

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6755237

| | |
|---|--|
| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | MERGER |
| EFFECTIVE DATE: | 01/03/2020 |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| LOTTE ADVANCED MATERIALS CO., LTD. | 01/03/2020 |
| RECEIVING PARTY DATA | |
| Name: | LOTTE CHEMICAL CORPORATION |
| Street Address: | 300, OLYMPIC-RO SONGPA-GU |
| City: | SEOUL |
| State/Country: | KOREA, REPUBLIC OF |
| Postal Code: | 05551 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Patent Number: | 10538661 |
| CORRESPONDENCE DATA | |
| Fax Number: | (704)945-6735 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | 704-945-6700 |
| Email: | docket@ahpapatent.com |
| Correspondent Name: | ADDITON, PENDLETON & WITHERSPOON, P.A. |
| Address Line 1: | P.O. BOX 567 |
| Address Line 4: | MONROE, NORTH CAROLINA 28111 |
| ATTORNEY DOCKET NUMBER: | 1224.242US2 |
| NAME OF SUBMITTER: | MELISSA B. PENDLETON |
| SIGNATURE: | /Melissa B. Pendleton/ |
| DATE SIGNED: | 06/10/2021 |
| Total Attachments: 5 | |
| source=MergerRecordationUSPTO#page1.tif | |
| source=MergerRecordationUSPTO#page2.tif | |
| source=MergerRecordationUSPTO#page3.tif | |
| source=MergerRecordationUSPTO#page4.tif | |
| source=MergerRecordationUSPTO#page5.tif | |

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Lotte Advanced Materials Co., Ltd.

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Lotte Chemical Corporation

Internal Address: _____

Street Address: 300, Olympic-ro Songpa-gu

City: Seoul

State: _____

Country: South Korea Zip: 05551

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 3, 2020

- | | |
|---|--|
| <input type="checkbox"/> Assignment | <input checked="" type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Joint Research Agreement | |
| <input type="checkbox"/> Government Interest Assignment | |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | |
| <input type="checkbox"/> Other _____ | |

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

10,538,661

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Additon, Pendleton & Witherspoon, P.A.

Internal Address: _____

Street Address: P.O. Box 567

City: Monroe

State: NC Zip: 28111-0567

Phone Number: 704-945-6700

Fax Number: 704-945-6735

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- | |
|---|
| <input type="checkbox"/> Authorized to be charged by credit card |
| <input type="checkbox"/> Authorized to be charged to deposit account |
| <input type="checkbox"/> Enclosed |
| <input checked="" type="checkbox"/> None required (government interest not affecting title) |

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: /Melissa B. Pendleton/

June 10, 2021

Signature

Date

Melissa B. Pendleton

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

등기사항일부증명서(현재 유효사항)[제출용]

| | | |
|------------|---|--------------------------------|
| 등기번호 | 022100 | |
| 등록번호 | 110111-0193196 | |
| 상 호 | 롯데케미칼 주식회사 (LOTTE CHEMICAL CORPORATION) | 2012.12.27 변경 2012.12.27 등기 |
| 본 점 | 서울특별시 송파구 올림픽로 300(신천동) | 2017.06.26 변경 2017.06.26 등기 |
| 1주의 금액 | 금 5,000 원 | . . . 변경 . . . 등기 |
| 발행할 주식의 총수 | 100,000,000 주 | 1999.03.19 변경 1999.03.25 등기 |

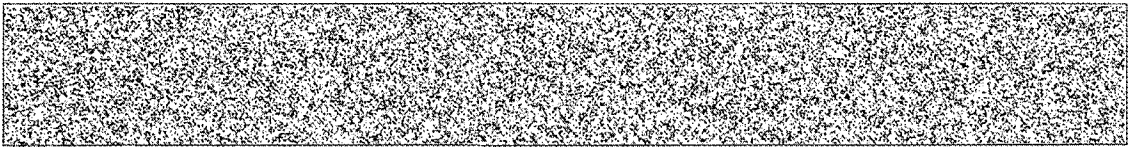
| 임원에 관한 사항 | |
|---|------------------|
| 대표이사 김교현 570805-***** 서울특별시 동작구 보라매로 70, 102동 501호(신대방동, 보라매이편한세상아파트) | |
| 2017년 03월 24일 취임 | 2017년 03월 27일 등기 |
| 2019년 03월 27일 중입 | 2019년 03월 28일 등기 |

| 기 타 사 항 | |
|--|------------------|
| 1. 명의개서대리인 | |
| 1. 명의개서 대리인의 성명과 주소 | |
| 주식회사 하나은행 | |
| 서울특별시 중구 을지로 35(을지로1가) | |
| 2017년 09월 25일 변경 | 2017년 09월 29일 등기 |
| 1. 흡수합병 | |
| 충청남도 서산시 대산읍 독곶리 634 주식회사 롯데대산유화를 합병 | |
| 2009년 01월 05일 등기 | |
| 1. 흡수합병 | |
| 2012년 12월 27일 울산광역시 남구 상개동 427-3 주식회사 케이피케미칼을 합병 | |
| 2012년 12월 28일 등기 | |
| 1. 흡수합병 | |
| 전라남도 여수시 여수산단로 334-27(평여동) 롯데첨단소재 주식회사를 합병 | |
| 2020년 01월 03일 등기 | |

| | |
|--|---------------|
| 회사성립연월일 | 1976년 03월 16일 |
| 수수료 1,000원 영수합 | --- 이하 여백 --- |
| 관할등기소 : 서울중앙지방법원 등기국 / 발행등기소 : 법원행정처 등기정보중앙관리소 | |

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의 발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.
발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10 발급확인번호 1004-AATX-KYAI
- 1/2 -

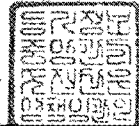


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|------|--------|
| 등기번호 | 022100 |
|------|--------|

이 증명서는 등기기록의 내용과 틀림없음을 증명합니다. [다만, 신청이 없는 등기사항과 현재 효력이 없는 등기사항의 기재를 생략하였습니다]

서기 2020년 01월 10일
법원행정처 등기정보중앙관리소

전산운영책임관



* 실선으로 그어진 부분은 말소(변경,경정)된 등기사항입니다. * 등기사항증명서는 컬러로 출력 가능함.

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의 발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.
발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

발급확인번호 1004-AATX-KYA1

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10

- 2/2 -



Commercial register (Current Matters) [For Submission]

| | | |
|---|----------------|------------------------|
| Registry Number | 022100 | |
| Registration Number | 110111-0193196 | |
| Corporate Name : LOTTE CHEMICAL CORPORATION | | 2012.12.27. Amended |
| | | 2012.12.27. Registered |
| Address of Head Office : 300 Olympic-ro, Songpa-gu, Seoul, Republic of Korea | | 2017.06.26. Amended |
| | | 2017.06.26. Registered |
| Par-value of Share : KRW 5,000 | | . Amended |
| | | . Registered |
| Total Number of Share to be Issued : 100,000,000 | | 1999.03.19. Amended |
| | | 1999.03.25. Registered |

| Matters Regarding Officers | |
|---|------------------------|
| Representative Director: Gyo-Hyun KIM 570805-***** | |
| 102-501, 70, Boramae-ro, Dongjak-gu, Seoul, Republic of Korea | |
| 2017.03.24. Appointed | 2017.03.27. Registered |
| 2019.03.27. Reappointed | 2019.03.28. Registered |

| Miscellaneous | |
|--|--|
| Name, address and place of business of agent for the transfer of the title: | |
| 1. Agent: Hana Bank, Co., Ltd. | |
| 35 Euljiro, Jung-gu, Seoul, Republic of Korea | |
| 2017.09.25. Amended 2017.09.29. Registered | |
| 1. Merger | |
| Merged with and acquired LOTTE Daesan Petrochemical Corporation | |
| address: 634 Dokgocli, Daesan-eup, Seosan-si, Chungcheongnam-do, Republic of Korea | |
| 2009.01.05. Registered | |
| 1. Merger | |
| Merged with and acquired KP Chemical Corporation on Dec 27, 2012 | |
| address: 427-3, Sanggae-dong, Nam-gu, Ulsan, Republic of Korea | |
| 2012.12.28. Registered | |
| 1. Merger | |
| Merged with and acquired LOTTE Advanced Materials Co., Ltd. | |
| address: 334-27, Yeosusandan-ro, Yeosu-si, Jeollanam-do, Republic of Korea | |
| 2020.01.03. Registered | |

| | |
|------------------------------|-------------|
| Date of Incorporation | 1976.03.16. |
|------------------------------|-------------|

| | |
|-----------------|--------|
| Registry Number | 022100 |
|-----------------|--------|

Fee of 1,000 Won received.

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Registry Office: Seoul District Court Registration Office / Issuing Registry Office:
Central Registered information Office of the Office of Court Administration

It is hereby confirmed that the content of this certificate is identical to that of the closed registry record. [However, matters regarding unregistered offices/managers and ineffective registration will be omitted]

Jan 10, 2020

Central Registered Information Office of the Office of Court Administration

Officer in Charge of Computer Operation: (Official Seal affixed)

*Areas which have been crossed out have been cancelled (altered, corrected). *Registration Certification may be printed in color.

The authenticity of the document can be checked by scanning the bar code below or by typing the **issue confirmation number** at **Internet Registry Office Homepage (<http://www.irose.go.kr>) issue confirmation menu**. It can be checked maximum 5 times for 3 months from the issued date.