#### 506704517 06/08/2021

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6751336

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JENNIFER O'HARA LAUCHLE	09/11/2020
SANDRA MILAN	09/11/2020
MELANIE CAROL SMITT	10/16/2020
MARJORIE C. GREEN	09/22/2020

### **RECEIVING PARTY DATA**

Name:	GENENTECH, INC.
Street Address:	1 DNA WAY
City:	SOUTH SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94080-4990

### **PROPERTY NUMBERS Total: 3**

Property Type	Number	
Application Number:	63023501	
Application Number:	17316455	
PCT Number:	US2021031491	

### **CORRESPONDENCE DATA**

Fax Number: (650)952-9881

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 650-225-2497 Email: nikkin@gene.com **Correspondent Name: NIKKI NOMURA** Address Line 1: 1 DNA WAY, MS-49 Address Line 2: C/O GENENTECH, INC.

Address Line 4: SOUTH SAN FRANCISCO, CALIFORNIA 94080

ATTORNEY DOCKET NUMBER:	P36112-US/-US-1/-WO
NAME OF SUBMITTER:	NIKKI NOMURA
SIGNATURE:	/Nikki NOMURA/
DATE SIGNED:	06/08/2021

## **Total Attachments: 20** source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page1.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page2.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page3.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page4.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page5.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page6.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page7.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page8.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page9.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page10.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page11.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page12.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page13.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page14.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page15.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page16.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page17.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page18.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page19.tif source=P36112 - Executed Assignment I-As from GNE Inventors to GNE#page20.tif

### CONFIRMATORY ASSIGNMENT

WHEREAS, Jennifer O'Hara LAUCHLE ("ASSIGNOR"), has invented new and useful invention(s) as set forth in the applications listed in Exhibit A (including any updated Exhibit A as provided herein), to this Confirmatory Assignment (the "INVENTION"), for which application(s) has been filed as identified on Exhibit A (including any updated Exhibit A as provided herein); and

VHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, United States of America, ("GENENTECH"), is desirous of confirming its ownership interest in and to the INVENTION and in and to the applications and patents to be obtained therefor, or, if ASSIGNOR has not assigned his or her interest in the INVENTION to GENENTECH pursuant to a prior agreement with GENENTECH, acquiring an interest in and to the INVENTION, and in and to the applications and patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

For good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR confirms that prior to, and no later than the earliest filing date of the applications listed in Exhibit A (including any updated Exhibit A as provided herein), ASSIGNOR had assigned to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (Including any updated Exhibit A as provided herein) and any priority application(s) from which that application claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or re-examination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

To the extent that ASSIGNOR has not already assigned all of his or her rights, title and interest in the INVENTION to GENENTECH via a prior agreement with GENENTECH, then for good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR does hereby assign to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which those application(s) claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or re-examination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

The ASSIGNOR agrees and authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR. ASSIGNOR agrees and acknowledges that such updated Exhibit A is an Exhibit A under this Confirmatory Assignment.

The terms and covenants of this Confirmatory Assignment shall inure to the benefit of GENENTECH, its successors, assigns or delegates, and shall be binding upon the ASSIGNOR, his or her respective heirs, successors and assigns.

1

This Confirmatory Assignment shall be governed by the substantial laws of California (excluding its conflicts of law principles).

IN WITNESS WHEREOF on this date of <u>11 September 2020</u>, the ASSIGNOR and GENENTECH have executed this Confirmatory Assignment and accepted the terms thereof:

Jennifer Ø'Hara LAUCHLÆ

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

GENENTECH, INC.

Jessica R. Colantonio
Assistant General Counsel

Genentech, Inc.

**Authorized Corporate Signatory** 

### **EXHIBIT A TO CONFIRMATORY ASSIGNMENT\***

Docket No.	Title	8.2	Serial Number	Filing Date
P36112-US	USING COMB	OF BREAST CANCER BINATION THERAPIES I AN A SERD AND A BITOR	63/023501	12 May 2020

<sup>\*</sup>As agreed in this Confirmatory Assignment, ASSIGNOR hereby authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR.

ASSIGNMENT I-A Family No.: P36112 Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

### **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Docket No.	Title	Serial Number	Filing Date
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ASSIGNMENT I-A Family No.: P36112 Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

### **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Docket No.	Title	Serial Number	Filing Date
P36112-US	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020
P36112-TW	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	110116831	10 May 2021
P36112-US-1	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	17/316455	10 May 2021
P36112-WO	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	PCT/US2021/031491	10 May 2021
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Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

#### CONFIRMATORY ASSIGNMENT

WHEREAS, <u>Sandra MILAN</u> ("<u>ASSIGNOR</u>"), has invented new and useful invention(s) as set forth in the applications listed in Exhibit A (including any updated Exhibit A as provided herein), to this Confirmatory Assignment (the "<u>INVENTION</u>"), for which application(s) has been filed as identified on <u>Exhibit A</u> (including any updated Exhibit A as provided herein); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having a place of business at 1 DNA Way, South San Francisco, Callfornia 94080-4990, United States of America, ("GENENTECH"), is desirous of confirming its ownership interest in and to the INVENTION and in and to the applications and patents to be obtained therefor, or, if ASSIGNOR has not assigned his or her interest in the INVENTION to GENENTECH pursuant to a prior agreement with GENENTECH, acquiring an interest in and to the INVENTION, and in and to the applications and patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

For good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR confirms that prior to, and no later than the earliest filling date of the applications listed in Exhibit A (including any updated Exhibit A as provided herein), ASSIGNOR had assigned to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which that application claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or reexamination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

To the extent that ASSIGNOR has not already assigned all of his or her rights, title and interest in the INVENTION to GENENTECH via a prior agreement with GENENTECH, then for good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR does hereby assign to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which those application(s) claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or re-examination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

The ASSIGNOR agrees and authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR. ASSIGNOR agrees and acknowledges that such updated Exhibit A is an Exhibit A under this Confirmatory Assignment.

The terms and covenants of this Confirmatory Assignment shall inure to the benefit of GENENTECH, its successors, assigns or delegates, and shall be binding upon the ASSIGNOR, his or her respective heirs, successors and assigns.

1

This Confirmatory Assignment shall be governed by the substantial laws of California (excluding its conflicts of law principles).

IN WITNESS WHEREOF on this date of 11 September 2020, the ASSIGNOR and GENENTECH have executed this Confirmatory Assignment and accepted the terms thereof:

Sandra MILAN

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

GENENTECH, INC.

Jéssica R. Colantonio
Assistant General Counsel

Genentech, Inc.

**Authorized Corporate Signatory** 

# EXHIBIT A TO CONFIRMATORY ASSIGNMENT\*

Docket No.	Title	Serial Number	Filing Date
P36112-US	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020
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\*As agreed in this Confirmatory Assignment, ASSIGNOR hereby authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR.

ASSIGNMENT I-A Family No.: P36112
Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

### **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Docket No.	Title	Serial Number	Filing Date
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### **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Title	Serial Number	Filing Date
TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020
TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	110116831	10 May 2021
TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	17/316455	10 May 2021
TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	PCT/US2021/031491	10 May 2021
	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR  TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A

### **CONFIRMATORY ASSIGNMENT**

WHEREAS, <u>Melanie Carol SMITT</u> ("<u>ASSIGNOR</u>"), has invented new and useful invention(s) as set forth in the applications listed in Exhibit A (including any updated Exhibit A as provided herein), to this Confirmatory Assignment (the "<u>INVENTION</u>"), for which application(s) has been filed as identified on Exhibit A (Including any updated Exhibit A as provided herein); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, United States of America, ("GENENTECH"), is desirous of confirming its ownership interest in and to the INVENTION and in and to the applications and patents to be obtained therefor, or, if ASSIGNOR has not assigned his or her interest in the INVENTION to GENENTECH pursuant to a prior agreement with GENENTECH, acquiring an interest in and to the INVENTION, and in and to the applications and patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

For good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR confirms that prior to, and no later than the earliest filing date of the applications listed in Exhibit A (including any updated Exhibit A as provided herein), ASSIGNOR had assigned to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which that application claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or reexamination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

To the extent that ASSIGNOR has not already assigned all of his or her rights, title and interest in the INVENTION to GENENTECH via a prior agreement with GENENTECH, then for good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR does hereby assign to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which those application(s) claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or re-examination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

The ASSIGNOR agrees and authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR. ASSIGNOR agrees and acknowledges that such updated Exhibit A is an Exhibit A under this Confirmatory Assignment.

The terms and covenants of this Confirmatory Assignment shall inure to the benefit of GENENTECH, its successors, assigns or delegates, and shall be binding upon the ASSIGNOR, his or her respective heirs, successors and assigns.

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This Confirmatory Assignment shall be governed by the substantial laws of California (excluding its conflicts of law principles).

IN WITNESS WHEREOF on this date of 16 October 2020, the ASSIGNOR and GENENTECH have executed this Confirmatory Assignment and accepted the terms thereof:

Melanie Carol SMITT Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

GENENTECH, INC.

Jessica R. Colantonio
Assistant General Counsel

Genentech, Inc.

**Authorized Corporate Signatory** 

### **EXHIBIT A TO CONFIRMATORY ASSIGNMENT\***

Docket No.	Title	Serial Number	Filing Date
P36112-US	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020

<sup>\*</sup>As agreed in this Confirmatory Assignment, ASSIGNOR hereby authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR.

ASSIGNMENT I-A Family No.: P36112

Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

## **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Docket No.	Title		Serial Number	Filing Date
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## UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT

Docket No.	Title	Serial Number	Filing Date
P36112-US	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020
P36112-TW	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	110116831	10 May 2021
P36112-US-1	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	17/316455	10 May 2021
P36112-WO	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	PCT/US2021/031491	10 May 2021

## CONFIRMATORY ASSIGNMENT

WHEREAS, <u>Marjorie C. GREEN</u> ("<u>ASSIGNOR</u>"), has invented new and useful invention(s) as set forth in the applications listed in Exhibit A (including any updated Exhibit A as provided herein), to this Confirmatory Assignment (the "<u>INVENTION</u>"), for which application(s) has been filed as identified on Exhibit A (including any updated Exhibit A as provided herein); and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, United States of America, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, United States of America, ("GENENTECH"), is desirous of confirming its ownership interest in and to the INVENTION and in and to the applications and patents to be obtained therefor, or, if ASSIGNOR has not assigned his or her interest in the INVENTION to GENENTECH pursuant to a prior agreement with GENENTECH, acquiring an interest in and to the INVENTION, and in and to the applications and patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

For good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR confirms that prior to, and no later than the earliest filling date of the applications listed in Exhibit A (including any updated Exhibit A as provided herein), ASSIGNOR had assigned to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which that application claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or reexamination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

To the extent that ASSIGNOR has not already assigned all of his or her rights, title and interest in the INVENTION to GENENTECH via a prior agreement with GENENTECH, then for good and valuable consideration the receipt of which is hereby acknowledged, ASSIGNOR does hereby assign to GENENTECH, any and all of his/her right, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in and to the INVENTION, and in and to any and all applications filed and patents granted therefor, including any application(s) that claim benefit to any application(s) listed in Exhibit A (including any updated Exhibit A as provided herein) and any priority application(s) from which those application(s) claims benefit; or any continuation, division, renewal, or substitute thereof, and patents granted therefor; or any reissue or re-examination, or subsequent patent thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other jurisdictions, and including the right to claim priority to any of the foregoing.

The ASSIGNOR agrees and authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR. ASSIGNOR agrees and acknowledges that such updated Exhibit A is an Exhibit A under this Confirmatory Assignment.

The terms and covenants of this Confirmatory Assignment shall inure to the benefit of GENENTECH, its successors, assigns or delegates, and shall be binding upon the ASSIGNOR, his or her respective heirs, successors and assigns.

1

This Confirmatory Assignment shall be governed by the substantial laws of California (excluding its conflicts of law principles).

IN WITNESS WHEREOF on this date of <u>22 September 2020</u>, the ASSIGNOR and GENENTECH have executed this Confirmatory Assignment and accepted the terms thereof:

Marjorie C. GREEN

Mailing address:

1 DNA Way

South San Francisco, CA 94080

Citizenship: US

GENENTECH, INC.

Jessica R. Colantonio
Assistant General Counsel

Genentech, Inc.

**Authorized Corporate Signatory** 

### **EXHIBIT A TO CONFIRMATORY ASSIGNMENT\***

Docket No.	Title	Serial Number	Filing Date
P36112-US	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020
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\*As agreed in this Confirmatory Assignment, ASSIGNOR hereby authorizes GENENTECH, its successors, assigns and delegates, to update Exhibit A from time-to-time, to include any applications filed by GENENTECH, its successors, assigns and delegates in and to the INVENTION when known, including i) any international application (which includes PCT applications) and other applications that claim priority to the application(s) listed in Exhibit A; and ii) any priority applications from which those international or other application(s) claim benefit, which authority includes the right to attach an updated Exhibit A to this Confirmatory Assignment that lists the foregoing applications, without any further action by ASSIGNOR.

Family No.: P36112

Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

### **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Docket No.	Title		Serial Number	Filing Date
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ASSIGNMENT I-A Family No.: P36112 Genentech File Identifier: Treatment of Breast Cancer Using a Combination of a SERD and CDK4/6 Inhibitor

### **UPDATED EXHIBIT A TO CONFIRMATORY ASSIGNMENT**

Docket No.	Title	Serial Number	Filing Date
P36112-US	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING AN A SERD AND A CDK4/6 INHIBITOR	63/023501	12 May 2020
P36112-TW	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	110116831	10 May 2021
P36112-US-1	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	17/316455	10 May 2021
P36112-WO	TREATMENT OF BREAST CANCER USING COMBINATION THERAPIES COMPRISING GDC-9545 AND A CDK4/6 INHIBITOR	PCT/US2021/031491	10 May 2021
	CDK4/6 INHIBITOR		

PATENT REEL: 056473 FRAME: 0856

**RECORDED: 06/08/2021**