

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6730961

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the TYPOGRAPHICAL ERRORS IN THE NAME OF THE ASSIGNEE ON THE ASSIGNMENT DOCUMENT previously recorded on Reel 013431 Frame 0136. Assignor(s) hereby confirms the NAME OF THE ASSIGNEE IS AMERICAN STOCK EXCHANGE LLC.
CONVEYING PARTY DATA	
Name	Execution Date
CLIFFORD WEBER	09/02/2002
CAROL ALEXANDER	10/09/2002
CHARLES A. BAKER	09/04/2002
RECEIVING PARTY DATA	
Name:	AMERICAN STOCK EXCHANGE LLC
Street Address:	86 TRINITY PLACE
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10006-1872
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	7571130
CORRESPONDENCE DATA	
Fax Number:	(215)656-3301
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	215-656-2446
Email:	pto.phil@dlapiper.com
Correspondent Name:	RICHARD L. CRUZ, DLA PIPER LLP (US)
Address Line 1:	1650 MARKET STREET, SUITE 5000
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19103
ATTORNEY DOCKET NUMBER:	MKT-14-1087
NAME OF SUBMITTER:	NANCY NUNEZ
SIGNATURE:	/NN/
DATE SIGNED:	05/26/2021
Total Attachments: 10	
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Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): Clifford Weber, Carol Alexander and Charles A. Baker Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The American Stock Exchange, LLC 86 Trinity Place New York, NY 10006-1872 United States of America Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 9/3/02; 9/4/02; 10/9/02	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): 10/174,505 B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>	
Denis G. Maloney Reg. No. 29,670 Name of Person Signing	 Signature 10/21/02 Date
Total number of pages including coversheet, attachments and document: 10	

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

October 21, 2002 Marie Collins
Date of Deposit Signature Typed Name of Person Signing Certificate

PATENT
REEL: 056396 FRAME: 0751

ASSIGNMENT

For valuable consideration, we, Clifford Weber, of Basking Ridge, New Jersey, Carol Alexander, of Purley, Surrey, United Kingdom, Charles A. Baker, of Wayne, Pennsylvania, hereby assign to:

The American Stock Exchange, LLC, a Delaware corporation having a place of business at:

86 Trinity Place
New York, NY 10006-1872
United States of America; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER PORTFOLIO BASKET PRODUCTS, filed June 17, 2002, and assigned U.S. Serial Number 10/174,505, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at American Stock Exchange
this 3 day of September, 2002
Clifford Weber L.S.
Clifford Weber

State of New York :
County of Richmond : ss.

Before me this 3 day of September, 2002, personally
appeared Clifford Weber known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Arlene M. Collins-Day
Notary Public

My Commission Expires:

[Notary's Seal Here]

ARLENE M. COLLINS-DAY
Notary Public, State of New York
No. 01C4707746
Certificate filed in Richmond County
Commission Expires April 30, 2003

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

Carol Alexander L.S.

State of _____ :
County of _____ : ss.

Before me this _____ day of _____, 20_____, personally
appeared Carol Alexander known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

Charles A. Baker L.S.

State of _____ :
: ss.
County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared Charles A. Baker known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

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ASSIGNMENT

For valuable consideration, we, Clifford Weber, of Basking Ridge, New Jersey, Carol Alexander, of Purley, Surrey, United Kingdom, Charles A. Baker, of Wayne, Pennsylvania, hereby assign to:

The American Stock Exchange, LLC, a Delaware corporation having a place of business at:
86 Trinity Place
New York, NY 10006-1872
United States of America; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER PORTFOLIO BASKET PRODUCTS, filed June 17, 2002, and assigned U.S. Serial Number 10/174,505, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

Clifford Weber L.S.

State of _____ :
: ss.
County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared Clifford Weber known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

Carol Alexander L.S.

State of _____ :
: ss.
County of _____ :

Before me this _____ day of _____, 20_____, personally appeared Carol Alexander known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this 4th day of September, 20 02
Charles A. Baker L.S.
Charles A. Baker

State of Pennsylvania :
: ss.
County of Chester :

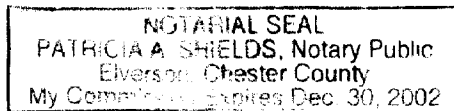
Before me this 4th day of September, 20 02, personally appeared Charles A. Baker known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Patricia A. Shields
Notary Public

My Commission Expires:

[Notary's Seal Here]

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ASSIGNMENT

For valuable consideration, we, Clifford Weber, of Basking Ridge, New Jersey, Carol Alexander, of Purley, Surrey, United Kingdom, Charles A. Baker, of Wayne, Pennsylvania, hereby assign to:

The American Stock Exchange, LLC, a Delaware corporation having a place of business at:
86 Trinity Place
New York, NY 10006-1872
United States of America; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER PORTFOLIO BASKET PRODUCTS, filed June 17, 2002, and assigned U.S. Serial Number 10/174,505, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at London, England
this 9th day of October, 2002

Clifford Weber L.S.

State of _____ :

: ss.
County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared Clifford Weber known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at London, England
this 9th day of October, 2002

Carol Alexander L.S.

State of England :

: ss.
County of Greater London

Before me this 9th day of October, 2002, personally appeared Carol Alexander ^{identified} known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that ~~he~~ ^{she} executed the same as ~~his~~ ^{her} free act and deed for the purposes therein contained.

*ID GBR passport
037910667
Carol Olivia Alexander
40 A Russell Hill
Pudsey, WY, CB82 5A.*

HANS JOSEPH HARTWIG
NOTARY PUBLIC
COMMISSIONER FOR OATHS
CROYDON - LONDON
ENGLAND

[Signature]
Notary Public

My Commission Expires: does not expire

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20____

Charles A. Baker

U.S.

State of _____ :

: ss.

County of _____ :

Before me this _____ day of _____, 20____, personally appeared Charles A. Baker known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

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