

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6749012

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CHANGE OF NAME	
CONVEYING PARTY DATA		
	Name	Execution Date
	E*TRADE FINANCIAL, LLC	12/31/2020
RECEIVING PARTY DATA		
Name:	E*TRADE FINANCIAL HOLDINGS, LLC	
Street Address:	1585 BROADWAY	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10036	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	17332333
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	3122910860	
Email:	docket-us@lsk-iplaw.com	
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC	
Address Line 1:	20 SOUTH CLARK STREET	
Address Line 2:	SUITE 600	
Address Line 4:	CHICAGO, ILLINOIS 60603	
ATTORNEY DOCKET NUMBER:	010202-12006H-US	
NAME OF SUBMITTER:	KYLE BRYAN	
SIGNATURE:	/Kyle Bryan/	
DATE SIGNED:	06/07/2021	
Total Attachments: 4		
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source=change of name#page4.tif		

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"E*TRADE FINANCIAL, LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "E*TRADE FINANCIAL HOLDINGS, LLC" UNDER THE NAME OF "E*TRADE FINANCIAL HOLDINGS, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2020, AT 8:13 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2020 AT 11:59 O'CLOCK P.M.



A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

3349863 8100M
SR# 20208804317

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 204454357
Date: 12-31-20

PATENT
REEL: 056460 FRAME: 0089

State of Delaware
 Secretary of State
 Division of Corporations
 Delivered 08:13 AM 12/31/2020
 FILED 08:13 AM 12/31/2020
 SR 20208804317 - File Number 3349863

CERTIFICATE OF MERGER

MERGING

E*TRADE FINANCIAL, LLC

WITH AND INTO

E*TRADE FINANCIAL HOLDINGS, LLC

Pursuant to the provisions of
Section 18-209 of the Delaware Limited Liability Company Act

December 31, 2020

E*TRADE Financial Holdings, LLC, a Delaware limited liability company (“**ETFH**”), which desires to merge with E*TRADE Financial, LLC, a Delaware limited liability company (“**ETF**,” and, together with ETFH, the “**Constituent Companies**”), hereby certifies that:

FIRST: The name, state of formation or organization and type of entity of each of the Constituent Companies to the merger are as follows:

<u>Name</u>	<u>State of Formation or Organization</u>	<u>Type of Entity</u>
E*TRADE Financial Holdings, LLC	Delaware	Limited Liability Company
E*TRADE Financial, LLC	Delaware	Limited Liability Company

SECOND: The Agreement and Plan of Merger (as it may be amended, restated, modified or supplemented from time to time, the “**Merger Agreement**”) dated as of the date this Certificate of Merger is filed with the Secretary of State of the State of Delaware and entered into by and among the Constituent Companies has been approved, adopted, certified, executed and acknowledged by each of the Constituent Companies in accordance with Section 18-209 of the Delaware Limited Liability Company Act.

THIRD: ETFH shall be the surviving company of the merger, and the name of the surviving company shall be “E*TRADE Financial Holdings, LLC”.

FOURTH: The merger shall be effective as of 11:59 PM on December 31, 2020.

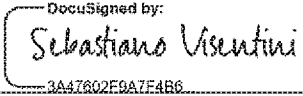
FIFTH: The executed Merger Agreement is on file at the place of business of ETFH at 1585 Broadway, New York, New York 10036.

SIXTH: A copy of the Merger Agreement will be furnished by the surviving company, on request and without cost, to any member or equityholder of the Constituent Companies.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Merger as of the date first set forth above.

E*TRADE FINANCIAL HOLDINGS, LLC

By: 
3A47602F9A7F4B6
Name: Sebastiano Visentini
Title: Vice President

[Signature Page to Certificate of Merger – ETF into ETFH]