to, the Patents set forth on Schedule A (attached hereto).

2. Lender hereby reassigns, grants and conveys to the Grantor, without any representation, recourse or undertaking by Lender, any and all of Lender’s right, title and interest in, to and under the Patent Collateral including, but not limited to, the Patents set forth on Schedule A (attached hereto). Lender hereby authorizes the Grantor (or its designees) to file this Release with the United States Patent and Trademark Office and any other applicable governmental authorities worldwide.

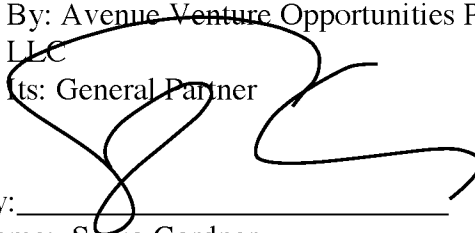
3. Lender shall execute such documents and instruments and take such further actions as may be reasonably required or desirable to carry out the provisions of this Release.

[Signature Page Follows]

IN WITNESS WHEREOF, Lender has caused this Release of Security Interest in Patents to be executed as of the day and year first above written.

AVENUE VENTURE OPPORTUNITIES FUND L.P., as
Lender

By: Avenue Venture Opportunities Partners,
LLC
Its: General Partner



By: _____
Name: Sonia Gardner
Title: President and Managing Partner

SIGNATURE PAGE

RELEASE OF SECURITY INTEREST IN PATENTS

PATENT
REEL: 056026 FRAME: 0707

SCHEDULE A
TO RELEASE OF SECURITY INTEREST IN PATENTS

Title/Description	Country	Application No.	Patent Registration No.	Filing Date	Registration Date
Dynamic waterfall charts	US	Patent Application 15/804,852	Patent 10636180	11/6/17	4/28/20
Dynamic Waterfall Charts	US	Patent Application 16/859222		10/08/20	N/A
Global Liquidity And Settlement System	US	Patent Application 16/397852		10/31/19	N/A