

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6721486

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
ARES CAPITAL CORPORATION	05/17/2021
RECEIVING PARTY DATA	
Name:	OPTIONSCITY SOFTWARE, INC.
Street Address:	211 EAST 43RD STREET
Internal Address:	5TH FLOOR
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10017
PROPERTY NUMBERS Total: 2	
Property Type	Number
Patent Number:	9846908
Application Number:	13739531
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212-728-8000
Email:	ipdept@willkie.com
Correspondent Name:	HEATHER SCHNEIDER
Address Line 1:	787 SEVENTH AVENUE
Address Line 4:	NEW YORK, NEW YORK 10019
ATTORNEY DOCKET NUMBER:	121753.00015
NAME OF SUBMITTER:	HEATHER SCHNEIDER
SIGNATURE:	/Heather Schneider/
DATE SIGNED:	05/20/2021
Total Attachments: 3	
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PATENT RELEASE AND REASSIGNMENT

THIS PATENT RELEASE AND REASSIGNMENT is made as of May 17, 2021, by Ares Capital Corporation (as successor-in-interest by assignment to Pacific Western Bank), in its capacity as collateral agent pursuant to the Security Agreement (as defined below) (in such capacity, "Collateral Agent"). Capitalized terms used but not defined herein shall have the same meanings assigned to such terms in the Security Agreement.

WITNESSETH:

WHEREAS, OptionsCity Software, Inc., a Delaware corporation ("Pledgor") and Collateral Agent were parties to that certain Patent Security Agreement, dated as of June 30, 2017 (the "Security Agreement"), pursuant to which Pledgor granted a security interest to Collateral Agent in certain patents ("Patents") and Patent Collateral (as defined below) as security for certain obligations owing by Pledgor to Collateral Agent, including the Patents set forth on Schedule 1 hereto;

WHEREAS, the Security Agreement granted to Pacific Western Bank was recorded by the Patent Division of the United States Patent and Trademark Office on June 30, 2017 at Reel 042879, Frame 0978;

WHEREAS, the Assignment of Intellectual Property Security Agreement granted to Ares Capital Corporation was recorded by the Patent Division of the United States Patent and Trademark Office on April 6, 2018 at Reel 045849, Frame 0822; and

WHEREAS, Pledgor has satisfied and fulfilled all of its obligations to release the Collateral Agent's security interest in the Patents and Patent Collateral.

NOW THEREFORE, for good and valuable consideration, receipt and sufficiency of which are hereby acknowledged by the parties to the Security Agreement:

1. Collateral Agent hereby, on behalf of itself and the Secured Parties, terminates the Security Agreement and releases its Lien on and Security interest in and to all of Pledgor's right, title and interest in, to and under all the following, in each case excluding Excluded Property (collectively the "Patent Collateral"):

(a) all United States Patents and Patent applications of the Pledgor listed on Schedule 1 attached hereto; and

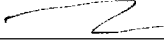
(b) all Proceeds of any and all of the foregoing.

2. Collateral Agent hereby reassigns, grants and conveys to Pledgor, without any representation, recourse or undertaking by Collateral Agent, any and all right, title and interest that Collateral Agent or any Secured Party may have in and to the Patents and the Patent Collateral, together with the goodwill of the business symbolized thereby.

3. Collateral Agent hereby agrees to duly execute, acknowledge, procure and deliver any further documents and to do such other acts as may be reasonably necessary to effect the release of the security interest contemplated hereby.

IN WITNESS WHEREOF, Collateral Agent has caused this Patent Release and Reassignment to be executed as of the day and year first above written.

ARES CAPITAL CORPORATION, as
Collateral Agent

By: 
Name: Scott Lem
Title: Authorized Signatory

SCHEDULE 1
to
PATENT SECURITY AGREEMENT

UNITED STATES PATENTS AND PATENT APPLICATIONS

United States Patents:

OWNER	PATENT NUMBER	REGISTRATION DATE	TITLE
OptionsCity Software, Inc.	9846908	12/19/2017	SMART COMPLETE OPTION STRATEGY DISPLAY

United States Patent Applications:

OWNER	APPLICATION SERIAL NUMBER	APPLICATION DATE	TITLE
OptionsCity Software, Inc.	13/739,531	1/11/2013	EDGE DETERMINATION DEVICE

Patent Release and Reassignment (Vela)

PATENT

RECORDED: 05/20/2021

REEL: 056306 FRAME: 0567