## 506673108 05/20/2021

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6719923

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
GRAPHENEA, S.A.	03/24/2021

#### **RECEIVING PARTY DATA**

Name:	GRAPHENEA SEMICONDUCTOR, S.L.U.	
Street Address:	PASEO MIKELETEGI 83	
City:	SAN SEBASTIÁN (GIPUZKOA)	
State/Country:	SPAIN	
Postal Code:	20009	

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Patent Number:	9023220
Patent Number:	10354866

#### **CORRESPONDENCE DATA**

**Fax Number:** (212)661-8002

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 2126618000

Email: INFO@LMIPLAW.COM
Correspondent Name: LUCAS & MERCANTI, LLP

Address Line 1: 30 BROAD STREET

Address Line 2: 21ST FLOOR

Address Line 4: NEW YORK, NEW YORK 10004

ATTORNEY DOCKET NUMBER:	CLM-83 / CLM-508	
NAME OF SUBMITTER:	ELIZABETH LEE D'AMORE	
SIGNATURE:	/Elizabeth Lee D'Amore/	
DATE SIGNED:	05/20/2021	

#### **Total Attachments: 7**

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PATENT 506673108 REEL: 056298 FRAME: 0362

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#### CERTIFICATE OF SEGREGATION

In Donostia - San Sebastián, 1 January 2020

We hereby inform you that on 15 May 2018, the ordinary General Universal Board of Shareholders of GRAPHENEA, S.A. and the sole partner of GRAPHENEA SEMICONDUCTOR, S.L.U. have approved the segregation (the "Segregation") of an autonomous economic unit of GRAPHENEA, S.A. in favour of GRAPHENEA SEMICONDUCTOR, S.L.U. (the "Beneficiary Company").

Through the Segregation, GRAPHENEA, S.A. will transfer en masse, without being dissolved, part of its assets materialised in the elements listed with the business conducted by GRAPHENEA, S.A. relative to "the activities of research, development, production and sale of CVD, chemical vapour deposition, graphene" (the "Segregated Assets") to GRAPHENEA SEMICONDUCTOR, S.L.U., who shall acquire, by universal succession, all the rights and obligations inherent to the Segregated Assets, as provided under Article 71 of Law 3/2009 of 3 April on Structural Modifications of the Commercial Companies ("LME").

The purpose of the Segregation is to rationalise and rearrange the activities of GRAPHENEA, S.A., in order to create an organisational structure which allows the different economic activities thereof to be managed in a more independent, efficient and professional manner, taking into account the particularities of each of these activities, and considering that the Beneficiary Company has a different working method in regard to the type of client it deals with (both internal and external), segregation of these activities is considered appropriate so as to favour placing a greater emphasis on the business of the segregated business unit and its managers, taking said peculiarities into account in order to achieve a higher return from the different business areas, separating the liabilities and responsibilities and allowing for better financing of their activities.

The aforementioned business succession is effective as of 18 July 2018, the date on which the corresponding Segregation instrument is registered in the Companies Registry.

As provided in the segregation project, the following patents have been transferred to GRAPHENEA SEMICONDUCTOR SLU:

[Illegible signatures]

Title: Equipment and method to automatically transfer a graphene

monolayer to a substrate

Country: Germany File No.: 2937313 DE

Title: Equipment and method to automatically transfer a graphene

monolayer to a substrate

Country: Spain

File No.: 2937313 ES

Title: Equipment and method to automatically transfer a graphene

monolayer to a substrate

Country: France File No.: 2937313 FR

Title: Equipment and method to automatically transfer a graphene

monolayer to a substrate

Country: United Kingdom File No.: 2937313 GB

Title: Method of manufacturing a graphene monolayer on insulating

substrates

Country: United States of America

File No.: 9,023,220 US

Title: Equipment and method to automatically transfer a graphene

monolayer to a substrate

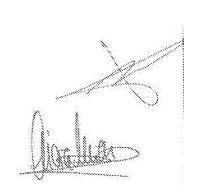
Country: United States of America

File No.: 14/809,672 US

Title: Method of manufacturing a graphene monolayer on insulating

substrates

Country: Republic of Korea File No.: 10-2013-0076520 KR



Kind regards,

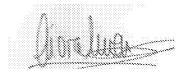
[Illegible signature]

Mr Jesús de la Fuente Cosío

On behalf of GRAPHENEA, S.A.

Tax Id Code (CIF): A75022608

Address: Paseo Mikeletegi 83 Donostia-San Sebastián 20009



Ms Aiora Urien Ojinaga

On behalf of GRAPHENEA SEMICONDUCTOR, S.L.U.

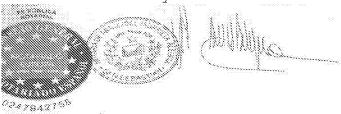
Tax ID Code (CIF): B75183202 Address: Paseo Mikeletegi 83 Donostia-San Sebastián 20009

## SIGNATURE AUTHENTICATION CERTIFICATE:

I, ANA ISABEL JAURRIETA ALEGRIA, Notary of the Basque Country Notary Association, whose residence is in San Sebastián, -----

DO HEREBY CERTIFY: That I know and consider to be authentic the preceding signatures of MR JESUS-ANGEL DE LA FUENTE COSIO, holder of National ID Document no. 20205705-K and of MS AIORA URIEN OJINAGA, holder of National ID Document no. 16082421-Q. Recorded in the Index of Documents under number 62. ------

San Sebastián, on the twenty-fourth day of March of two thousand twenty-one.



[Illegible signatures]

[Stamp of the Notary's Office of Ms Ana Isabel Jaurrieta Alegria, San Sebastián] [Seal- Attested to by Public Notary - General Council of Spanish Notaries - European Notaries, 0247942758]

CALLE HERMOSILLA, 48, 1° DCHA. 28001 MADRID 91 085 3441



# Certificate of Translation

### STATEMENT

The undersigned, Dalia Elizabeth Vázquez, as International Production Director whose business address is Calle Hermosilla 48 1 dcha., Spain, hereby states that this company is qualified to translate documents from English to Spanish and from Spanish to English, and that it has the materials, equipment and qualified staff for executing this project under the most favorable conditions possible and in accordance with internal and international quality standards relating to technical translation.

I hereby declare that we have undertaken the English translation of the Spanish document Certificado de segregación.

We confirm that the translation submitted has been prepared by professional translator(s) who are conversant with the appropriate Source and Target languages and who have experience in legal and intellectual property translations. In addition, all documents have been checked before dispatch. We therefore verify that, to the best of our knowledge and belief, the translation is true and reflects the meaning of the original text.

Signed in Madrid, the 20 May 2021

Dalia Elizabeth Vázquez

Omniwork Traducciones S.L.

## CERTIFICADO DE SEGREGACIÓN

En Donostia - San Sebastián, a 1 de enero de 2020

Por medio de la presente ponemos en su conocimiento que el 15 de mayo de 2018, la Junta General Universal Ordinaria de Accienistas de GRAPHENEA, S.A., y el socio único de GRAPHENEA SEMICONDUCTOR, S.L.U. han aprobado la segregación (la "Segregación") de una unidad económica autónoma de GRAPHENEA, S.A. a favor de GRAPHENEA SEMICONDUCTOR, S.L.U. (la "Sociedad Beneficiaria").

A través de la Segregación, GRAPHENEA, S.A. traspasará en bloque, sin extinguirse, una parte de su patrimonio que se concreta en los elementos relacionados con el negocio desarrollado por GRAPHENEA, S.A. relativo a "las actividades de investigación, desarrollo, producción y venta de grafeno CVD –deposición química en fase de vapor-" (el "Patrimonio Segregado") a GRAPHENEA SEMICONDUCTOR, S.L.U., quien adquirirá, por sucesión universal, todos los derechos y obligaciones inherentes al Patrimonio Segregado, de acuerdo con lo dispuesto en el artículo 71 de la Ley 3/2009, de 3 de abril, sobre Modificaciones Estructurales de las Sociedades Mercantiles (la "LME").

La Segregación tiene como objetivo la racionalización y reordenación de las actividades de GRAPHENEA, S.A., con el objetivo de crear una estructura organizativa que permita gestionar de manera más independiente, eficiente y profesional las diferentes actividades económicas de la misma, atendiendo a las particularidades de cada una de estas actividades, y considerando que la Sociedad Beneficiaria tiene una operativa de trabajo diferente en cuanto al tipo de eliente al que atiende (tanto interno como externo), se considera conveniente la separación de estas actividades para favorecer un mayor enfoque en el negocio de la unidad de negocio segregada y de sus responsables, atendiendo a dichas peculiaridades para conseguir un incremento de rentabilidad de las diferentes áreas de negocio, separando los riesgos y responsabilidades y permitiendo una mejor financiación de sus actividades.

La sucesión de empresa anteriormente mencionada tiene efectos desde el 18 de julio de 2018 fecha de inscripción en el Registro Mercantil pertinente de la correspondiente escritura de Segregación.

De acuerdo con lo dispuesto en el proyecto de segregación las siguientes patentes han sido traspasadas a GRAPHENEA SEMICONDUCTOR SLU:

Denominación: Equipamiento y método para transferir automáticamente grafeno

monocapa a un sustrato

País: Alemania Nº Expediente: 2937313 DE

Denominación: Equipamiento y método para transferir automáticamente grafeno

monocapa a un sustrato

Bais: España Nº Expediente: 2937313 ES

Denominación: Equipamiento y método para transferir automáticamente grafeno

monocapa a un sustrato

Pais: Francia Nº Expediente: 2937313 FR

Denominación: Equipamiento y método para transferir automáticamente grafeno

monocapa a un sustrato

Pais: Reino Unido N° Expediente: 2937313 GB

Denominación: Method of manufacturing a graphene monolayer on insulating

substrates

Pais: Estados Unidos de América

Nº Expediente: 9.023.220 US

Denominación: Equipamiento y método para transferir automáticamente grafeno

monocapa a un sustrato

País: Estados Unidos de América

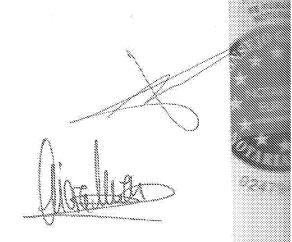
Nº Expediente: 14/809,672 US

Denominación: Method of manufacturing a graphene monolayer on insulating

substrates

Pais: República de Corea Nº Expediente:

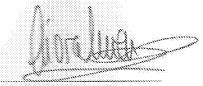
10-2013-0076520 KR



D. Jesús de la Fuenta Cosío

En representación de GRAPHENEA, S.A. CIF: A75022808

Domicilio: Pasco Mikeletegi 83 Donostia-San Sebastián 20009



D<sup>a</sup>, Alora Urien Ojinaga

En representación de GRAPHENEA SEMICONDUCTOR, S.L.U.

CIF: B75183202

Mileno

Meno.

Meno

Nino.

Minte

Domicilio: Pasco Mikeletegi 83 Domostia-San Sebastián 20009

TESTIMONIO DE LEGITIMACION DE FIRMAS:

Yo, ANA SABEL JAURRIETA ALEGRIA, Notario del Ilustre Colegio del País Vasco, con residencia en San Sebastián,

DOY FE: Que conozco y considero legítimas las firmas que anteceden de DON JESUS-ANGEL DE LA FUENTE COSIO, titular del D.N.I número 20205705-K y de DOÑA AIORA URIEN OJINAGA, titular del D.N.I número 16082421-Q. Anotado en el libro indicador con el número 62



PATENT REEL: 056298 FRAME: 0370

**RECORDED: 05/20/2021**