

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6755269

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER		
EFFECTIVE DATE:	01/03/2020		
CONVEYING PARTY DATA			
Name			Execution Date
LOTTE ADVANCED MATERIALS CO., LTD.			01/03/2020
RECEIVING PARTY DATA			
Name:	LOTTE CHEMICAL CORPORATION		
Street Address:	300, OLYMPIC-RO SONGPA-GU		
City:	SEOUL		
State/Country:	KOREA, REPUBLIC OF		
Postal Code:	05551		
PROPERTY NUMBERS Total: 1			
Property Type	Number		
Patent Number:	9840610		
CORRESPONDENCE DATA			
Fax Number:	(704)945-6735		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	704-945-6700		
Email:	docket@ahpapatent.com		
Correspondent Name:	ADDITON, PENDLETON & WITHERSPOON, P.A.		
Address Line 1:	P.O. BOX 567		
Address Line 4:	MONROE, NORTH CAROLINA 28111		
ATTORNEY DOCKET NUMBER:	1224.196		
NAME OF SUBMITTER:	MELISSA B. PENDLETON		
SIGNATURE:	/Melissa B. Pendleton/		
DATE SIGNED:	06/10/2021		
Total Attachments: 5			
source=MergerRecordationUSPTO#page1.tif			
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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Lotte Advanced Materials Co., Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 3, 2020

- ☐ Assignment ☒ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Lotte Chemical Corporation

Internal Address: _____

Street Address: 300, Olympic-ro Songpa-gu

City: Seoul

State: _____

Country: South Korea Zip: 05551

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

9,840,610

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Additon, Pendleton & Witherspoon, P.A.

Internal Address: _____

Street Address: P.O. Box 567

City: Monroe

State: NC Zip: 28111-0567

Phone Number: 704-945-6700

Fax Number: 704-945-6735

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: /Melissa B. Pendleton/

June 10, 2021

Signature

Date

Melissa B. Pendleton

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

등기사항일부증명서(현재 유효사항)[제출용]

등기번호	022100
등록번호	110111-0193196
상 호	롯데케미칼 주식회사 (LOTTE CHEMICAL CORPORATION)
본 점	서울특별시 송파구 올림픽로 300(신천동)
1주의 금액	금 5,000 원
발행할 주식의 총수	100,000,000 주
임원에 관한 사항 대표이사 김교현 570805-***** 서울특별시 동작구 보라매로 70, 102동 501호(신대방동, 보라매이 편한세상아파트) 2017 년 03 월 24 일 취임 2017 년 03 월 27 일 등기 2019 년 03 월 27 일 중임 2019 년 03 월 28 일 등기	
기 타 사 항 1. 명의개서대리인 1. 명의개서 대리인의 성명과 주소 주식회사 하나은행 서울특별시 중구 을지로 35(을지로1가) 2017 년 09 월 25 일 변경 2017 년 09 월 29 일 등기 1. 흡수합병 충청남도 서산시 대산읍 독곶리 634 주식회사 롯데대산유화물 합병 2009 년 01 월 05 일 등기 1. 흡수합병 2012년 12월 27일 울산광역시 남구 상개동 427-3 주식회사 케이피케미칼을 합병 2012 년 12 월 28 일 등기 1. 흡수합병 전라남도 여수시 여수산단로 334-27(평여동) 롯데첨단소재 주식회사를 합병 2020 년 01 월 03 일 등기	
회사성립연월일 1976 년 03 월 16 일 수수료 1,000원 영수함 --- 이 하 여 백 --- 관할등기소 : 서울중앙지방법원 등기국 / 발행등기소 : 법원행정처 등기정보중앙관리소	

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의 발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.
 발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10

발급확인번호 1004-AATX-KYA1

- 1/2 -



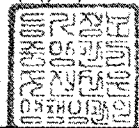
등기번호	022100
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이 증명서는 등기기록의 내용과 틀림없음을 증명합니다. [다만, 신청이 없는 등기사항과
현재 효력이 없는 등기사항의 기재를 생략하였습니다]

서기 2020년 01월 10일

법원행정처 등기정보중앙관리소

전산운영책임관



* 실선으로 그어진 부분은 말소(변경,경정)된 등기사항입니다. * 등기사항증명서는 컬러로 출력 가능함.

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의
발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.

발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

발급확인번호 1004-AATX-KYA1

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10

- 2/2 -



Commercial register (Current Matters) [For Submission]

Registry Number	022100	
Registration Number	110111-0193196	
Corporate Name : LOTTE CHEMICAL CORPORATION	2012.12.27.	Amended
	2012.12.27.	Registered
Address of Head Office : 300 Olympic-ro, Songpa-gu, Seoul, Republic of Korea	2017.06.26.	Amended
	2017.06.26.	Registered
Par-value of Share : KRW 5,000	.	Amended
	.	Registered
Total Number of Share to be Issued : 100,000,000	1999.03.19.	Amended
	1999.03.25.	Registered

Matters Regarding Officers

Representative Director: Gyo-Hyun KIM 570805-*****
 102-501, 70, Boramae-ro, Dongjak-gu, Seoul, Republic of Korea
 2017.03.24. Appointed 2017.03.27. Registered
 2019.03.27. Reappointed 2019.03.28. Registered

Miscellaneous

Name, address and place of business of agent for the transfer of the title:

1. Agent: Hana Bank, Co., Ltd.
 35 Euljiro, Jung-gu, Seoul, Republic of Korea
 2017.09.25. Amended 2017.09.29. Registered

1. Merger

Merged with and acquired LOTTE Daesan Petrochemical Corporation
 address: 634 Dokgocli, Daesan-eup, Seosan-si, Chungcheongnam-do, Republic of Korea
 2009.01.05. Registered

1. Merger

Merged with and acquired KP Chemical Corporation on Dec 27, 2012
 address: 427-3, Sanggae-dong, Nam-gu, Ulsan, Republic of Korea
 2012.12.28. Registered

1. Merger

Merged with and acquired LOTTE Advanced Materials Co., Ltd.
 address: 334-27, Yeosusandan-ro, Yeosu-si, Jeollanam-do, Republic of Korea
 2020.01.03. Registered

Date of Incorporation	1976.03.16.
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Registry Number	022100
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Fee of 1,000 Won received.

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Registry Office: Seoul District Court Registration Office / Issuing Registry Office:
Central Registered information Office of the Office of Court Administration

It is hereby confirmed that the content of this certificate is identical to that of the closed registry record. [However, matters regarding unregistered offices/managers and ineffective registration will be omitted]

Jan 10, 2020

Central Registered Information Office of the Office of Court Administration

Officer in Charge of Computer Operation: (Official Seal affixed)

*Areas which have been crossed out have been cancelled (altered, corrected). *Registration Certification may be printed in color.

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