PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT6721041

Stylesheet Version v1.2

| SUBMISSION TYPE: | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT |

CONVEYING PARTY DATA

| Name | Execution Date |
|------------------|----------------|
| THOMAS KIPPS | 03/11/2019 |
| SUPING ZHANG | 05/20/2018 |
| EMANUELA M. GHIA | 03/07/2019 |

RECEIVING PARTY DATA

| Name: | THE REGENTS OF THE UNIVERSITY OF CALIFORNIA |
|-----------------|---|
| Street Address: | 1111 FRANKLIN ST., 5TH FLOOR |
| City: | OAKLAND |
| State/Country: | CALIFORNIA |
| Postal Code: | 94607-5200 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 16979469 |

CORRESPONDENCE DATA

Fax Number: (617)542-2241

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6175426000

Email: aahirano@mintz.com, IPDocketingBOS@mintz.com

Correspondent Name: MINTZ LEVIN

Address Line 1: ONE FINANCIAL CENTER

Address Line 4: BOSTON, MASSACHUSETTS 02111

| ATTORNEY DOCKET NUMBER: | 048537-605N01US |
|-------------------------|------------------|
| NAME OF SUBMITTER: | CLAUDIA HETZER |
| SIGNATURE: | /Claudia Hetzer/ |
| DATE SIGNED: | 05/20/2021 |

Total Attachments: 3

source=048537-605N01US_Assignment-US62641035#page1.tif source=048537-605N01US_Assignment-US62641035#page2.tif source=048537-605N01US_Assignment-US62641035#page3.tif

PATENT 506674226 REEL: 056304 FRAME: 0166

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

Thomas Kipps, Suping Zhang, and Emanuela M. Ghia

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin St. 5th Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements entitled

CIRMTUZUMAB TARGETS RORI+ BREAST CANCER STEM CELLS THAT ARE SELECTIVELY RESISTANT TO CANCER CHEMOTHERAPY

and which are found in U.S. Provisional Application Serial No. 62/641,035 filed on March 9, 2018, entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the night to claim priority and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, divisional, continuation-in-part, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters
Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the
University of California.

Page 1 of 2

PATENT REEL: 056304 FRAME: 0167

PATENT UCSD Ret. No. SD2018-253

| Executed this <u>U</u> day of <u>M</u> VM, 201 <u>9</u> | (A.L.) |
|---|---|
| | Thomas Kipps W RS 13175 Caminuto Mendeola |
| | SUNDUGO, 192130 City, State and Zig |
| | Citizonship <u>USA</u> |
| Executed this 20^{th} day of M_{\odot} 2018 | 5 |
| | Suping Zhang Residence Address: 3KST HEALTH SGENCE Dy Room 4311 |
| | Address La , 9293 - 0820 City, State and Zip |
| | Citizenship <u>Chinese</u> |
| Executed thisday of, 201_ | |
| | Emanuela M. Ghia Residence Address: |
| | Address |
| | City, Sustand Zip |
| | Citizenship |
| | |
| | |
| | |

Page 2 of 2

PATENT REEL: 056304 FRAME: 0168

PATENT UCSD Ref. No. SD2018-253

| Executed this 1 day of 1/1 | Thomas B Residence A 13115 Address AN D City, State a Citizenship: | Supposition of the state of the |
|----------------------------|---|--|
| Executed this day of | Suping Zit Residence A Address City, State an Citizenship: L, 2019 Emanuela Residence Ad Si O 8 Address SAN Di Si City, State an | d Zip M. Ghia dress: VIA KINO UNIT 4 |
| | Page 2 of 2 | |

PATENT REEL: 056304 FRAME: 0169

RECORDED: 05/20/2021