

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6738992

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
YUICHIRO SUZUKI	01/20/2021
SHEN WANG	03/31/2021
TAKAYOSHI ITO	12/28/2020
TORU OZONE	12/28/2020
JIN SATO	12/28/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	SONY CORPORATION
<b>Street Address:</b>	1-7-1 KONAN, MINATO-KU
<b>City:</b>	TOKYO
<b>State/Country:</b>	JAPAN
<b>Postal Code:</b>	108-0075
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16755160
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	5713766333
<b>Email:</b>	anaquaddocketing@xsensus.com
<b>Correspondent Name:</b>	XSENSUS, LLP
<b>Address Line 1:</b>	200 DAINGERFIELD ROAD
<b>Address Line 2:</b>	SUITE 201
<b>Address Line 4:</b>	ALEXANDRIA, VIRGINIA 22314
<b>ATTORNEY DOCKET NUMBER:</b>	13927US01
<b>NAME OF SUBMITTER:</b>	KELLY ELAM
<b>SIGNATURE:</b>	/Kelly Elam/
<b>DATE SIGNED:</b>	06/01/2021
<b>Total Attachments: 7</b>	

source=Assignments#page1.tif  
source=Assignments#page2.tif  
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source=Assignments#page4.tif  
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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE**

<b>Title of Invention</b>	ANTENNA DEVICE
<b>Docket Number</b>	SYP326622US01

As the below named inventor, I hereby declare that:

This declaration is directed to: ☐ The attached application, or  
☒ United States application or PCT international application number  
PCT/JP2018/025551 filed on Jul 05 2018.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WHEREAS, Sony Corporation

hereinafter referred to as "ASSIGNEE") having a place of business at:  
1-7-1 Konan, Minato-ku, Tokyo, 108-0075, Japan

desires to acquire the entire right, title and interest in said invention and the above-identified United States patent application;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I hereby assign to the ASSIGNEE, the entire right, title and interest in said invention and in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, and reissues, extensions and renewals of Letters Patent granted thereon, and in all corresponding patent applications filed in countries foreign to the United States ("foreign countries") and corresponding international patent applications, and in all Letters Patents issuing on any such patent applications in the United States and foreign countries;

I hereby assign to the ASSIGNEE the right to file patent applications in foreign countries on said invention in its own name and the right to claim priority to the above-identified United States patent application under the terms of the International Convention and any other relevant treaties;

I hereby authorize and request the United States Patent & Trademark Office and officials in patent offices in foreign countries to issue any and all of said Letters Patent to the ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of the ASSIGNEE, its successors, assigns, and legal representatives, to the full end of the term for which said Letters Patent may be granted; and

Further, I agree that, without further consideration, I will communicate to the ASSIGNEE any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal and reissue applications, execute all necessary assignment papers to cause said Letters Patent to be issued to the ASSIGNEE, make all rightful oaths, and, perform all lawful acts to aid the ASSIGNEE, its successors and assigns, to obtain and enforce Letters Patent for said invention in the United States and foreign countries.

<b>LEGAL NAME OF INVENTOR</b>	
Inventor: <u>Yuichiro Suzuki</u>	Date: <u>2021/1/20</u>
Signature: <u>/ Yuichiro Suzuki /</u>	

Doc code: Oath  
Document Description: Oath or declaration filed

13927US01  
SYP326622US01

PTO/AIA/02 (07-13)

Approved for use through 11/30/2020. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY  
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	ANTENNA DEVICE		
This statement is directed to:			
<input type="checkbox"/> The attached application, OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>16/755,160</u> filed on <u>April 10, 2020</u>			
<b>LEGAL NAME of inventor to whom this substitute statement applies:</b>			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Shen Wang			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Tokyo		JP	
Mailing Address (except for a deceased or legally incapacitated inventor):			
c/o SONY CORPORATION, 1-7-1 Konan, Minato-ku			
City	State	Zip	Country
Tokyo		108-0075	JP
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only), <input type="checkbox"/> Assignee, <input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign, <input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or <input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased.
- ☐ Inventor is under legal incapacity.
- ☒ Inventor cannot be found or reached after diligent effort, or
- ☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

## WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

## PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Masayoshi Doshida**

March 31, 2021  
Date (Optional):

Signature: 

## APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer.

Sony Corporation

Applicant Name:

Title of Person Executing This Substitute Statement: General Manager, Planning & Control Department, Intellectual Property Division

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Tokyo** State Country **Japan**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

1-7-1 Konan, Minato-ku

City **Tokyo** State Zip **108-0075** Country **Japan**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Employment Assignment /  
Letter of Consent

2017/09/13

4-12-3, Higashi-Shinagawa、 Shinagawa-ku, Tokyo,140-0002 JAPAN

**Sony Mobile Communications Inc.**

Serial Number : PJ17 0169JP1

Title of Invention (Provisional): **ANTENNA DEVICE**

I, undermentioned INVENTOR, hereby certify that I have agreed the all of rights to obtain the registration of patents, utility model rights and design rights in Japan and overseas countries、 with respect to the above invention, vest in the above mentioned corporation.

ASSIGNOR

Address :4-12-3, Higashi-Shinagawa、 Shinagawa-ku, Tokyo,140-0002  
JAPAN

Sony Mobile Communications Inc.

Name : Shen Wang

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Further, I agree that, without further consideration, I will communicate to the ASSIGNEE any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal and reissue applications, execute all necessary assignment papers to cause said Letters Patent to be issued to the ASSIGNEE, make all rightful oaths, and, perform all lawful acts to aid the ASSIGNEE, its successors and assigns, to obtain and enforce Letters Patent for said invention in the United States and foreign countries.

<b>LEGAL NAME OF INVENTOR</b>	
Inventor: <u>Takayoshi Ito</u>	Date: <u>December 28, 2020</u>
Signature: <u>/ Takayoshi Ito /</u>	

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LEGAL NAME OF INVENTOR

Inventor: Toru Ozone

Date: December 28, 2020

Signature: / Toru Ozone /



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<b>LEGAL NAME OF INVENTOR</b>	
Inventor: <u>Jin Sato</u>	Date: <u>December 28, 2020</u>
Signature: <u>/ Jin Sato /</u>	