

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6755286

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	MERGER
<b>EFFECTIVE DATE:</b>	01/03/2020

**CONVEYING PARTY DATA**

Name	Execution Date
LOTTE ADVANCED MATERIALS CO., LTD.	01/03/2020

**RECEIVING PARTY DATA**

<b>Name:</b>	LOTTE CHEMICAL CORPORATION
<b>Street Address:</b>	300, OLYMPIC-RO SONGPA-GU
<b>City:</b>	SEOUL
<b>State/Country:</b>	KOREA, REPUBLIC OF
<b>Postal Code:</b>	05551

**PROPERTY NUMBERS Total: 1**

Property Type	Number
Patent Number:	10301449

**CORRESPONDENCE DATA**

Fax Number: (704)945-6735

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

Phone: 704-945-6700

Email: docket@ahpapatent.com

Correspondent Name: ADDITON, PENDLETON &amp; WITHERSPOON, P.A.

Address Line 1: P.O. BOX 567

Address Line 4: MONROE, NORTH CAROLINA 28111

<b>ATTORNEY DOCKET NUMBER:</b>	1224.159
<b>NAME OF SUBMITTER:</b>	MELISSA B. PENDLETON
<b>SIGNATURE:</b>	/Melissa B. Pendleton/
<b>DATE SIGNED:</b>	06/10/2021

**Total Attachments: 5**

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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**  
  
Lotte Advanced Materials Co., Ltd.  
  
Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**  
Name: Lotte Chemical Corporation  
Internal Address: \_\_\_\_\_  
\_\_\_\_\_  
Street Address: 300, Olympic-ro Songpa-gu  
\_\_\_\_\_  
City: Seoul  
State: \_\_\_\_\_  
Country: South Korea Zip: 05551  
Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) January 3, 2020  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

**4. Application or patent number(s):**  This document is being filed together with a new application.  
A. Patent Application No.(s) \_\_\_\_\_  
B. Patent No.(s)  
10,301,449  
Additional numbers attached?  Yes  No

B. Patent No.(s)  
10,301,449  
Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: Additon, Pendleton & Witherspoon, P.A.  
Internal Address: \_\_\_\_\_  
\_\_\_\_\_  
Street Address: P.O. Box 567  
\_\_\_\_\_  
City: Monroe  
State: NC Zip: 28111-0567  
Phone Number: 704-945-6700  
Fax Number: 704-945-6735  
Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1  
**7. Total fee (37 CFR 1.21(h) & 3.41) \$** \_\_\_\_\_  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**  
a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number \_\_\_\_\_  
Authorized User Name \_\_\_\_\_

**9. Signature:** /Melissa B. Pendleton/ June 10, 2021  
Signature Date

Melissa B. Pendleton  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

# 등기사항일부증명서(현재 유효사항)[제출용]

등기번호	022100	
등록번호	110111-0193196	
상 호	롯데케미칼 주식회사 (LOTTE CHEMICAL CORPORATION)	2012.12.27 변경 2012.12.27 등기
본 점	서울특별시 송파구 올림픽로 300(신천동)	2017.06.26 변경 2017.06.26 등기
1주의 금액	금 5,000 원	변경 등기
발행할 주식의 총수	100,000,000 주	1999.03.19 변경 1999.03.25 등기

임원에 관한 사항	
대표이사 김교현 570805-***** 서울특별시 동작구 보라매로 70, 102동 501호(신대방동, 보라매이편한세상아파트)	
2017년 03월 24일 취임	2017년 03월 27일 등기
2019년 03월 27일 중업	2019년 03월 28일 등기

기 타 사 항	
1. 명의개서대리인	
1. 명의개서 대리인의 성명과 주소	
주식회사 하나은행	
서울특별시 중구 을지로 35(을지로1가)	
2017년 09월 25일 변경	2017년 09월 29일 등기
1. 흡수합병	
충청남도 서산시 대산읍 독곶리 634 주식회사 롯데대산유화를 합병	
2009년 01월 05일 등기	
1. 흡수합병	
2012년 12월 27일 울산광역시 남구 상개동 427-3 주식회사 케이피케미칼을 합병	
2012년 12월 28일 등기	
1. 흡수합병	
전라남도 여수시 여수산단로 334-27(평여동) 롯데첨단소재 주식회사를 합병	
2020년 01월 03일 등기	

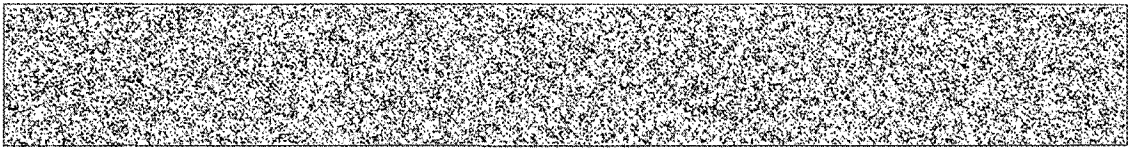
회사성립연월일	1976년 03월 16일
수수료 1,000원 영수함	--- 이하 여백 ---
관할등기소 : 서울중앙지방법원 등기국 / 발행등기소 : 법원행정처 등기정보중앙관리소	

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의 발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다.  
발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10

발급확인번호 1004-AATX-KYAI

- 1/2 -



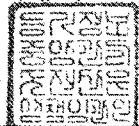
등기번호	022100
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이 증명서는 등기기록의 내용과 불립없음을 증명합니다. [다만, 신청이 없는 등기사항과 현재 효력이 없는 등기사항의 기재를 생략하였습니다]

서기 2020년 01월 10일

법원행정처 등기정보중앙관리소

전산운영책임관



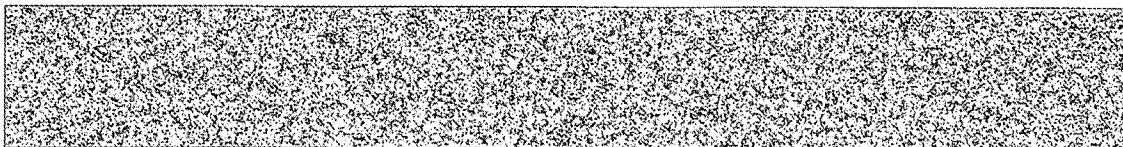
\* 실선으로 그어진 부분은 말소(변경, 정정)된 등기사항입니다. \* 등기사항증명서는 컬러로 출력 가능함.

[인터넷 발급] 문서 하단의 바코드를 스캐너로 확인하거나, 인터넷등기소(<http://www.iros.go.kr>)의 발급확인 메뉴에서 발급확인번호를 입력하여 위·변조 여부를 확인할 수 있습니다. 발급확인번호를 통한 확인은 발행일부터 3개월까지 5회에 한하여 가능합니다.

발급확인번호 1004-AATX-KYAI

11001060224010001112000420002011027210012181401131 1 발행일:2020/01/10

- 2/2 -



**Commercial register (Current Matters) [For Submission]**

Registry Number	022100	
Registration Number	110111-0193196	
<b>Corporate Name</b> : LOTTE CHEMICAL CORPORATION		2012.12.27. Amended
		2012.12.27. Registered
<b>Address of Head Office</b> : 300 Olympic-ro, Songpa-gu, Seoul, Republic of Korea		2017.06.26. Amended
		2017.06.26. Registered
<b>Par-value of Share</b> : KRW 5,000		. Amended
		. Registered
<b>Total Number of Share to be Issued</b> : 100,000,000		1999.03.19. Amended
		1999.03.25. Registered

**Matters Regarding Officers**

Representative Director: Gyo-Hyun KIM 570805-\*\*\*\*\*  
 102-501, 70, Boramae-ro, Dongjak-gu, Seoul, Republic of Korea  
 2017.03.24. Appointed      2017.03.27. Registered  
 2019.03.27. Reappointed    2019.03.28. Registered

**Miscellaneous**

Name, address and place of business of agent for the transfer of the title:

1. Agent: Hana Bank, Co., Ltd.  
 35 Euljiro, Jung-gu, Seoul, Republic of Korea  
 2017.09.25. Amended    2017.09.29. Registered

1. Merger

Merged with and acquired LOTTE Daesan Petrochemical Corporation  
 address: 634 Dokgocli, Daesan-eup, Seosan-si, Chungcheongnam-do, Republic of Korea  
 2009.01.05. Registered

1. Merger

Merged with and acquired KP Chemical Corporation on Dec 27, 2012  
 address: 427-3, Sanggae-dong, Nam-gu, Ulsan, Republic of Korea  
 2012.12.28. Registered

1. Merger

Merged with and acquired LOTTE Advanced Materials Co., Ltd.  
 address: 334-27, Yeosusandan-ro, Yeosu-si, Jeollanam-do, Republic of Korea  
 2020.01.03. Registered

<b>Date of Incorporation</b>	1976.03.16.
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Registry Number	022100
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Fee of 1,000 Won received.

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Registry Office: Seoul District Court Registration Office / Issuing Registry Office:  
Central Registered information Office of the Office of Court Administration

It is hereby confirmed that the content of this certificate is identical to that of the closed registry record. [However, matters regarding unregistered offices/managers and ineffective registration will be omitted]

Jan 10, 2020

Central Registered Information Office of the Office of Court Administration

Officer in Charge of Computer Operation: (Official Seal affixed)

\*Areas which have been crossed out have been cancelled (altered, corrected). \*Registration Certification may be printed in color.

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