506713282 06/14/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6760100

SUBMISSION TYPE:		NEW ASSIGNMENT	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT		
CONVEYING PARTY D	ΑΤΑ				
		Name		Execution Date	
DOUGLAS C. SERRURI	IER			11/07/2019	
RECEIVING PARTY DA	TA				
Name:	CATERPI	LAR INC			
Street Address:	100 NE A	DAMS STREET			
Internal Address:	AH9510				
City:	PEORIA				
State/Country:	ILLINOIS				
Postal Code:	61629-95	10			
Application Number:	1/	346575			
Fax Number:	(30)9)675-1236			
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SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 19-0738US01 Client Ref. No. 19-0738US01

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

ELECTRICALLY ACTIVATED POLYMER BASED LOCKING SYSTEM FOR EARTH MOVING EQUIPMENT AND METHOD

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a corporation of Delaware having an address at 100 NE Adams Street, Peoria, State of Illinois 61629-9610 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all patents which may be granted on this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE HEREBY authorize Assignee or the attorneys/representatives of Assignee to insert here in parentheses the country, application number, and official filing date (<u>US</u> Application No. <u>17346575</u> filed <u>June 14</u>, <u>AUA</u>).

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR	ASSIGNOR'S SIGNATURE?	DATE
Douglas C. Serrurier	dent Cours	11-7-2019
ADDRESS 9 Hawthorne Cove, Morton, IL 61550		CITIZENSHIP US

Page 1 of 2

PATENT REEL: 056532 FRAME: 0041

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. **19-0738US01** Client Ref. No. **19-0738US01**

1. WITNESS NAME	WITNESS SIGNATURE	DATE		
Esic Sinn	hites	W211	4	
ADDRESS		CITIZENSHIP		
104 E Morganese Tre	cont IL 61568			
2. WITNESS NAME	WITNESS SIGNATURE	/ DATE		
Josiah Villameal	Or. Apt 710% Perrin, :	lk (11/17	11/7/2019	
ADDRESS	De Ant 2106 Pension	TL GIGIS CHIZENSHIP	<	
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Page 2 of 2 RECORDED: 06/14/2021		PATENT REEL: 056532 FRAME	E: 0042	