# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
JAMES PHILIP O'CONNELL	07/01/2020
ALASTAIR LAWSON	07/01/2020
JOHN ROBERT PORTER	07/01/2020
DANIEL JOHN LIGHTWOOD	07/01/2020
REBECCA JAYNE MUNRO	07/01/2020

#### **RECEIVING PARTY DATA**

Name:	UCB BIOPHARMA SRL
Street Address:	ALLÉE DE LA RECHERCHE 60
City:	BRUSSELS
State/Country:	BELGIUM
Postal Code:	1070

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17325036

### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	1089-0006US2	
NAME OF SUBMITTER:	CYNTHIA M. BOUCHEZ	
SIGNATURE:	/Cynthia M. Bouchez/	
DATE SIGNED:	05/21/2021	
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**Total Attachments: 15** 

PATENT REEL: 056309 FRAME: 0123

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PATENT REEL: 056309 FRAME: 0124 THIS DEED is made the

1 day of July

2020

# BETWEEN:

- (1) JAMES PHILIP O'CONNELL, a British citizen; ALASTAIR LAWSON, a British citizen; JOHN ROBERT PORTER, a British citizen; DANIEL JOHN LIGHTWOOD, a British citizen; REBECCA JAYNE WOOTTON, a British citizen, all c/o IPD, UCB Celltech, 208 Bath Road, Slough, Berkshire, SLI 3WE, United Kingdom (hereinafter referred to as "the Celltech R&D Inventors"); and TRACY LYNN ARAKAKI, a US citizen, c/o Beryllium, 7869 N.E. Day Road West, Bainbridge Island, WA 98110, United States of America (hereinafter referred to as "a Beryllium Inventor") (the Celltech R&D Inventor and the Beryllium Inventors hereinafter collectively referred to as "the Inventors" which expression where the context so allows shall include their personal representatives and assigns);
- (2) CELLTECH R&D LIMITED, a company incorporated under the laws of England and Wales and having its registered office at 208 Bath Road, Slough, Berkshire, SL1 3WE, United Kingdom (hereinafter called "Celltech R&D" which expression where the context so allows shall include its successors and assigns);
- UCB BIOSCIENCES, INC., a US corporation organized and existing under the laws of Delaware having its principal place of business at 8010 Arco Corporate Drive, Raleigh, NC 27617, USA and successor by merger to BERYLLIUM DISCOVERY CORPORATION (formerly known as deCODE biostructures, Inc. and Emerald Biostructures, Inc.), a US corporation organised under the laws of the State of Washington and having its principal office at 7869 NE Day Road West, Bainbridge Island, WA 98110, United States of America (hereinafter called "Beryllium" which

expression where the context so allows shall include its successors and assigns); and

(4) UCB BIOPHARMA SRL, a Belgian body corporate (formerly known as UCB Biopharma SPRL), having its registered office at Allée de la Recherche 60, B-1070 Brussels, Belgium (hereinafter called "UCB Biopharma" which expression where the context so allows shall include its successors and assigns).

## WHEREAS:

- (A) On April 1, 2019, BERYLLIUM DISCOVERY CORPORATION merged with and into UCB Biosciences, Inc., a US corporation organized and existing under the laws of Delaware having its principal place of business at 8010 Arco Corporate Drive, Raleigh, NC 27617, USA and UCB Biosciences, Inc. succeeded to all of the assets, rights, powers and property of Beryllium in accordance with Section 259 of the General Corporate Law of the State of Delaware.
- (B) On 2 December 2019 the new Belgian Code of Companies and Associations became effective and imposed a mandatory change of the name of the legal form of the entities from "société privée à responsabilité limitée", abbreviated "SPRL" to société à responsabilité limitée", abbreviated "SRL". This mandatory change has no other legal consequences. As a result of such change, the legal form UCB Biopharma SPRL is now referred to as UCB Biopharma SRL.
- (C) The Inventors claim to be joint inventors of an invention entitled "ANTIBODY EPITOPE" ("the Invention") full particulars of which have been communicated by them to Celltech R&D or Beryllium respectively and which they are informed is or is intended to be the subject of one or more applications for patent protection filed in the name of UCB Biopharma as identified in the Schedule hereto and in respect of which they have (as they hereby acknowledge) approved a specification as submitted to them by UCB Biopharma's Patent Attorneys, J A Kemp.
- (D) The Celltech R&D Inventors made their contribution to the Invention while in the employment of Celltech R&D either in the course of their normal duties or in the course of duties

falling outside their normal duties but specifically assigned to them and the circumstances in either case were such that an invention might reasonably be expected to result from the carrying out of their duties or in the course of their duties and because of the nature of their duties and the particular responsibilities arising from the nature of their duties they had a special obligation to further the interests of Celltech R&D's undertaking and accordingly all their right title share and interest in and to the Invention is to be taken (as between each of the Celltech R&D Inventors and Celltech R&D) to belong to Celltech R&D for the purposes of the Patents Act 1977 and for all other purposes of whatsoever nature.

- (E) By reason of an Employee and IP Services Agreement dated 27 May 2005 between Celltech R&D and UCB S.A.; a Contribution of Assets made by UCB S.A. to UCB Pharma S.A. through a Notarial Deed dated 20 December 2006 and a Deed of Novation dated 20 December 2006 between Celltech R&D, UCB S.A. and UCB Pharma S.A.; and a Contribution of Assets made by UCB Pharma S.A. to UCB Biopharma through a Notarial Deed and its annexes dated 30 April 2014, it is agreed that all Celltech R&D's right title share and interest in and to the Invention including the full right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention both in the United Kingdom and in all other countries of the world belongs to UCB Biopharma.
- (F) Tracy Lynn Arakaki made her contribution to the Invention while in the employment of Emerald BioStructures, Inc., formerly deCODE biostructures, Inc. Their rights belong, by virtue of employment, to Emerald BioStructures, Inc. Pursuant to research agreements between deCODE chemistry Inc., deCODE biostructures, Inc., Emerald Biostructures, Inc. and UCB Pharma S.A., Emerald Biostructures, Inc.'s rights belong to UCB Pharma S.A [7th January 2009].
- (G) Pursuant to consecutive research agreements between Beryllium of the one part and UCB Pharma S.A. of the other part it is agreed that all Beryllium's right title share and interest in and to the Invention including the right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention both in the United Kingdom and in all other countries of

the world belongs to UCB Pharma S.A. Furthermore, pursuant to a Contribution of Assets made by UCB Pharma S.A. to UCB Biopharma through a Notarial Deed and its annexes dated 30 April 2014, it is agreed that all UCB Pharma S.A.'s right title share and interest in and to the Invention including the full right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention both in the United Kingdom and in all other countries of the world belongs to UCB Biopharma.

(H) The Celltech R&D Inventors have agreed at the request of Celltech R&D; the Beryllium Inventor has agreed at the request of Beryllium, and Celltech R&D and Beryllium have agreed at the request of UCB Biopharma to execute this Deed on the terms herein contained.

## NOW THIS DEED WITNESSES as follows:

1. In pursuance of the said agreement and in consideration of the premises the Inventors, Celltech R&D and Beryllium HEREBY JOINTLY AND SEVERALLY and to the extent of their respective shares and interests therein ASSIGN and CONFIRM unto UCB Biopharma ALL THOSE their respective right title share and interest in and to the Invention and the patent applications identified in the Schedule hereto and all patents granted thereon AND in any and all applications that claim the benefit of the patent applications identified in the Schedule, including non-provisional applications, continuing (continuation, divisional, or continuation-in-part) applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed in the Schedule, to the full extent of the term or terms for which Letters Patents issue, AND the full and exclusive benefit thereof TOGETHER WITH all rights of action and powers accruing or belonging to the Inventors, Celltech R&D or Beryllium AND the full right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention both in the United Kingdom and in all other countries of the world including the benefit of any priority date and the right to make applications whether under any

national law or under the International Convention for the Protection of Industrial Property or under any other applicable convention or treaty TO HOLD the same unto UCB

Biopharma absolutely.

2. The Inventors, Celltech R&D and Beryllium HEREBY JOINTLY and SEVERALLY

COVENANT and AGREE with UCB Biopharma as follows: -

(a) that they will at any time hereafter (whether or not the Inventors remain in the employ

of Celltech R&D or Beryllium as the case may be) and from time to time at the request of

UCB Biopharma promptly execute and sign all such instruments applications and

documents and do all such acts and things as may be required by UCB Biopharma to enable

UCB Biopharma to enjoy the full benefit of the rights hereby confirmed and assigned

including applying for and obtaining a patent or patents or other similar forms of protection

in respect of the Invention both in the United Kingdom and in all other countries of the

world and vesting the same in UCB Biopharma or its nominees when obtained;

(b) that they have not assigned or agreed to assign to any person firm or company other

than UCB Biopharma or otherwise encumbered and will not hereafter assign or agree to

assign to any person firm or company or otherwise encumber the Invention or the said

patent applications or the right to apply for or obtain a patent or patents or other similar

forms of protection in respect thereof in the United Kingdom or elsewhere throughout the

world;

(c) that they will give to UCB Biopharma all such information in their possession or in

their power relating to the Invention and the method of using or employing the same as

UCB Biopharma shall require; and

(d) that they have not disclosed and will not hereafter disclose the Invention or any

information whatsoever concerning the same to any person firm or company other than Celltech R&D, Beryllium, UCB Biopharma or UCB Biopharma's Patent Attorneys, J A Kemp.

3. This document shall be governed by and construed in accordance with English Law.

<u>IN WITNESS</u> whereof this Instrument has been executed by the parties as a Deed in the presence of the persons mentioned below the day and year first before written.

## **SCHEDULE**

Patent Application	Application Number	Application Date	grant date or number
United Kingdom	1510758.4	18 June 2015	
International	PCT/EP2015/074532	22 October 2015	
United States	15/736,558	22 October 2015	

SIGNED and DELIVERED as his Deed	)
by the said PHILIP JAMES O'CONNELL	)
in the presence of: -	) Juiep Donne y
Witness Signature	·
Witness' Name STEPHEN RAPECKS	
Address 2C KIMBERS DRIVE	
BURNHAM BUCKINGHAMSHIR	E
SL1 8JE	
Occupation SCIENTIST	

SIGNED and DELIVERED as his Deod	
by the said ALASTAIR LAWSON	
in the presence of: -	
A con a second and a second	****************
Witness Signature	
Witness' Name REBCCCA, CPRBCTT	
Address (1939) \$450.2. [1984]	
CHERUTORA	
DC.ARCHOLD	
OccupationFAS-2158	

SIGNED and DELIVERED as his Deed by the said JOHN ROBERT PORTER in the presence of: -

3 CRAS

Witness Signatur¢..

Address S. FARAY RACE.

CHINNOR 0X39 4AD

Occupation . NHS MANKER ,

$\underline{SIGNED}$ and $\underline{DELIVERED}$ as his Deed by	)
the said DANIEL JOHN LIGHTWOOD	)
in the presence of: -	)) (1)
Witness Signature JMW ray Witness' Name JANINE MURRAY Address 216 BATH ROAD, SLOUGH, SU 3ME	
Occupation. RESEARCH SCRENTIST	

SIGNED and DELIVERED as her Deed by	)	
the said REBECCA JAYNE WOOTTON	)	
in the presence of: -	)	BINA
Witness Signature S. C. C.		MARRIED NAME: REBECCA JAYNE MUNRO
Witness' Name Simon Ticks	٩	
Address 8 Mill Reof Clos		
Baks		
Occupation Scientist		

SIGNED and DELIVERED as her Deed	)
by the said TRACY LYNN ARAKAKI	)
in the presence of: -	)
Witness Signature	
Witness' Name	
Address	
Occupation	

SIGNED and DELIVERED as a Deed by CELLTECH R&D LIMITED acting by a Director in the presence of: -

; Yorkata

Witness Signature.....

Witness' name VICAL SHAY.

Address ... 200 ... 8019 ... 600 ...

Slavka Su zve

Occupation CHARLESTA AUGUSTAN

/CHARTEREN TAN ADMICE

Yogesh Khatri

Director

SIGNED and DELIVERED as a Deed by	)	
UCB BIOSCIENCES, INC., successor by merger	)	
to BERYLLIUM DISCOVERY CORPORATION	)	
by a person acting under the authority of such	)	morning from the state of the s
company in accordance with the laws of the state	)	The state of the s
in which it is incorporated	)	from the second
	***	Jennifer L. Trevett

Title: Head of Legal US & Global Immunology

Witness Signature 4/14/14/19
Witness' Name THOMAS TRENET

Address SSRI CLOB VALLEY DX

AMERICANSTA, GA

Cocupation REPORTER

SIGNED and DELIVERED as a Deed by UCB BIOPHARMA SRL by a person acting under the authority of such company in accordance with the laws of the territory in which it is incorporated

Stéphane DROUIN

Title: Proxy and Legal Representative .....

Witness Signature...

SS ......UCB Blopharms SRL Allés de la Recherche, SO B-1070 Brussels - Belgium

Park Company